



# The impact of non-equilibrium effects on the relic density of dark matter

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Based on [2204.07078](#) and [2104.05684](#) in collaboration  
with **A. Hryczuk** (NCBJ, Warsaw)

CP3 Seminar @ UCLouvain

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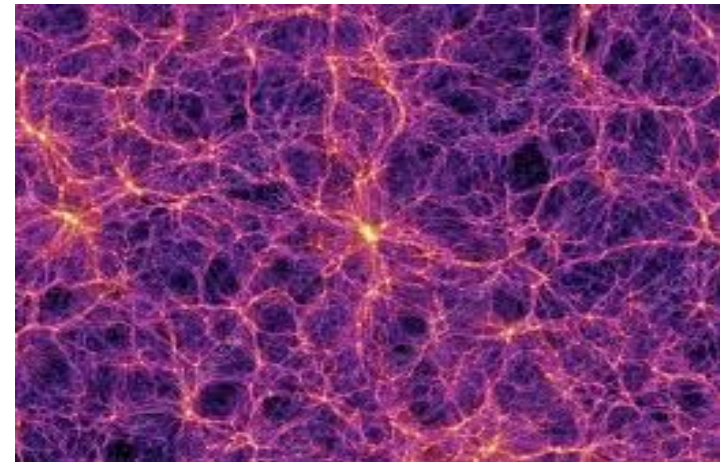
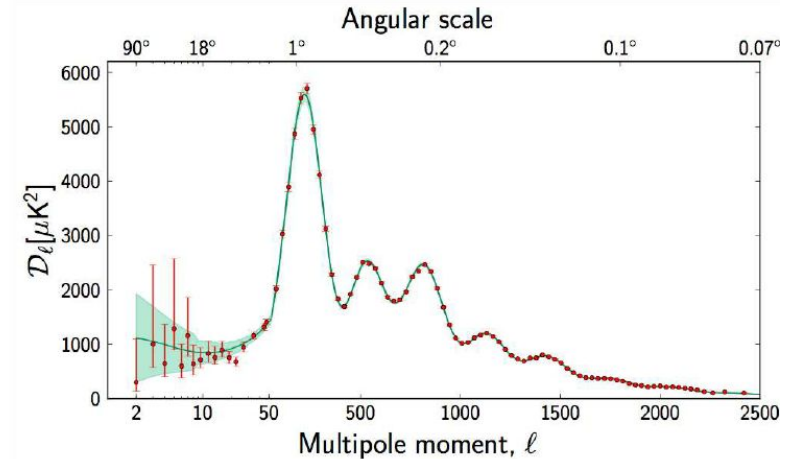
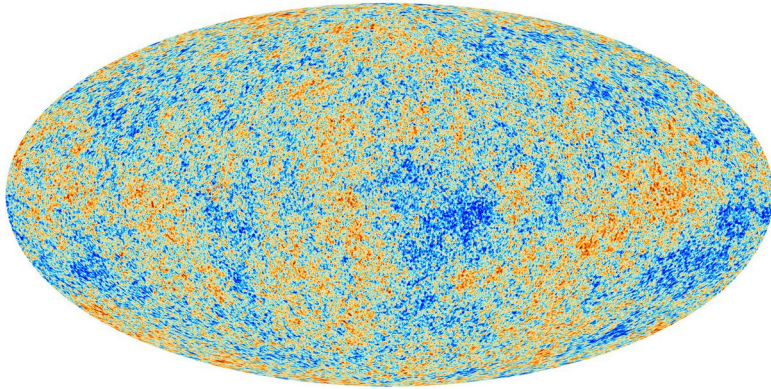
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# Introduction in a nutshell

- The **standard method** of calculating the relic density of dark matter is based on several **assumptions** that are not valid in some cases
- I outline a more **general approach** to this problem and discuss the **importance** of this approach
- I will show a few **examples**



# Dark matter (DM) and its relic density



Planck measurement 1807.06209

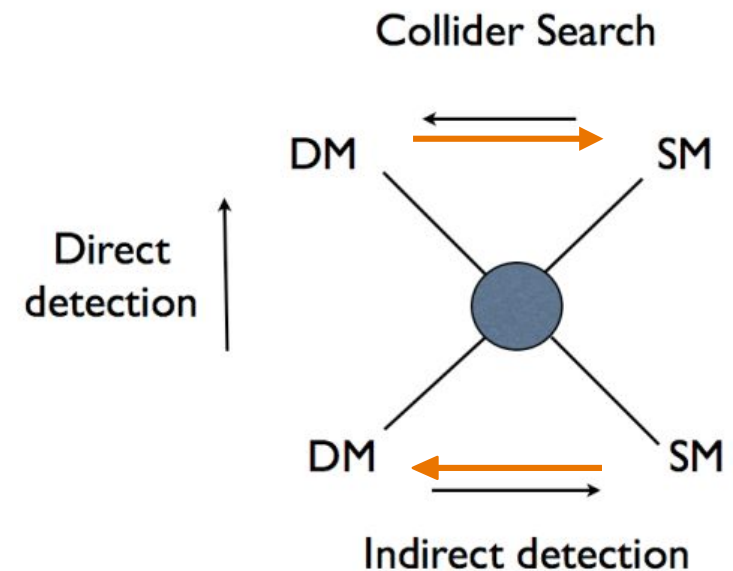
$$\Omega_{\text{dm}} h^2 = \frac{\rho_{\text{dm}}}{\rho_{\text{crit}}} h^2 = 0.12$$

# Interactions with the SM particles

If DM is **coupled** to the SM states (even weakly) → different **possible detection** strategies + **production in the early Universe**

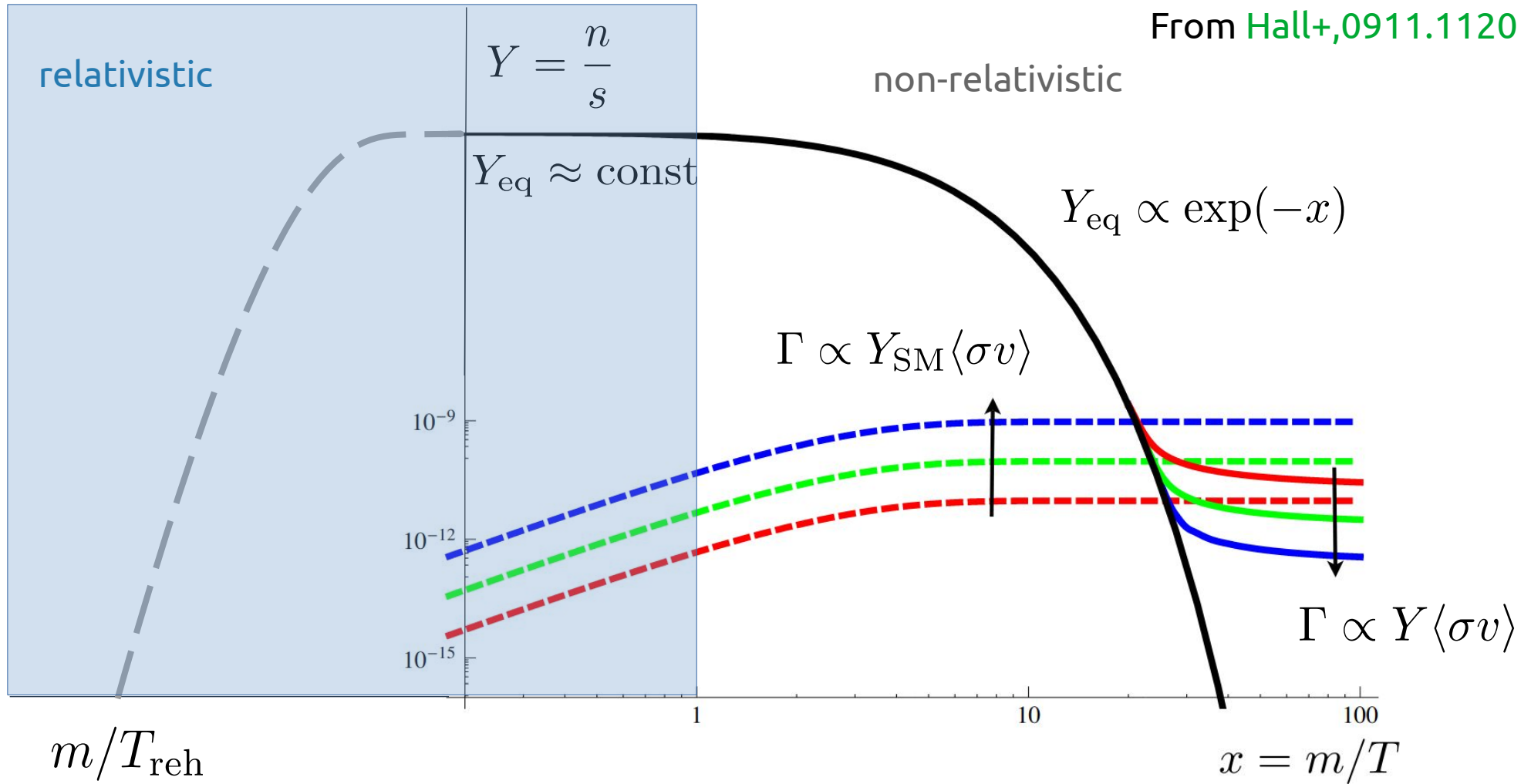
Common production channels:

