

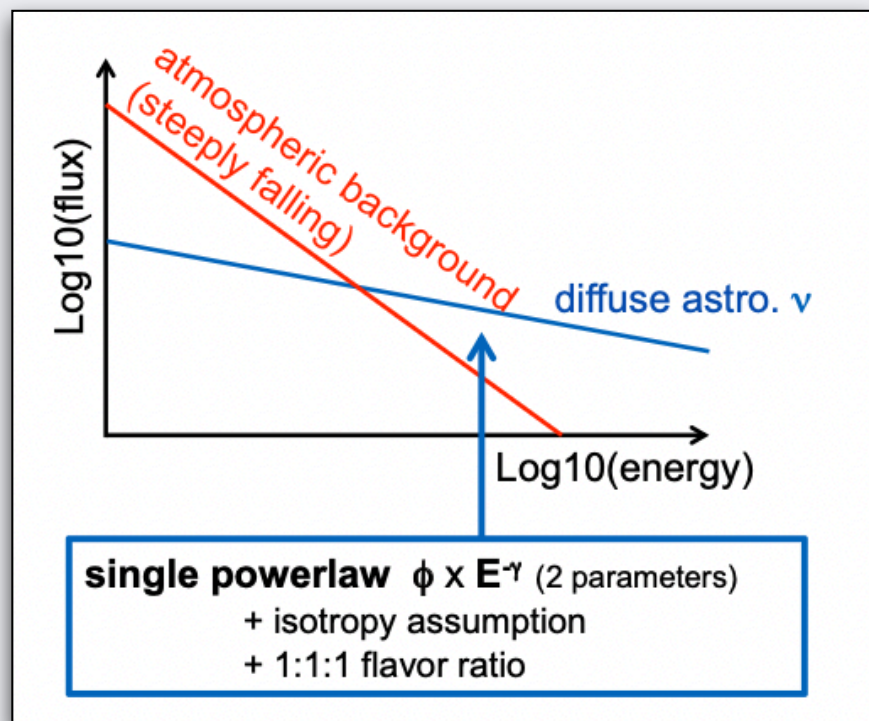
# Diffuse Astrophysical (Tau) Neutrinos

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Penn State



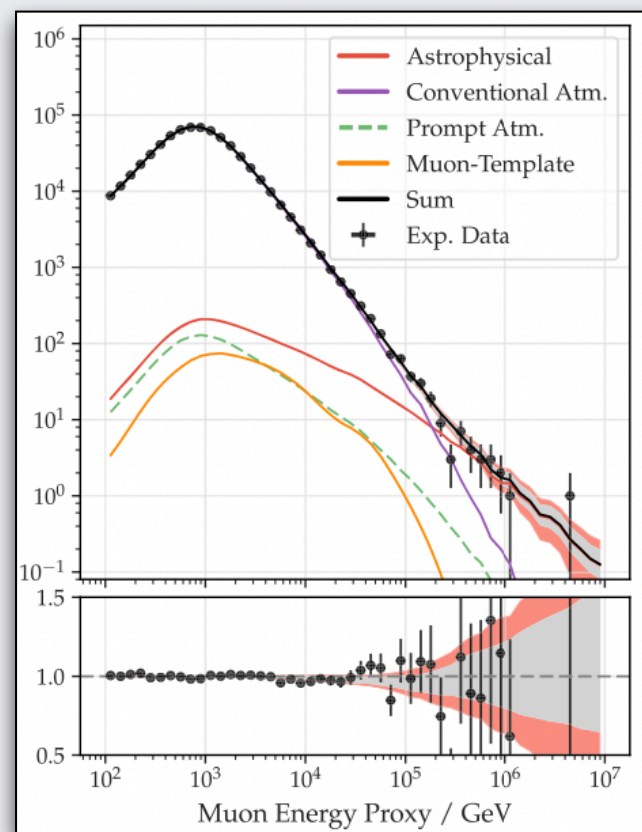
# Introduction

- Diffuse  $\nu^{\text{astro}}$ : excess at high  $E_\nu$
- Give insights into acceleration and propagation of high- $E$  cosmic rays



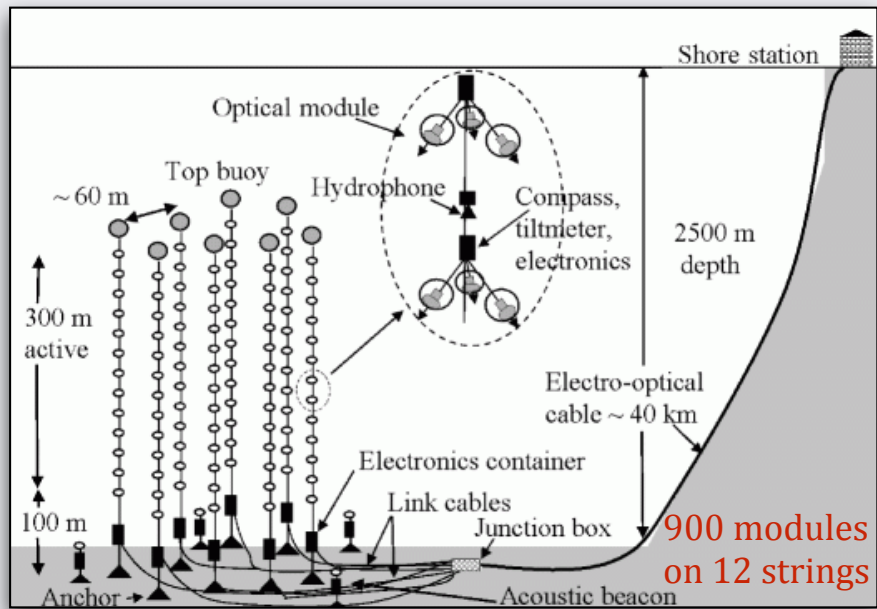
H. Niederhausen | XVIII Intl. Workshop on  $\nu$  Telescopes

- Possible sample slices: Contained, Upgoing, Track-like, Cascade-like, Tau-like
- Possible sources: AGNs, choked GRBs, TDEs, Starburst galaxies...

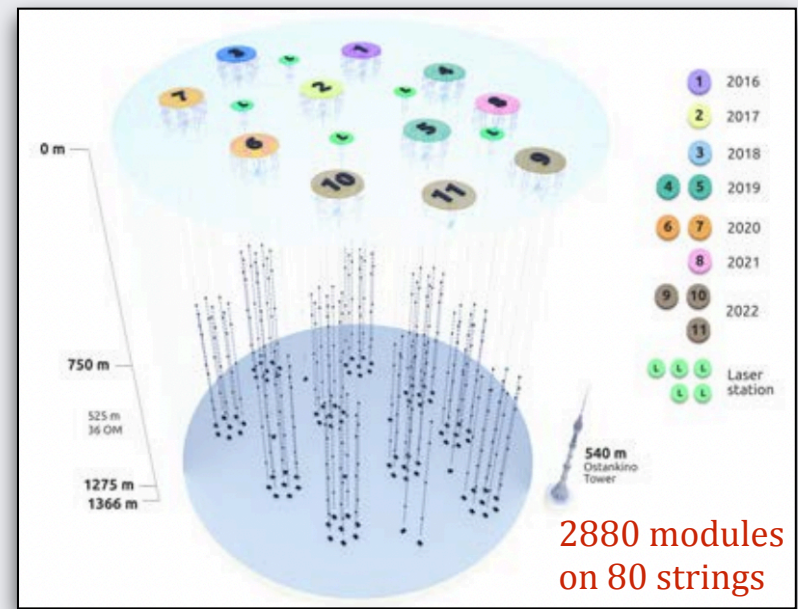


IceCube, *Astrophys. J.* 928, 50 (2022)