- Annihilation (*in the pic*)
- Decay



**Interaction rate** in the early Universe determines the relic density

# Freeze in/out



The rates  $\Gamma$  are for  $2 \rightarrow 2$  annihilation

# Freeze in/out

## Freeze in

- DM **never reaches** full thermal equilibrium
- Stronger interactions → **larger** relic density

- Typical rate for annihilation

$$\langle\sigma v\rangle \sim 10^{-40} \text{cm}^3/\text{s}$$

- Relic density is established around at

$$x \sim 2 - 3$$

- **Depends on** initial conditions

## Freeze out

- DM **starts** in the full thermal equilibrium
- Stronger interactions → **smaller** relic density

- Typical rate for annihilation

$$\langle\sigma v\rangle \sim 10^{-26} \text{cm}^3/\text{s}$$

- Relic density is established at

$$x \sim 25 - 30$$

- **Independent** of initial conditions

# Standard approach

**Boltzmann equation** for the number density (**nBE**)

$$\frac{dY}{dx} = \frac{s(x)}{x \tilde{H}(x)} \langle \sigma v \rangle(x) [Y_{\text{eq}}^2(x) - Y^2]$$

(for 2 → 2 annihilation)

Hubble parameter

$$\tilde{H} = H/[1 + \tilde{g}]$$

Correction for the change of entropy dof

$$\tilde{g} \equiv \frac{1}{3} \frac{T}{g_{\text{eff}}^s} \frac{dg_{\text{eff}}^s}{dT}$$

Equilibrium density of DM

**Velocity averaged cross section**

Can also incorporate decays, co-annihilations, etc.

Belanger+,  
1402.0787

Arina+,  
2012.09016

Used in many numerical packages, e.g. **micrOMEGAs** or **MadDM**

# What's under the hood?

In fact, the Boltzmann equation for the number density is derived from the general **Full Boltzmann equation (fBE)**

$$2E_i (\partial_t - H p \partial_p) f_i(p) = C[f_i]$$

← Collision term

DM **distribution function** →

Integrating over the momentum of  $i$   $g_i \int \frac{d^3 p_i}{(2\pi)^3}$

$$\frac{g_i}{s} \int \frac{d^3 p_i}{(2\pi)^3} f_i = Y$$

$$g_i \int \frac{d^3 p_i}{(2\pi)^3} C[f_i] = ?$$



# Structure of collision term

Takes into account all the processes in which the particle participates

Number-changing processes  
- impact on the density\*

$$C[f_\chi] = C_{\text{ann}} + C_{\text{dec}} + C_{\text{el}} + C_{\text{self}} + \dots$$

Elastic and self-scatterings parts are **integrated out** for the nBE

Equilibrating processes – impact on the shape of the distribution

\* have an impact of the shape of the distribution too

# Collision term for annihilation

For DM + DM  $\rightarrow$  SM + SM :

$$\begin{aligned}
 C_{\text{ann}}[f_\chi] &= \frac{1}{2g_\chi} \int \frac{d^3\tilde{p}}{(2\pi)^3\tilde{E}} \int \frac{d^3k}{(2\pi)^3\omega} \int \frac{d^3\tilde{k}}{(2\pi)^3\tilde{\omega}} && \text{All momenta configurations} \\
 &\times (2\pi)^4 \delta^{(4)}(E + \tilde{E} - \omega - \tilde{\omega}) && \text{Energy and momenta conservation} \\
 &\times \left[ |\mathcal{M}|_{\text{SM}\rightarrow\text{DM}}^2 f_{\text{SM}}(\omega) f_{\text{SM}}(\tilde{\omega}) [1 \pm f_\chi(E)] [1 \pm f_\chi(\tilde{E})] \right. \\
 &\quad \left. - |\mathcal{M}|_{\text{DM}\rightarrow\text{SM}}^2 \underline{f_\chi(E) f_\chi(\tilde{E})} [1 \pm f_{\text{SM}}(\omega)] [1 \pm f_{\text{SM}}(\tilde{\omega})] \right]
 \end{aligned}$$

Probability  
x number  
of states

Leads to an **integro-differential** equation

Quantum  
corrections  
(final states)

# Assumptions

- DM is in **kinetic equilibrium** with the SM

$$f_i = \frac{n_i}{n_i^{\text{eq}}} f_i^{\text{eq}}(E_i, T_{\text{SM}})$$

$$f_i^{\text{eq}} = \exp(-E_i/T_{\text{SM}})$$

**Maxwell-Boltzmann**

(for non-relativistic or very **dilute** gasses)

$$f_i^{\text{eq}} = \frac{1}{\exp(E_i/T_{\text{SM}}) \pm 1}$$

**Fermi-Dirac/Bose-Einstein**

(for dense **relativistic** gases)

- Quantum corrections are often **neglected**, because DM is *non-relativistic* and *dilute* around the formation of relic density

# From fBE to nBE

$$\begin{aligned} f_{\text{SM}}(\omega) f_{\text{SM}}(\tilde{\omega}) &= \exp(-\omega/T) \cdot \exp(-\tilde{\omega}/T) = \\ &= \exp\left(-\frac{E + \tilde{E}}{T}\right) = f_{\chi}^{\text{eq}}(E) f_{\chi}^{\text{eq}}(\tilde{E}) \end{aligned}$$

Energy conservation

Thus, one eventually gets the nBE ([Gelmini-Gondolo approach](#))

$$\frac{dY}{dx} = \frac{s(x)}{x H\tilde{\omega}(x)} \langle \sigma v \rangle(x) [Y_{\text{eq}}^2(x) - Y^2]$$

Gelmini, Gondolo,  
Nucl.Phys.B 360 (1991)

$$\langle \sigma v \rangle = \frac{g_{\chi}^2}{n_{\chi}^{\text{eq}} \cdot n_{\chi}^{\text{eq}}} \int \frac{d^3 p}{(2\pi)^3} \frac{d^3 \tilde{p}}{(2\pi)^3} \sigma_{\text{ann}} v f_{\chi}^{\text{eq}}(p) f_{\chi}^{\text{eq}}(\tilde{p})$$

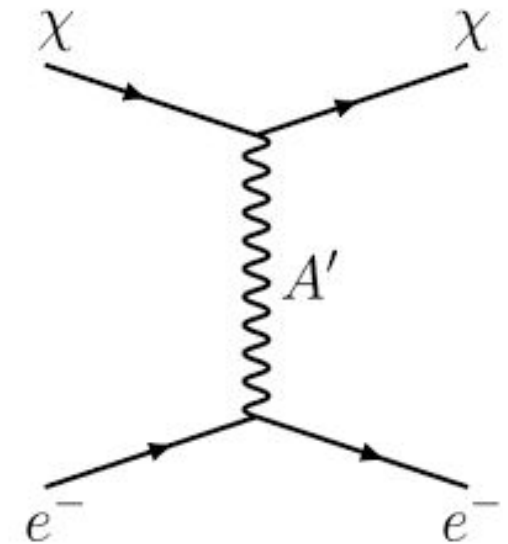
# Elastic scatterings

The kinetic equilibrium is maintained mostly by the **elastic scatterings** of DM on the particles in the SM plasma

WIMPs typically go out of kinetic equilibrium much later than the FO

$$T \sim 1-10 \text{ MeV} \quad (x \gg 100)$$

Bringmann, 0903.0189



If annihilation rate is much larger than the rate of scatterings  
→ **early kinetic decoupling** → the assumption about  $f$  doesn't hold!