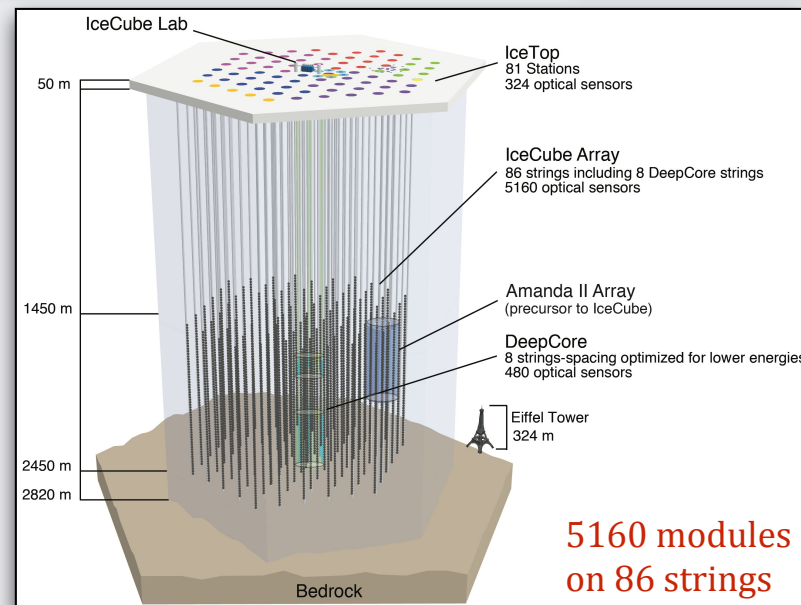
# Detectors



ANTARES



Baikal/GVD



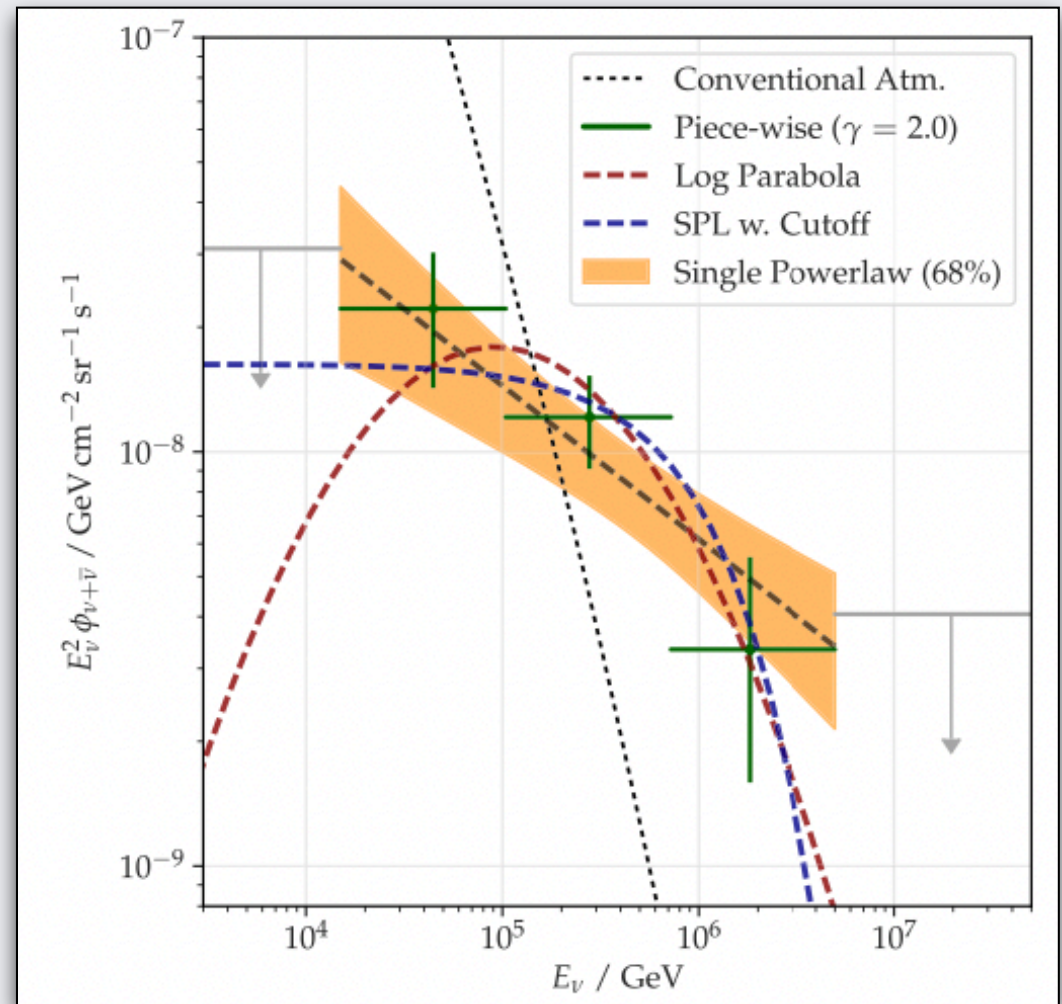
IceCube

# Diffuse High- $E$ $\nu$ : Previous Results

- Flux consistent with single power law (SPL):

$$\Phi_\nu \propto \phi_0 E_\nu^{-\gamma}$$

- Also consistent with (softer) SPL-with-cutoff, log-parabola, piece-wise.
- Inconsistent with pure  $\nu^{\text{atm}}$  at  $> 5\sigma$  ( $> 3\sigma$ ,  $> 1.8\sigma$ ) by IceCube (GVD, ANTARES)
- Comparable energy content in  $\gamma$ -rays,  $\nu$ 's and cosmic-rays.
- Suggests common origin(s).

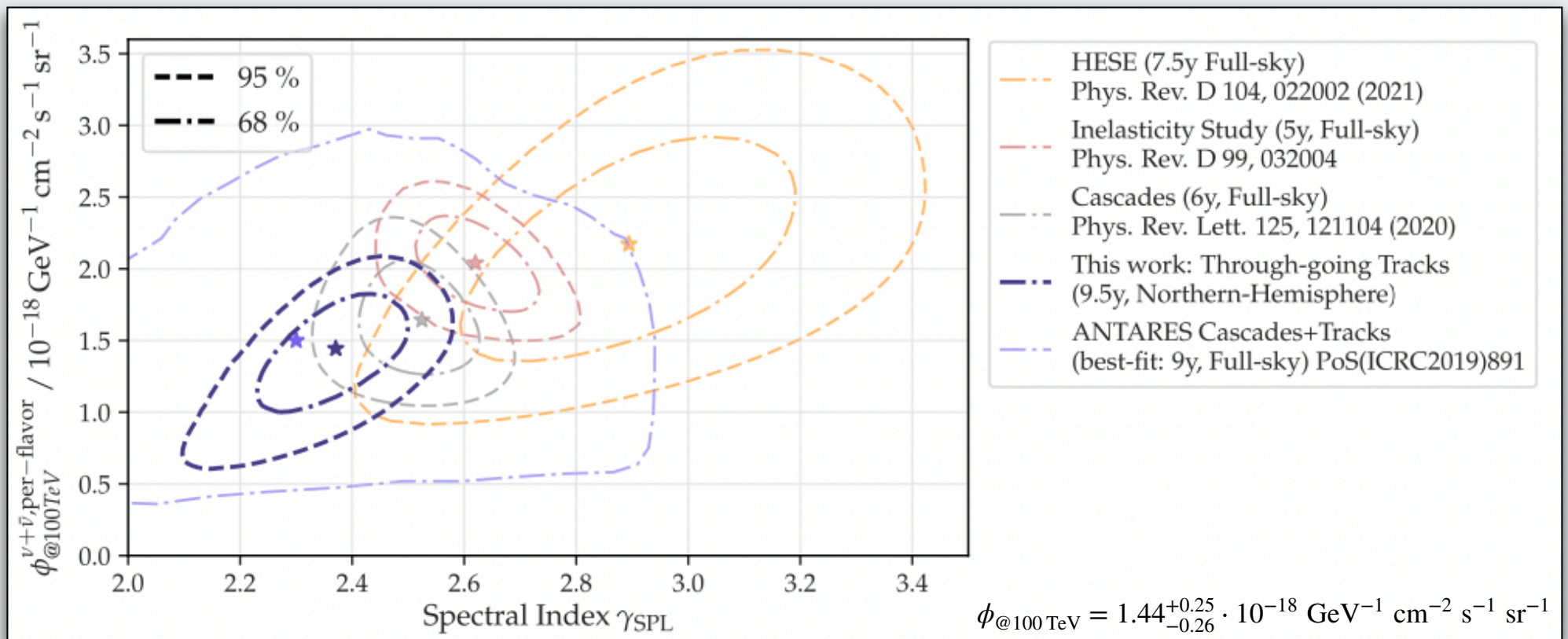


$$N_{\text{evt}}(E_{\mu, \text{proxy}} > 200 \text{ TeV}) \sim 35$$



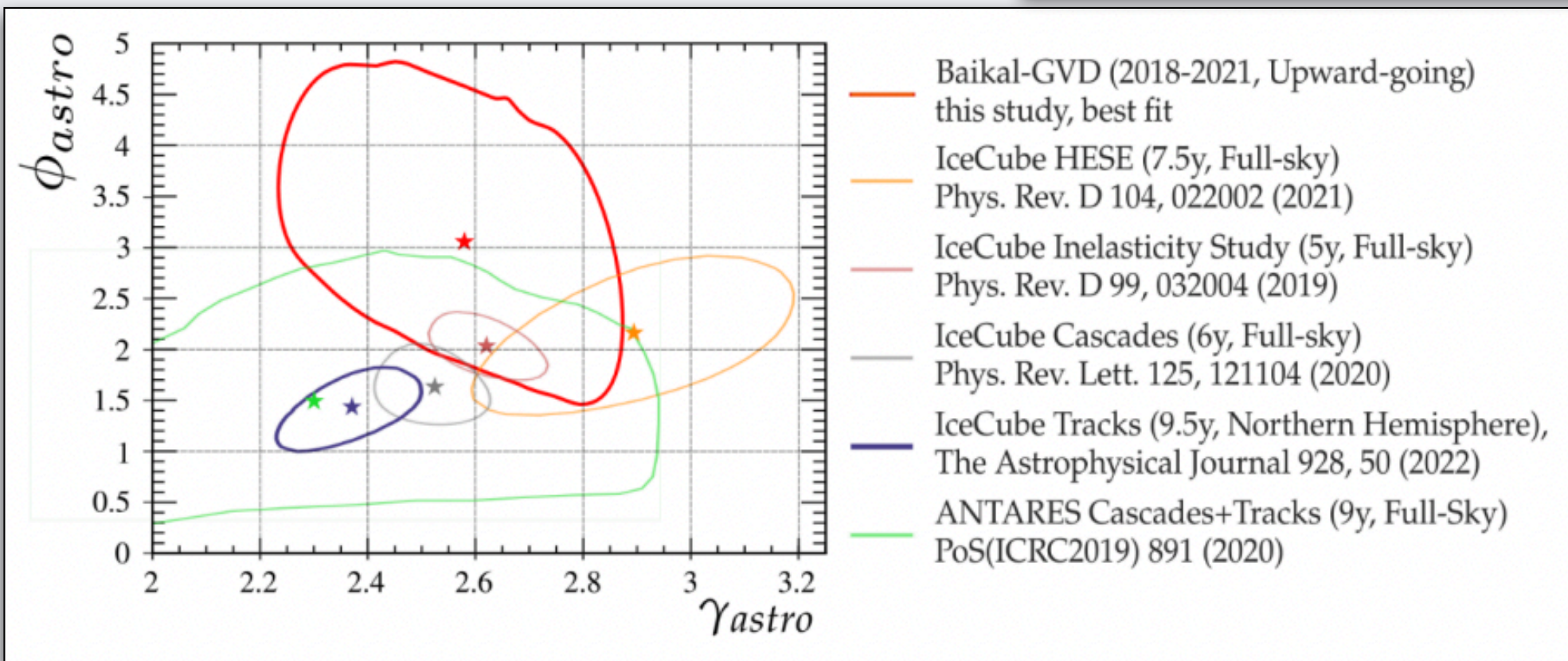
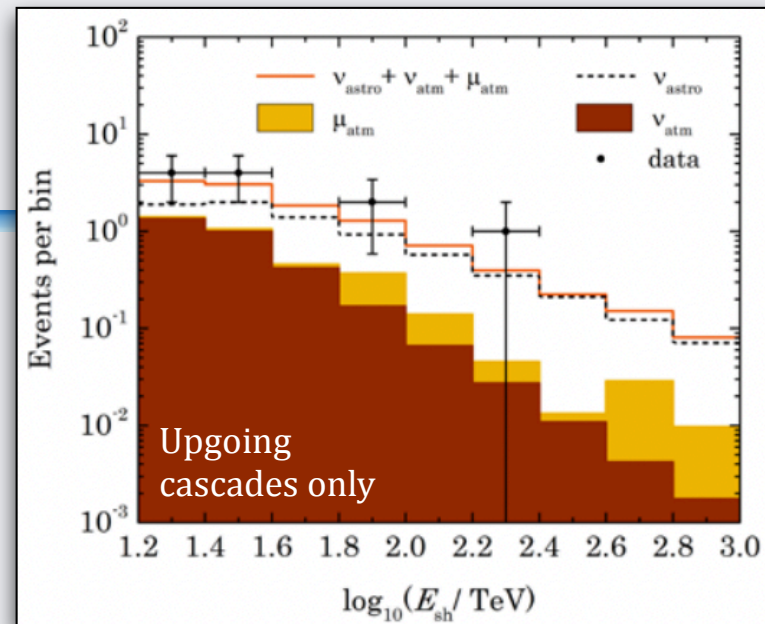
# Diffuse High- $E$ $\nu$ : Previous Results