# Example: Scalar singlet DM

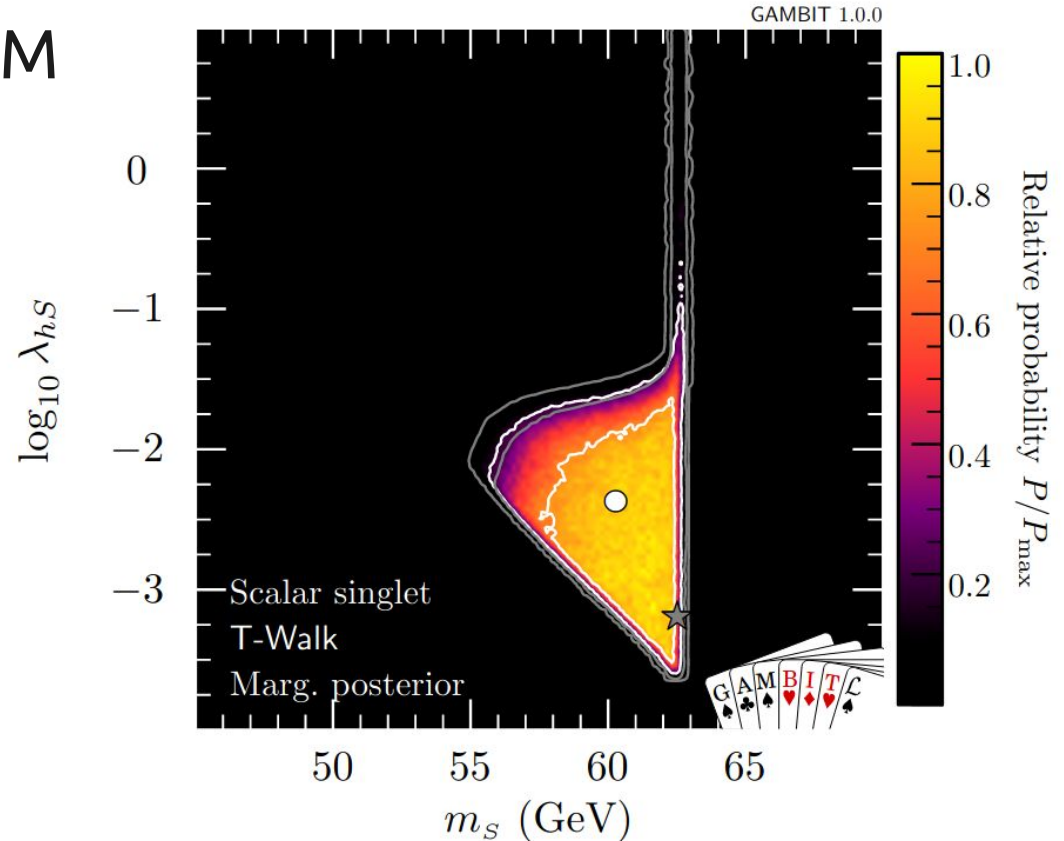
**Resonant annihilation** into SM fermions ( $2m_{\text{DM}} \sim m_{\text{Higgs}}$ )

$$\sigma v \propto \frac{\lambda_S^2}{(s - m_h^2)^2 + m_h^2 \Gamma_h^2}$$

Elastic scattering

$$|\mathcal{M}|^2 \propto \frac{\lambda_S^2}{(t - m_h^2)^2}$$

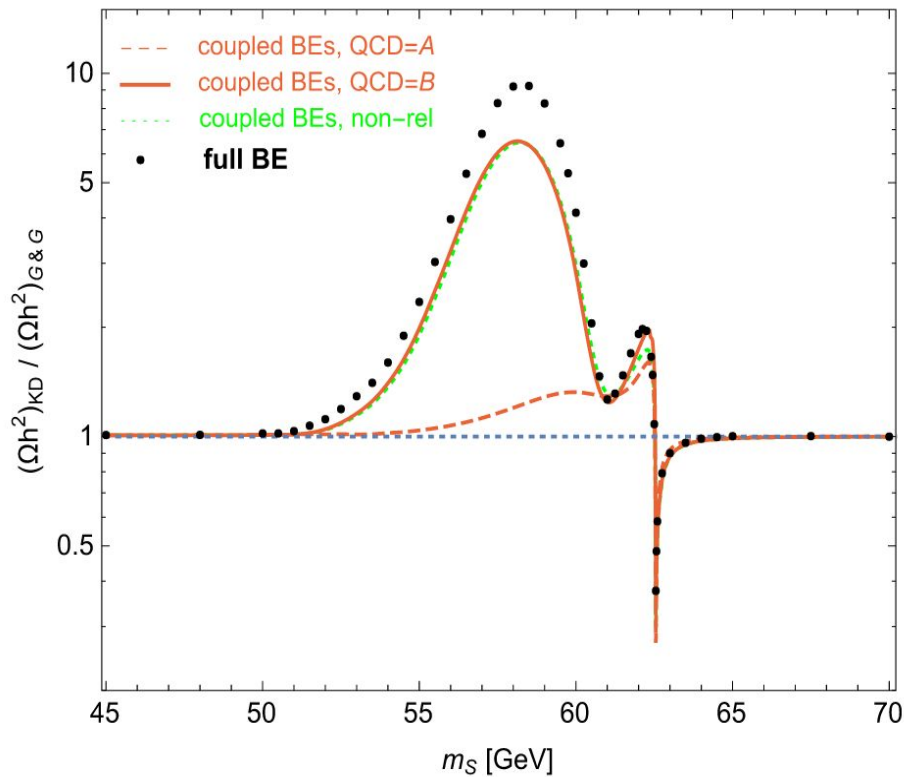
Leads to early **kinetic decoupling**



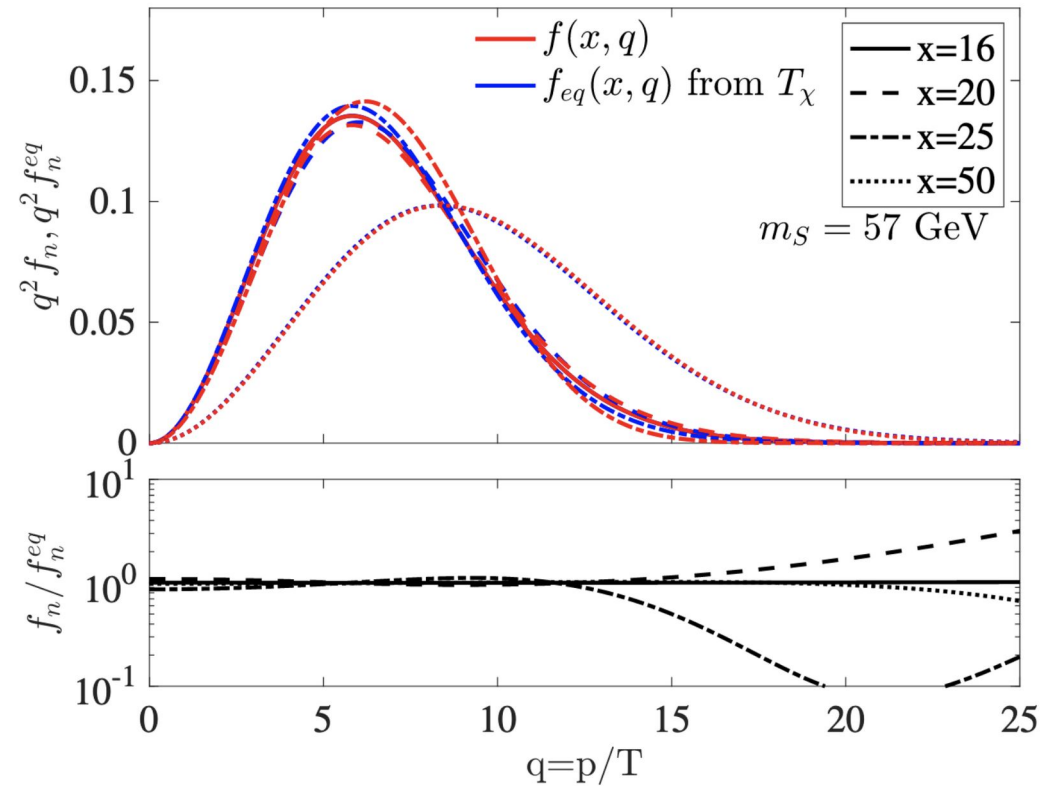
The best-fit region obtained by  
**GAMBIT Collaboration,**  
**1705.07931**

# Example: Scalar singlet DM

Binder+, 1706.07433



Deviation of relic density from the Gelmini-Gondolo approach



Distribution functions  $q^2 f(q)$  and their ratio to the equilibrium ones  $f/f^{\text{eq}}$

# Velocity dependence

If number-changing process is strongly velocity dependent:

- Resonant annihilation
- Sommerfeld enhancement
- Etc.
- Threshold annihilation
- Inelastic scattering / bound state formation

Its rate is sensitive to the shape of the distribution

$$\langle \sigma v \rangle = \frac{g_\chi^2}{n_\chi^2} \int \frac{d^3 p}{(2\pi)^3} \frac{d^3 \tilde{p}}{(2\pi)^2} \sigma_{\text{ann}} v f_\chi(p) f_\chi(\tilde{p})$$



# What about DM self-scatterings?

$$C[f_\chi] = C_{\text{ann}} + C_{\text{dec}} + C_{\text{el}} + C_{\text{self}} + \dots$$

Self-scatterings are **usually neglected** in the calculations of the relic abundance

Two (extreme) cases:

- The **shape** of the distribution is **established by elastic scatterings** (elastic scatterings are more frequent ← a lot of light SM states in the plasma) *considered before*
- Self-scatterings are **very efficient** in establishing DM self-equilibrium (with a  $T \neq T_{\text{SM}}$ ) → approximation to fBE, solving for the density + DM temperature (*next slide*)

# cBE – coupled system of Boltzmann equations

Assumption: DM in **self-scattering equilibrium**

$$f_i = \frac{n_i}{n_i^{\text{eq}}} f_i^{\text{eq}}(E_i, T_{\text{DM}}) \quad T_{\text{DM}} \neq T_{\text{SM}}$$

Integrating the fBE over  $\frac{g_i}{3n_i} \int \frac{d^3 p}{(2\pi)^3} \frac{p_i^2}{E_i}$  (2<sup>nd</sup> moment)

$$\frac{g_i}{3n_i} \int \frac{d^3 p}{(2\pi)^3} \frac{p_i^2}{E_i} \exp(-E_i/T) = T$$

# cBE – coupled system of Boltzmann equations

We get a system of coupled Boltzmann equations (**cBE**) for the **density** and **temperature**

$$\begin{cases} \frac{Y'}{Y} = \frac{m_\chi}{x\tilde{H}} C_0, \\ \frac{y'}{y} = \frac{m_\chi}{x\tilde{H}} C_2 - \frac{Y'}{Y} + \frac{H}{x\tilde{H}} \frac{\langle p^4 / E^3 \rangle}{3T_\chi} \end{cases}$$

$C_0, C_2$  – the corresponding **moments** of the collision term

$$T_\chi = ys^{2/3} / m_\chi$$

$y$  – temperature parameter

$$\langle p^4 / E^3 \rangle \equiv n_\chi^{-1} g_\chi \int \frac{d^3 p}{(2\pi)^3} \frac{\mathbf{p}^4}{E^3} f_\chi(\mathbf{p})$$

# Are self-scatterings important?

Self-scatterings can be more important than elastic scatterings in *shaping* the distribution:

- Momentum transfer  $\Delta p/p \sim 1$  (for elastic  $\Delta p/p \ll 1$ )  $\rightarrow$  **less collisions** required
- Couplings and vertices can be different (**enhanced**)
- **Less constrained** by observations

$$\sigma_{\text{el}}/m \lesssim 10^{-34} \text{ cm}^2/\text{GeV}$$

On electrons, from  
structure formation  
Nguyen+, 2107.12380

$$\sigma_{\text{self}}/m \lesssim 10^{-24} \text{ cm}^2/\text{GeV}$$

From cluster collisions  
Kim+, 1608.08630

# The role of self-scatterings

We studied the impact of self-scatterings on the relic density and momentum distribution of DM in **2204.07078**

- We **compared** the use of different approaches (nBE, cBE, fBE without scatterings) to the full solution that includes self-scatterings
- We considered an *example model* in which the inclusion of self-scatterings is **crucial** for the correct evaluation of the relic density

*(see further)*

# The model

Decaying scalar singlet + DM fermion + dark U(1)\*

$$\mathcal{L} = \mathcal{L}_{\text{SM}} + \frac{1}{2}(\partial_\mu S)^2 - V(S, H) + \underbrace{yS\bar{\chi}\chi + m_{\text{DM}}\bar{\chi}\chi}_{\text{orange}} + \bar{\chi}i\underbrace{\mathcal{D}_\mu\gamma^\mu\chi}_{\text{blue}} - \frac{1}{4}\underbrace{F'_{\mu\nu}F'^{\mu\nu}}_{\text{blue}} - \frac{\epsilon}{2}\underbrace{F'_{\mu\nu}F^{\mu\nu}}_{\text{purple}} + \frac{1}{2}m_A^2 A'_\mu A'^\mu$$

$\mathcal{D}_\mu = \partial_\mu - ie'A'_\mu$

$$V(H, S) = -\mu_H^2 |H|^2 - \frac{1}{2}m_S^2 S^2 + \lambda_H |H|^4 + \frac{\lambda_S}{4} S^4 + \frac{\lambda_{HS}}{2} |H|^2 S^2$$

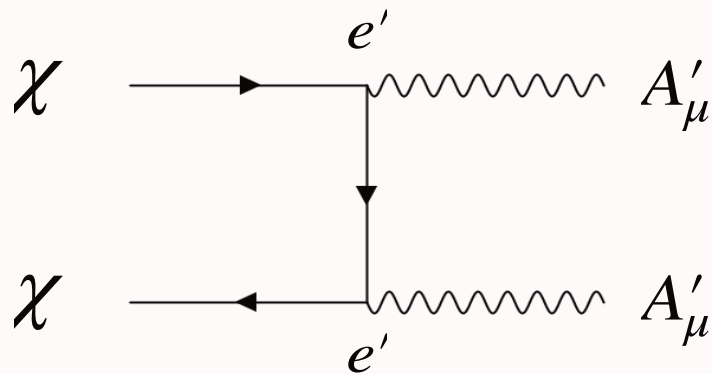
Scalar **decays** → non-thermal component of DM

Dark U(1) → **self-** and **elastic-scatterings** + **annihilation into SM**

\* this model without dark U(1) was studied in a similar context in [Ala-Mattinen+, 2201.06456](#)

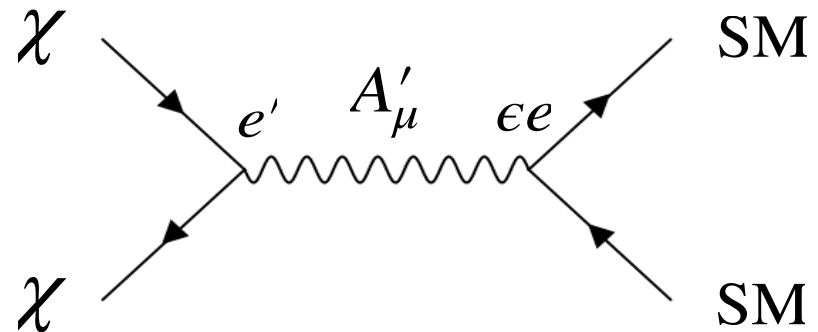
# The model

Decaying scalar singlet + DM fermion + dark U(1)



Sub-threshold annihilation

$$m_\chi < m_{A'_\mu}$$



Resonant annihilation  
(suppressed by the small  $\epsilon$ )

Two DM annihilation processes are possible

In our case the strong velocity dependence comes from **sub-threshold**

# Density evolution

Kinetic equilibrium:

No decay – standard freeze-out

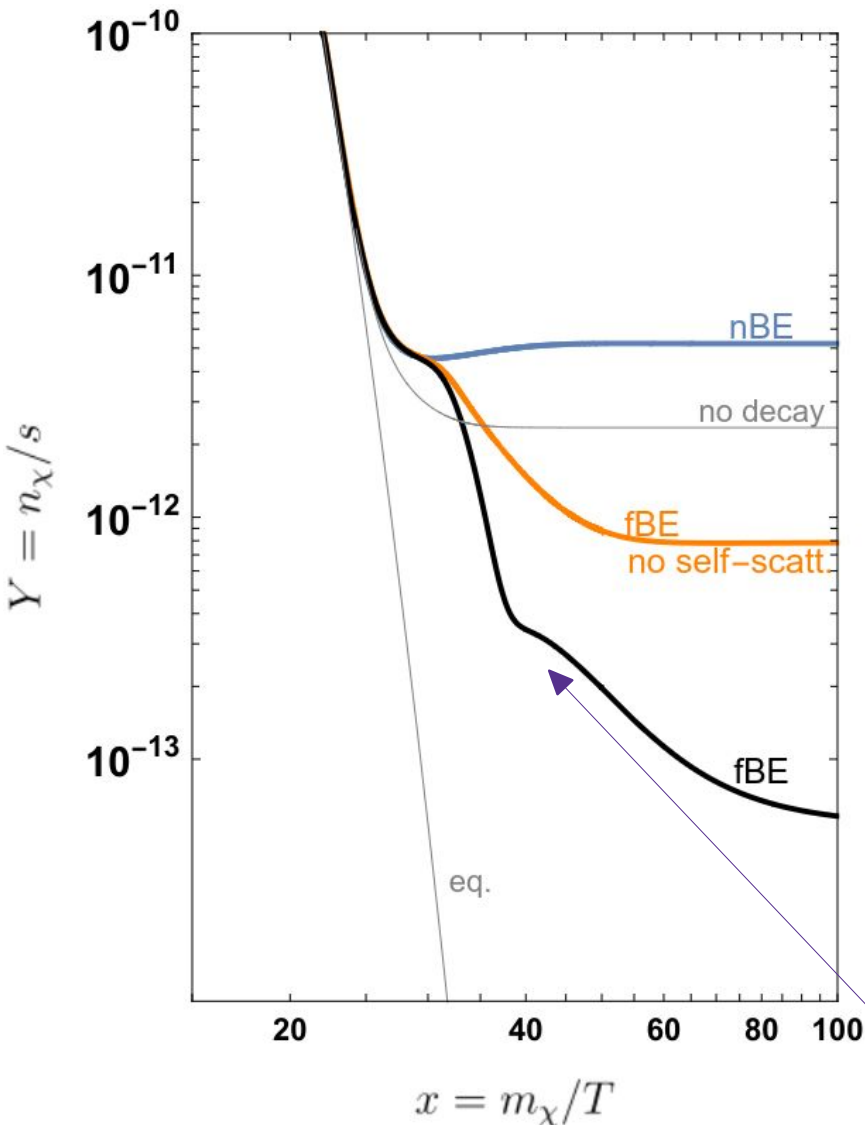
nBE – the density is increased by the late-time decay products