- Summary of ANTARES & IceCube measurements of  $\phi_0$ ,  $\gamma$
- All broadly consistent with one another



# New Results: GVD

- Based on cascade-like events
- Consistent with other msmts.



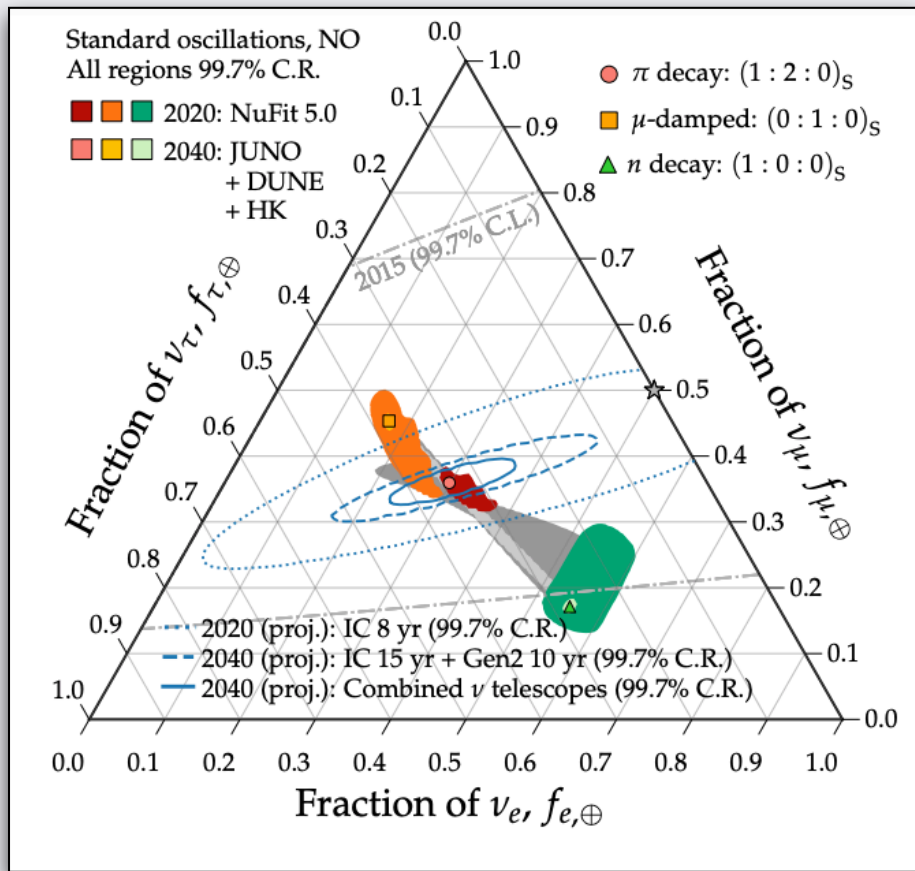
# $\nu_{\tau}^{\text{astro}}$ : A New (Multi-)Messenger?

- Detection of  $\nu_{\tau}^{\text{astro}}$  is very challenging. Why bother?
  - Can help pin down flavor ratio  $\nu_e : \nu_{\mu} : \nu_{\tau}$  at sources
    - More insight into acceleration environment
  - Improves access to cosmic baseline  $\nu$  oscillations
    - Strong deviations from 1:1:1 at detector  $\rightarrow$  new physics?