Early kinetic decoupling (fBE):

**No self-scatterings** – hot particles from decays extend the annihilation into SM and deplete the density

**Self-scatterings** *redistribute the energy* from decaying particles and extend the annihilation even longer

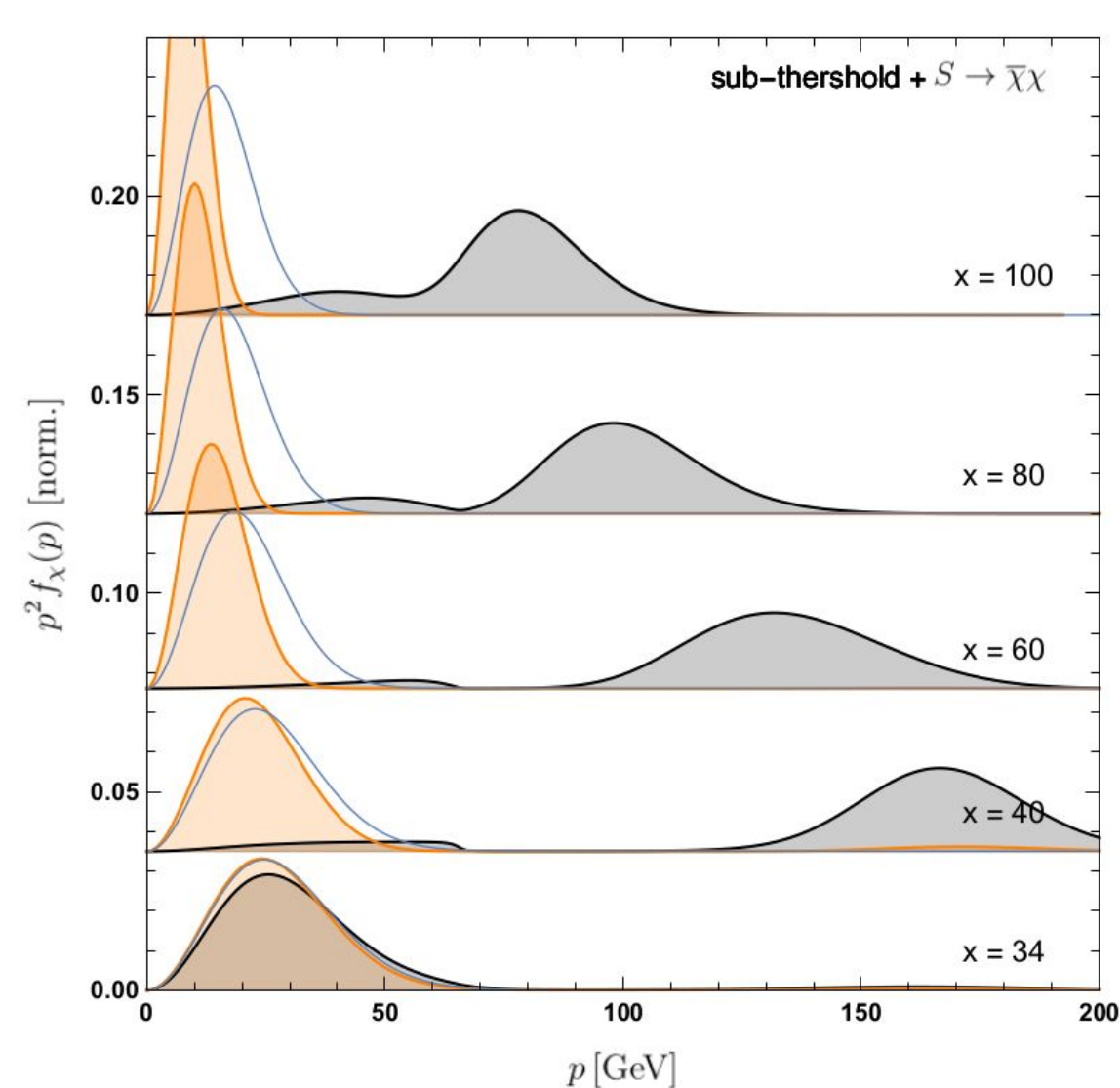
sub-threshold +  $S \rightarrow \bar{\chi}\chi$   
 $m_\chi = 100$  GeV  
 $m_A = 108$  GeV  
 $m_S = 400$  GeV  
 $e' = 1.$   
 $\epsilon = 0.001$



Decays essentially stop contributing



# Distribution function



$x$

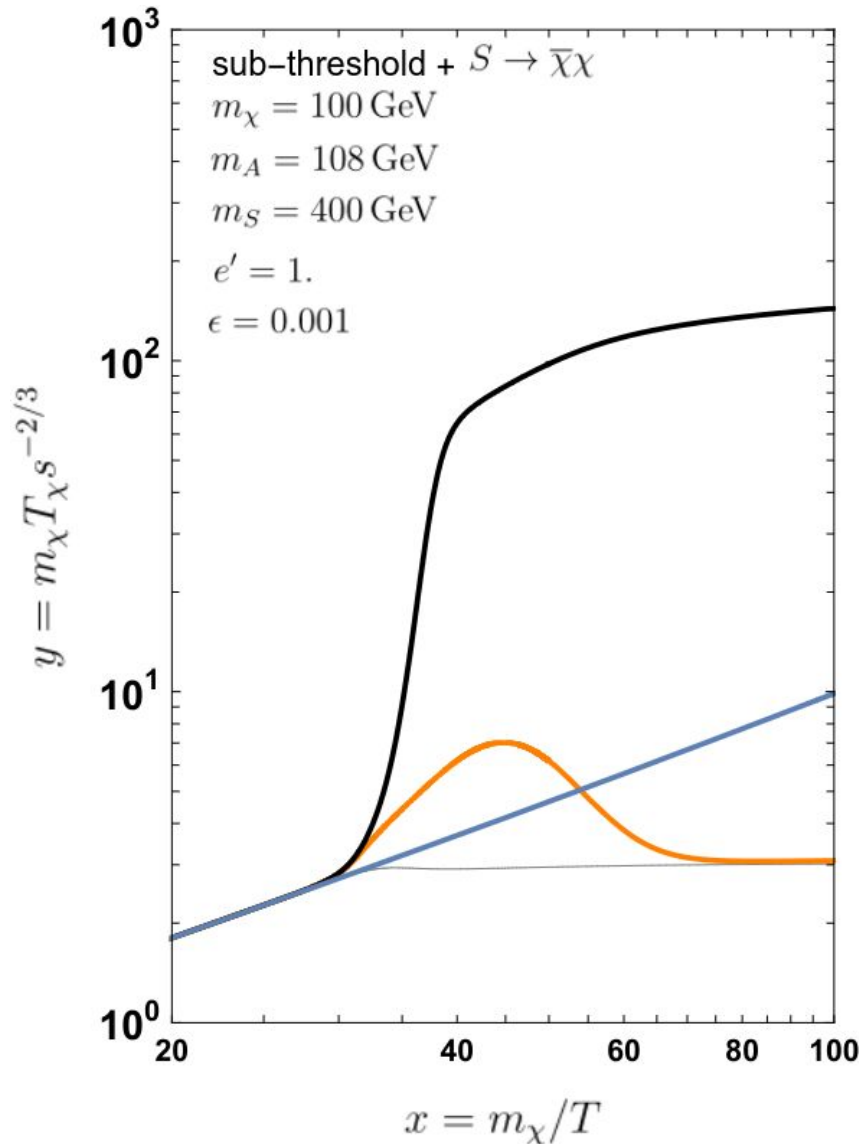
- nBE
- fBE (no scatterings)
- fBE (active scatterings)

Self-scatterings redistribute the heat and “move” the distribution towards larger momenta  $\rightarrow$  larger  $\langle \sigma v \rangle$

Small component of high-energy particles (most of the energy is dumped into SM through annihilations)

Decays start to contribute to the density

# Temperature evolution



$$y \propto T_\chi \cdot x^2$$

Significantly heated up

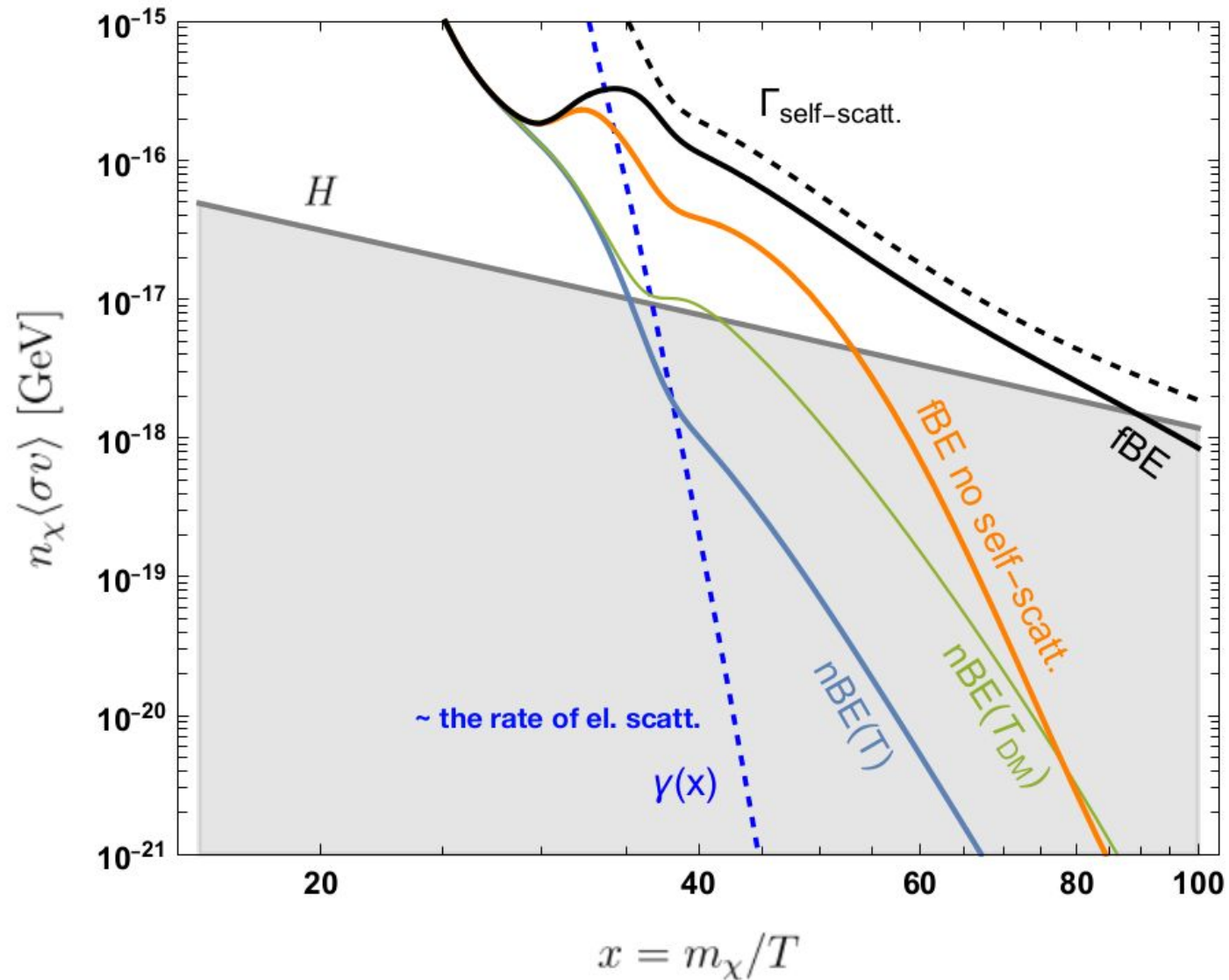
The heat from decays remains in the dark sector due to an efficient **redistribution by self-scatterings**

Temperature is equal to  $T_{\text{SM}}$   $T_{\text{SM}} \propto x^{-1}$

No self-scatterings – DM is slightly heated by decays and then the temperature decreases due to expansion

$$T_{\text{non-rel}} \propto x^{-2} \quad (\text{constant } y)$$

# Rates of processes

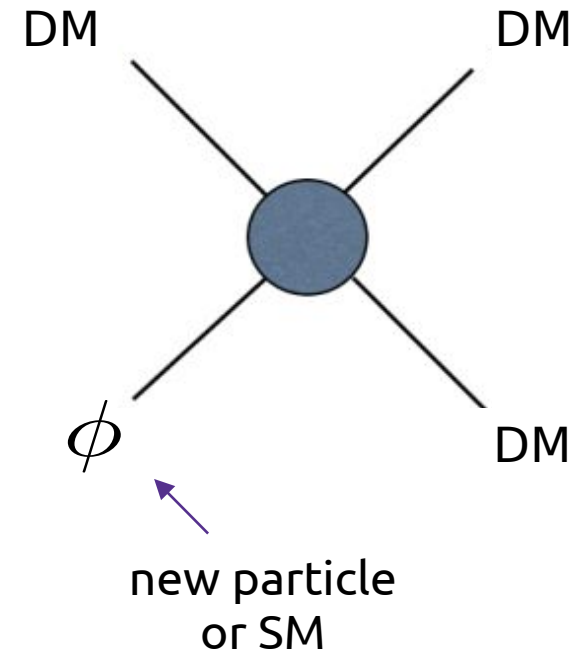


# Semi-annihilation/production

Semi-production can appear from symmetry **larger than  $Z_2$**

For example, scalar singlet +  **$Z_3$**  complex scalar DM:

$$\mathcal{L}_{int} = \mathcal{L}_{SM} + \mathcal{L}_{\phi-SM} + \frac{\lambda}{2} \phi (\chi^3 + (\chi^*)^3)$$



Annihilation cross section is *moderately velocity dependent*, but the reaction **efficiently redistributes the energy** of DM → affects the rate → **affects the relic density**

# Freeze-in from semi-production

We studied the deviation from equilibrium in the model with the semi-production freeze-in in **2104.05684**

- Early kinetic decoupling
- Both  $\phi$  and  $\chi$  have 0 initial abundances
- No VEVs
- No decays

Higgs portal interactions

$$\mathcal{L}_{\phi-SM} = A\phi H^\dagger H + \frac{\lambda_{h\phi}}{2}\phi^2 H^\dagger H - \mu_h^2 H^\dagger H + \frac{\lambda_h}{2}(H^\dagger H)^2$$

$$\mathcal{L}_{DS} = \frac{\mu_\phi^2}{2}\phi^2 + \frac{\mu_3^2}{3!}\phi^3 + \frac{\lambda_\phi}{4!}\phi^4 + \mu_\chi^2 \chi^* \chi + \frac{\lambda_\chi}{4}(\chi^* \chi)^2 \\ + \frac{\lambda_1}{3!}\phi(\chi^3 + (\chi^*)^3) + \frac{\lambda_2}{2}\phi^2(\chi^* \chi),$$