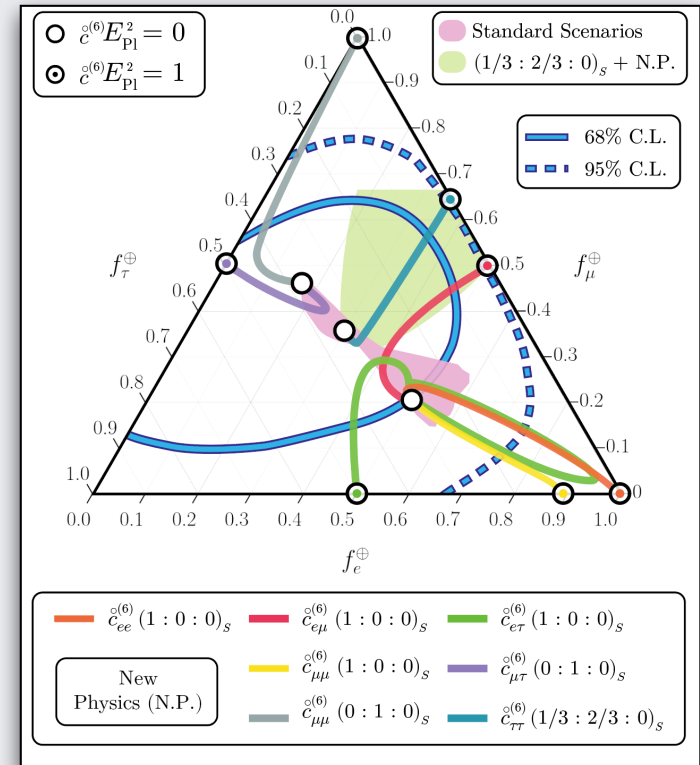
# Importance of Flavor ID for $\nu^{\text{astro}}$

At Earth,  $\nu_e : \nu_\mu : \nu_\tau$  could tell us about the source...

...while strong deviations from 1:1:1 could mean new physics.



<https://arxiv.org/abs/2012.12893>



<https://arxiv.org/abs/2111.04654v1>

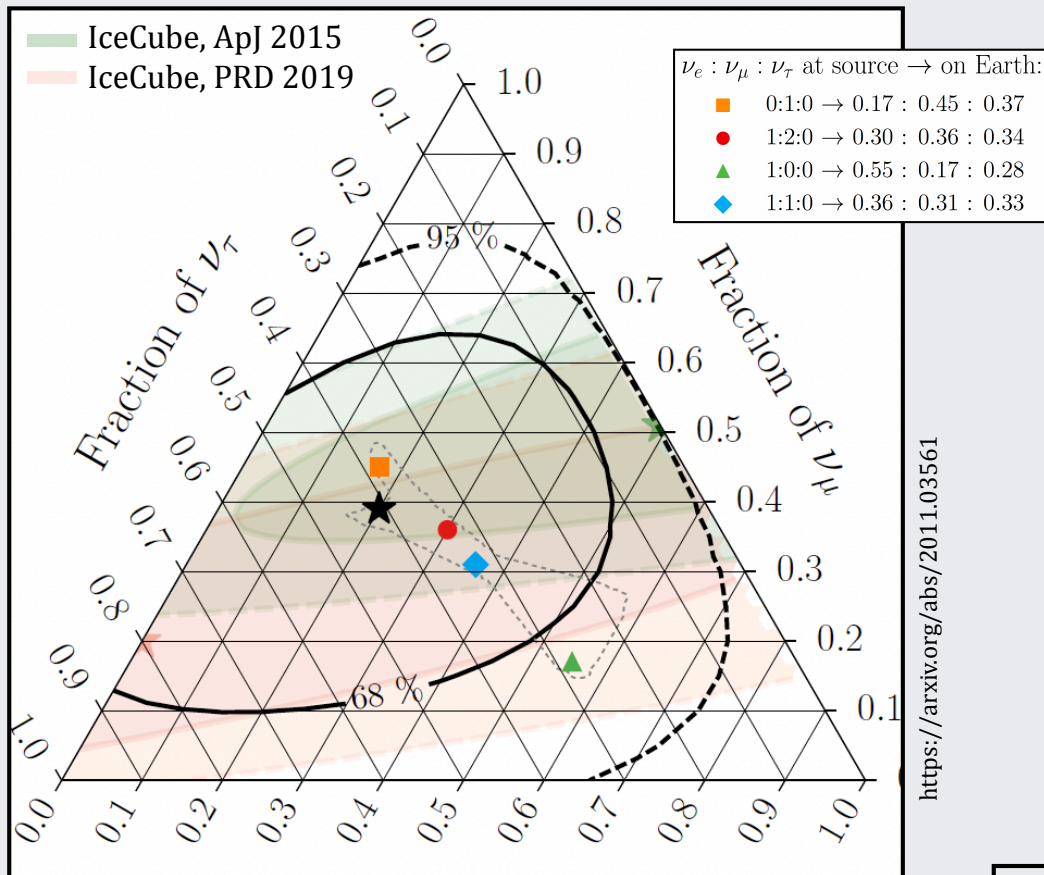
Example: Effect of quantum gravity.

For more examples, see Refs. 22-59 in IceCube, PRD 104, 022002 (2021).