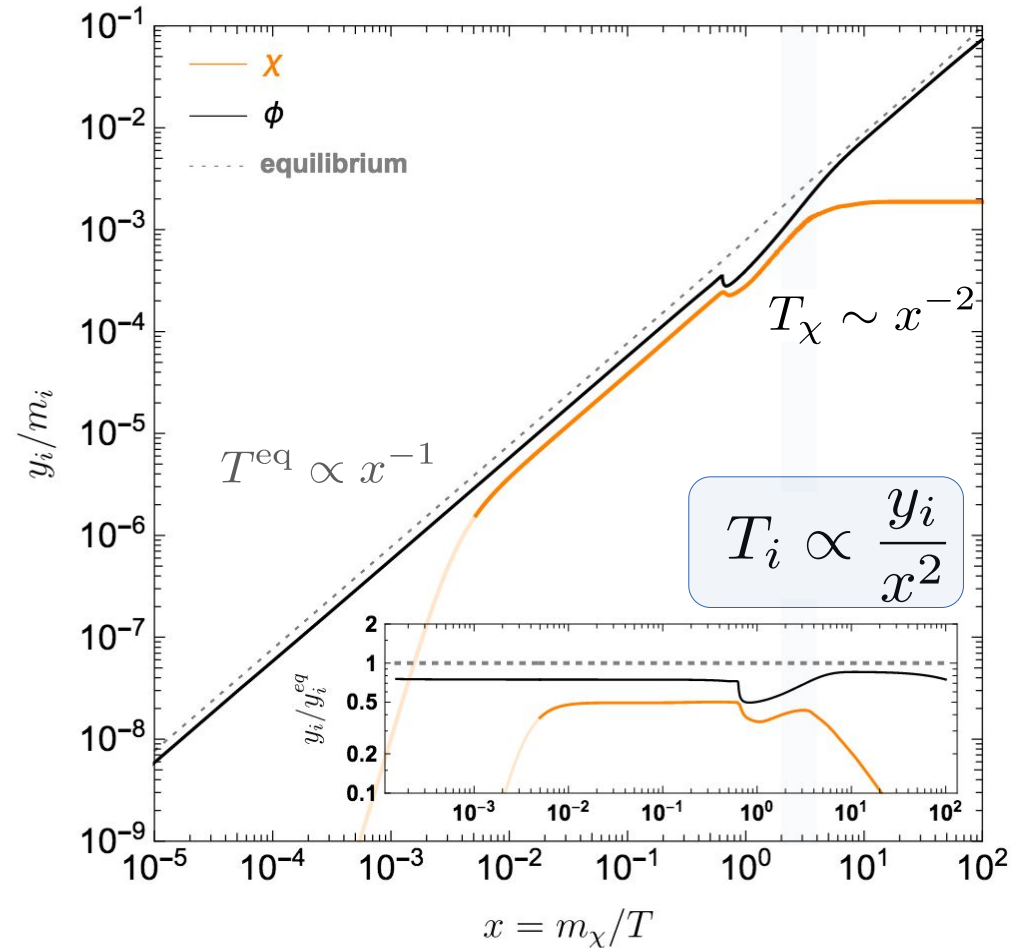
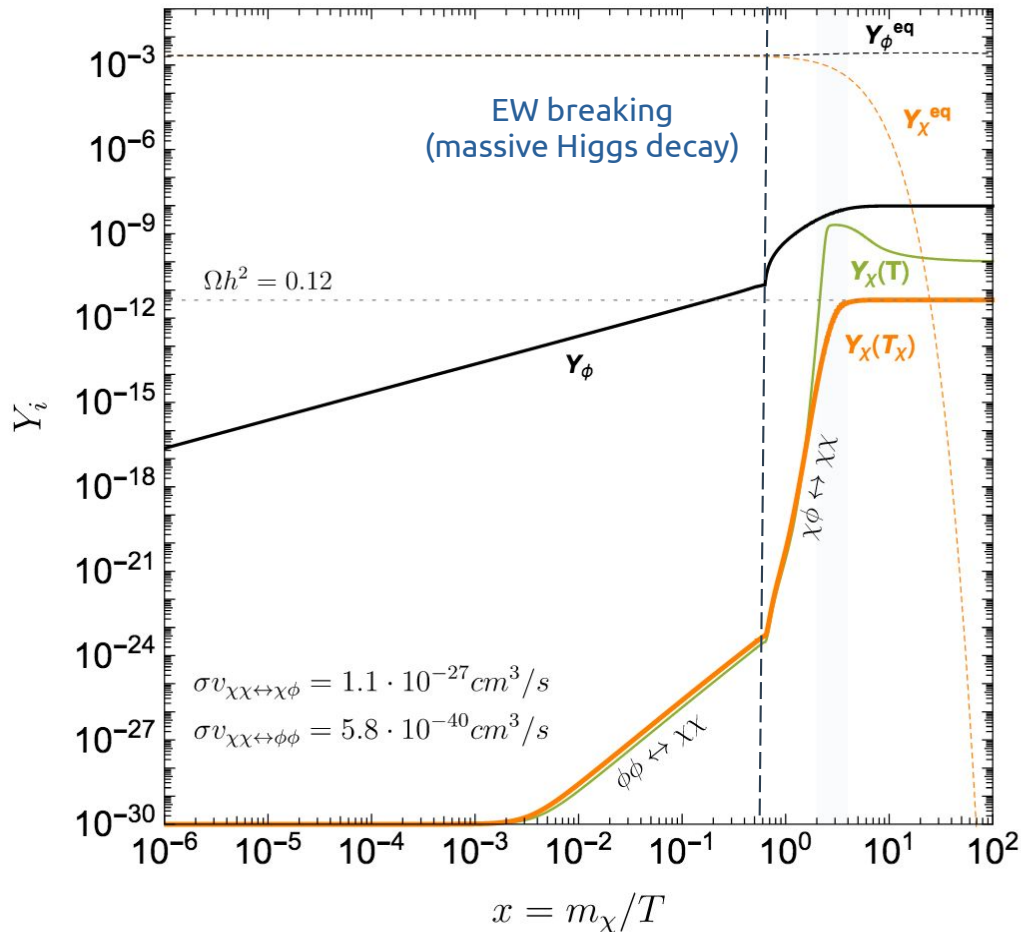
semi-production

Pair-production  
+ elastic scatterings

We assume that self-scatterings are efficient and use **cBE approach**

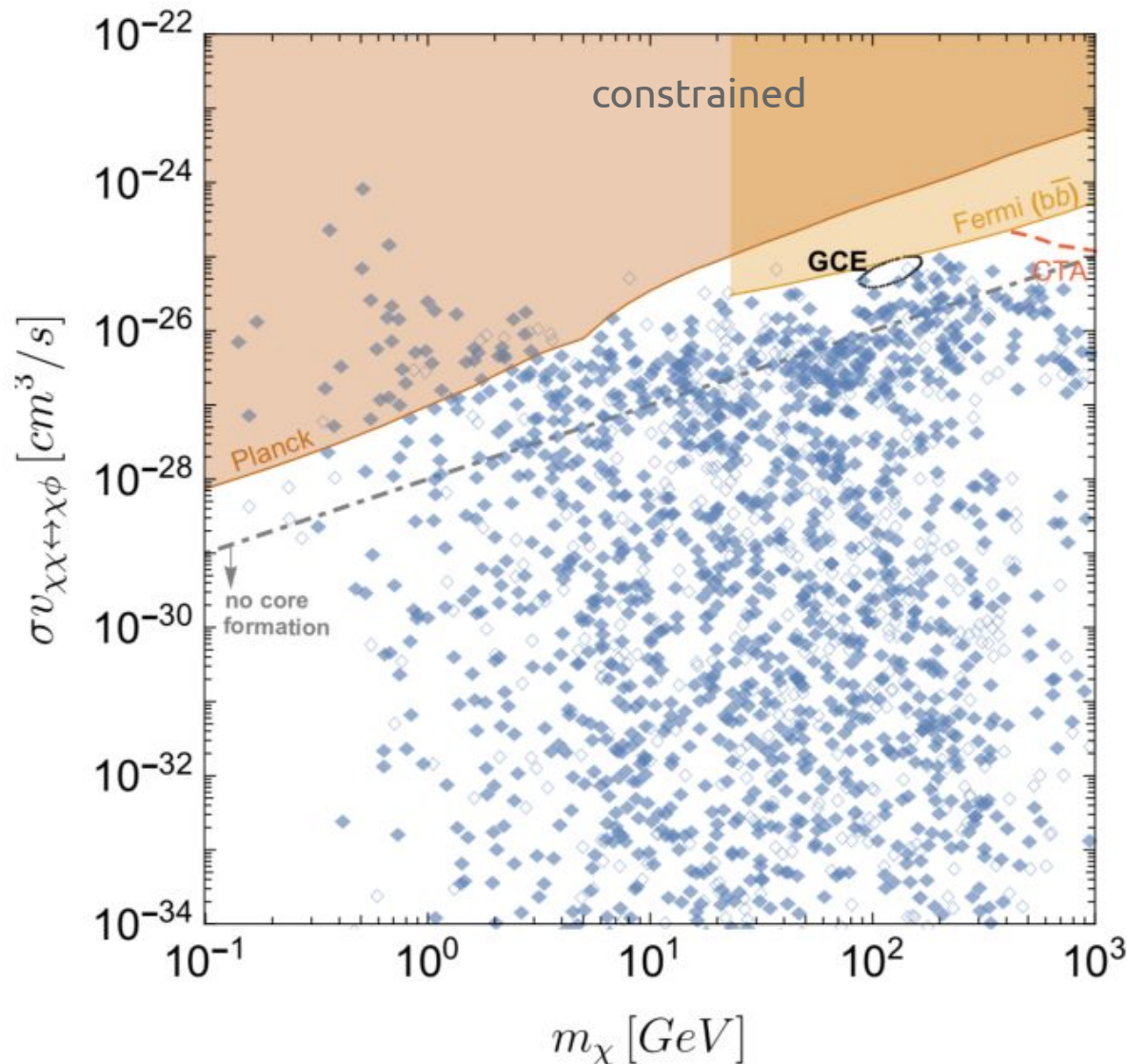
(a similar study was done by **Bringmann+, 2206.10630**)

# Evolution of density and temperature



$$m_\chi = 100 \text{ GeV}, \mu_\phi = 1 \text{ GeV}, \lambda_1 = 1.1 \times 10^{-2}, \lambda_2 = 10^{-8}, \lambda_{h\phi} = 6 \times 10^{-11}$$

# Indirect detection constraints and predictions



The results of the scan:

DM production **dominated** by semi-annihilation

Blue squares → within the reach of the future searches for  $\varphi$

Potentially explain the galactic center excess (GCE)

1603.08228

Above the grey dot-dashed line → potentially explain the core formation in dSph

1803.09762

# Numerical challenges in fBE

Collision term has the following structure

$$C[f_\chi] \propto \int \frac{d^3 k}{(2\pi)^3 E_k} \cdots \left[ f_{\text{SM}}(\tilde{p}) f_{\text{SM}}(\tilde{k}) (1 \pm f_\chi(p)) (1 \pm f_\chi(k)) \right. \\ \left. - f_\chi(p) f_\chi(k) (1 \pm f_{\text{SM}}(\tilde{p})) (1 \pm f_{\text{SM}}(\tilde{k})) \right]$$

For 2→2 annihilation

We can **expand** the collision term in different terms in *orders of the distribution functions*

Terms of order  $O(f) > 2$  are **often omitted**



# Numerical challenges in fBE

Collision terms have the following structure

$$C[f_\chi] \propto f_\chi(p) \int \dots \int \frac{d^3k}{(2\pi)^3 2\omega} \dots$$