# Importance of Flavor ID for $\nu^{\text{astro}}$

## Status quo:



Measured flavor composition of IceCube HESE events.  $\star$  is best fit point, consistent with presence of all 3 flavors, but  $\nu_\tau$  flux only weakly constrained.

To shrink the contour, need better P.I.D., certainly more than just “track” vs. “cascade.”

# $\nu_{\tau}^{\text{astro}}$ : A New (Multi-)Messenger?

- Detection of  $\nu_{\tau}^{\text{astro}}$  is very challenging. Why bother?
  - Can help pin down flavor ratio  $\nu_e : \nu_{\mu} : \nu_{\tau}$  at sources
    - More insight into acceleration environment
  - Improves access to cosmic baseline  $\nu$  oscillations
    - Strong deviations from 1:1:1 at detector  $\rightarrow$  new physics?
- Path forward: Improve particle ID
  - From  $(\nu_{e,\tau}^{\text{CC}}, \nu_{e,\mu,\tau}^{\text{NC}})$  vs.  $\nu_{\mu}^{\text{CC}}$ 
    - “cascade” vs. “track”
  - To  $(\nu_e^{\text{CC}}, \nu_{e,\mu,\tau}^{\text{NC}})$  vs.  $\nu_{\mu}^{\text{CC}}$  vs.  $\nu_{\tau}^{\text{CC}}$ 
    - “single cascade” vs. “track” vs. “double cascade”

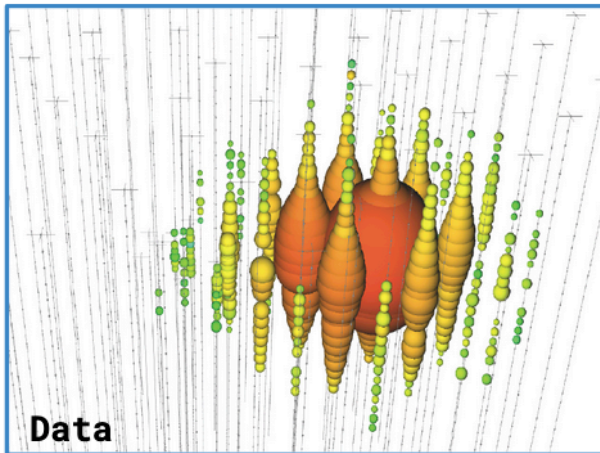
# $\nu_{\tau}$ astro: Signatures

Spheres:	DOMs
White:	recorded no light
Color:	recorded light
Size:	light collected
Color shows time information:	
Early	
Late	

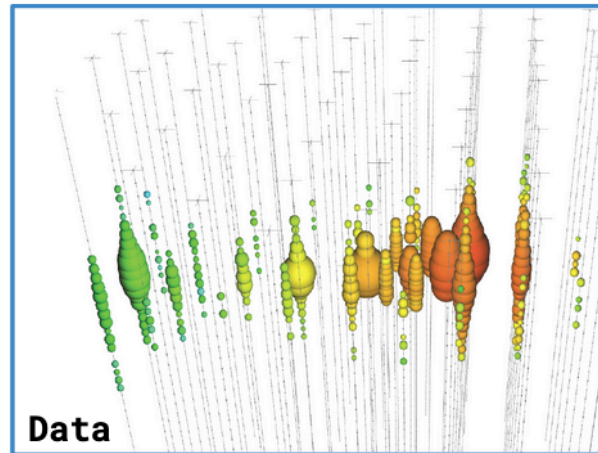
At high energies: “Double Bang.”  
Unfortunately, very rare.

$$L_{\tau} \simeq 50\text{m} \cdot E_{\tau} / \text{PeV}$$

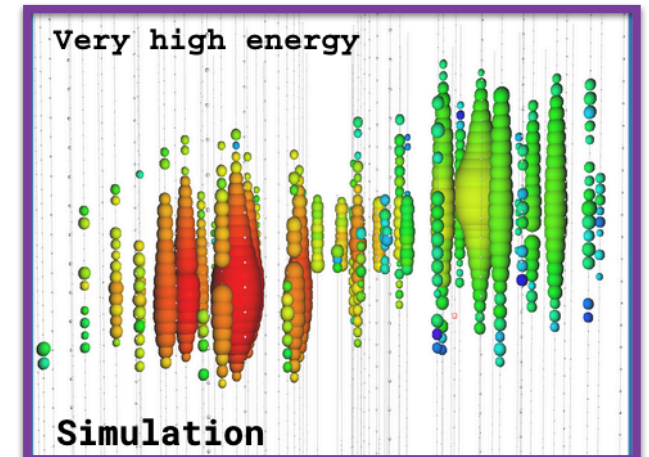
All Neutral Current/  
Charged Current  $\nu_e$



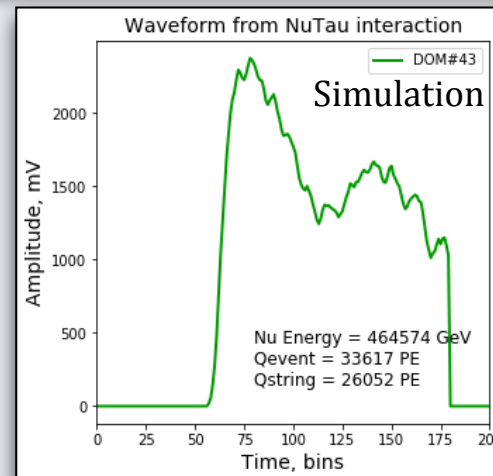
Charged Current  $\nu_{\mu}$



Charged Current  $\nu_{\tau}$



More flux at lower energies!  
Look for subtler signature(s)  
in one or more modules.