Distribution function is not integrated over  
Can be solved **explicitly**

- *Decay terms*
- *Quantum corrections*
- *Elastic scatterings*
- *Co-scatterings*
- *Etc.*

$$C[f_\chi] \propto \int \dots \int \frac{d^3k}{(2\pi)^3 2\omega} f_\chi(k) \dots$$

Distribution function has to be integrated over  
**Integro-differential** equation

- *Annihilations*
- *Self-scatterings*
- *Quantum corrections to elastic scatterings*
- *N-state processes ( $N > 2$ )*

fBE → system of fBEs

$$f_\chi(k) \rightarrow \{f_1(k_1), \dots, f_n(k_n)\}$$

$$\int \frac{dk}{E_k} \rightarrow \sum_i \frac{(\Delta k)}{E_k^i}$$

Numerical integration

# Numerical challenges in fBE

*Annihilation term* has only **one unknown function** in the *lowest order* in  $f_\chi$

$$C_{\text{ann}}[f_\chi(p)] \propto \int \underline{d^3 k} \int d^3 \tilde{p} \int d^3 \tilde{k} \quad f_\chi(p) \underline{f_\chi(k)}$$

*Self-scattering* inevitably has **2 unknown functions**

$$C_{\text{self}}[f_\chi(p)] \propto \int d^3 k \int \underline{d^3 \tilde{p}} \int \underline{d^3 \tilde{k}} \quad \underline{f_\chi(\tilde{k}) f_\chi(\tilde{p})}$$

Backward term for self-scattering

Requires more summations over discretized distribution functions

# Elastic scatterings

$$C_{\text{el}} = \frac{1}{2g_\chi} \int \frac{d^3\tilde{p}}{(2\pi)^3 2\tilde{E}} \int \frac{d^3k}{(2\pi)^3 2\omega} \int \frac{d^3\tilde{k}}{(2\pi)^3 2\tilde{\omega}} \\ \times (2\pi)^4 \delta^{(4)}(\tilde{p} + \tilde{k} - p - k) |\mathcal{M}|_{\chi f \leftrightarrow \chi f}^2 \\ \times \left[ (1 \mp g^\pm(\omega)) g^\pm(\tilde{\omega}) \underline{f_\chi(\tilde{E})} - (\omega \leftrightarrow \tilde{\omega}, E \leftrightarrow \tilde{E}) \right]$$

Can be approximated in the limit of **low momentum transfer** ( $\delta p/p \ll 1$ )

$$C_{\text{el}} \simeq \frac{E}{2} \gamma(T) \left[ TE \partial_p^2 + \left( 2T \frac{E}{p} + p + T \frac{p}{E} \right) \partial_p + 3 \right] \underline{f_\chi},$$

Fokker-Planck type approximation

where the momentum exchange rate  $\gamma(T)$  is given by

As described in [Binder+, 1706.07433](#)

$$\gamma(T) = \frac{1}{48\pi^3 g_\chi m_\chi^3} \int d\omega g^\pm \partial_\omega \left( k^4 \langle |\mathcal{M}|^2 \rangle_t \right)$$

# How is fBE solved?

DRAKE code for the calculation  
of DM abundance

Written in *Mathematica* language



<https://drake.hepforge.org> Binder+, 2103.01944

The current version solves **nBE**, **cBE** and **fBE** for the freeze out of 2-2 annihilation processes

For our studies we **included**:

- **Decays**
- **Self-scatterings** – implemented a C++ patch for *fast numerical intergration* of the collision term integrals
- Patches for *fast integration* of **cBE moments of collision term**

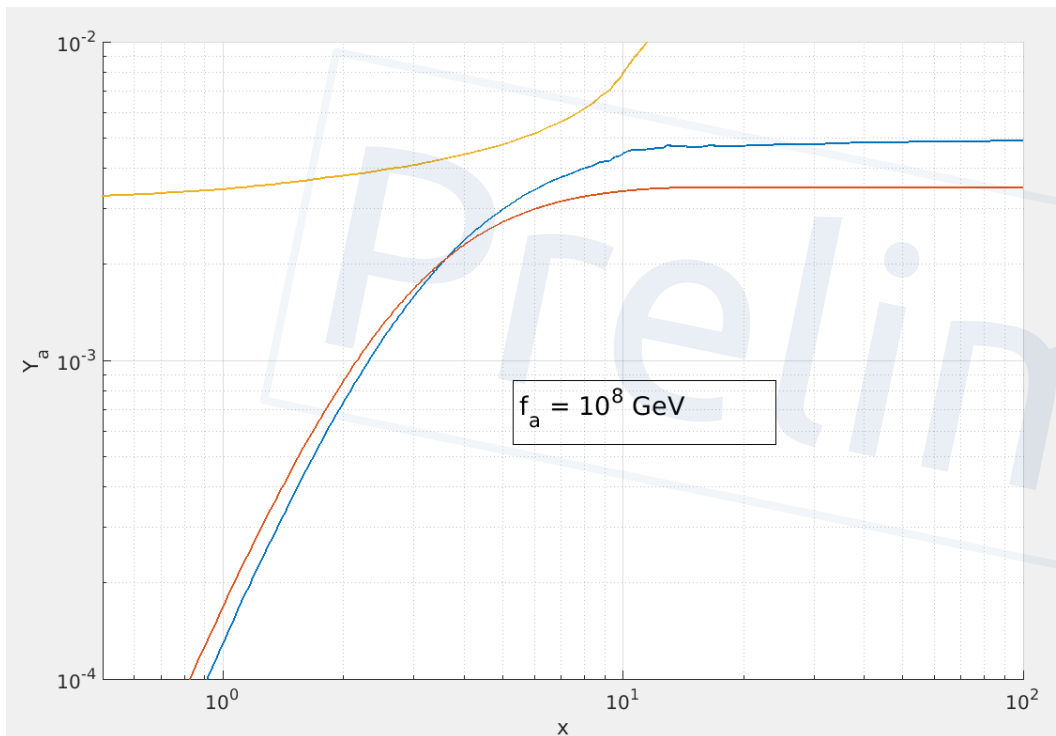
# Conclusion

- The *interplay of different interactions* in some DM models can lead to a **deviation** of the DM energy distribution **from the equilibrium shape**
- The shape of the distribution **affects the rate** → affects **the relic density**. The effect is pronounced if:
  - Cross section is strongly velocity dependent
  - Weak elastic/self-scatterings *w.r.t. annihilation*
- To account for these effects one has to solve the **fBE** or **cBE** (if the dark sector is self-thermalized)

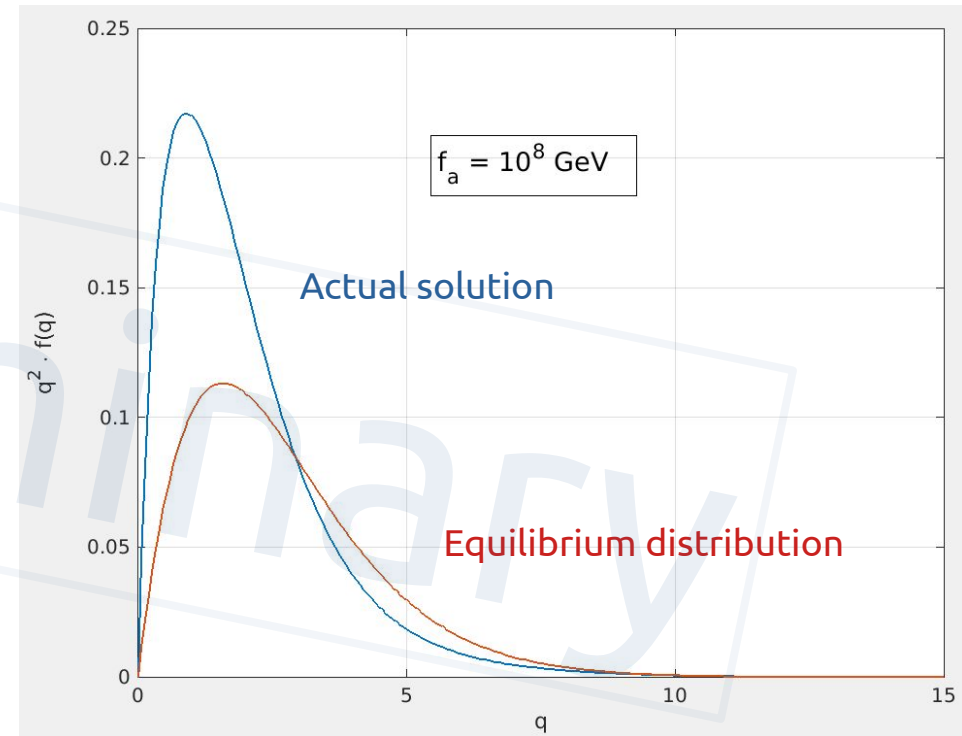
# Axions and lepton-flavour violating decays

Axions contribute to the effective number of relativistic dof and can be constrained

*In progress, with M. Badziak*



Evolution of the number density of axions from tau decays



Distribution function of axions at the end of evolution