# $\nu_{\tau}^{\text{astro}}$ : Past Results

- Previous IceCube analyses:

- Exclusive v01

- Seek distinct double pulse (DP) waveforms in 1–2 modules

- Two candidate  $\nu_{\tau}^{\text{astro}}$

- ~Inclusive

- Use likelihood reconstruction to classify 60 “HESE” events (with  $E_{\text{dep}} > 60$  TeV) as either track, single cascade, or double cascade

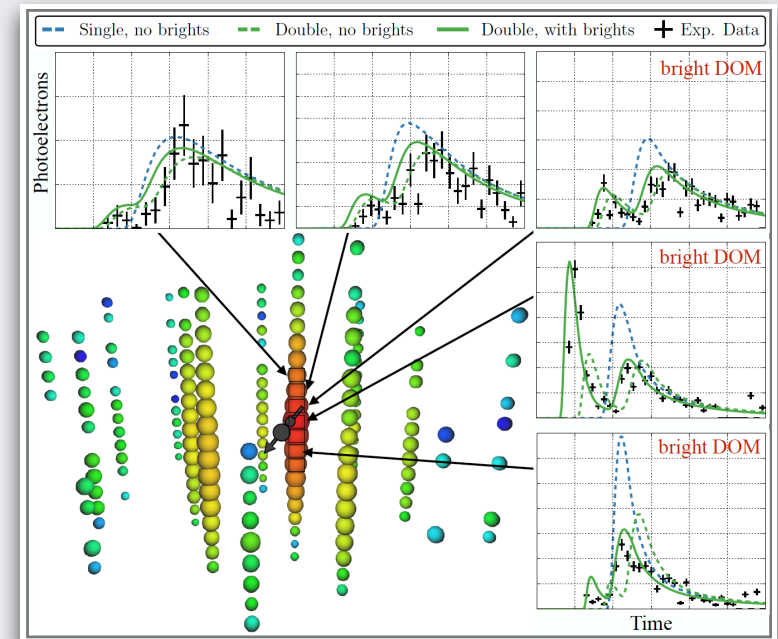
- Saw 17 tracks, 41 single cascades, 2 double cascades

- Summary of results:

- One event (nicknamed “Double Double”) appeared in all analyses

- Background levels were  $\mathcal{O}(1)$  event

- Best exclusion of null hypothesis,  $\Phi(\nu_{\tau}^{\text{astro}}) = 0$ , was  $2.8\sigma$



“Double Double” candidate  $\nu_{\tau}$



# $\nu_{\tau}^{\text{astro}}$ : Past Results

- With standard  $\nu$  oscillations, expect  $\gg 2 \nu_{\tau}^{\text{astro}}$  in the data
- Challenge: Grow  $N_{\nu_{\tau}}$  but keep  $N_{\text{bkgd}}$  low
  - Exclusive analysis: Look for double pulse waveforms, correlations across modules & strings, ...
    - $L_{\tau} \sim 10\text{--}50$  m to distinguish two showers in individual module light-arrival waveforms
      - Identify DPs in one or more modules
      - Lower  $E_{\nu}$  threshold increases event count:  $\phi_{\nu}^{\text{astro.}} \propto E_{\nu}^{-2.x}$
  - Previous IceCube analyses
    - Look for 1–2 modules with waveform(s) having clean DP signature(s)
    - Candidate  $\nu_{\tau}$  seen, but need higher S/N

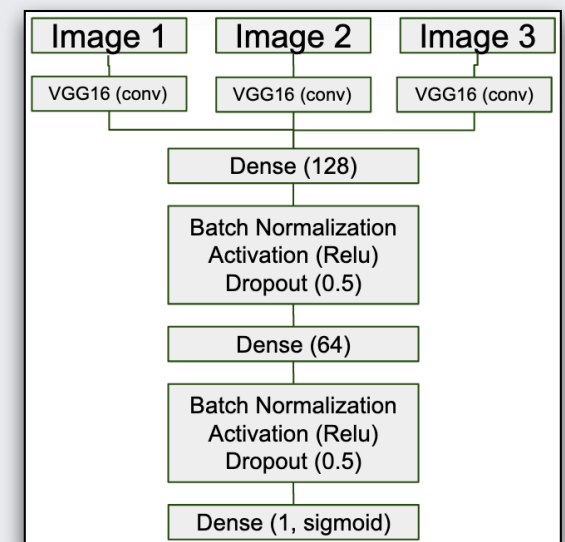
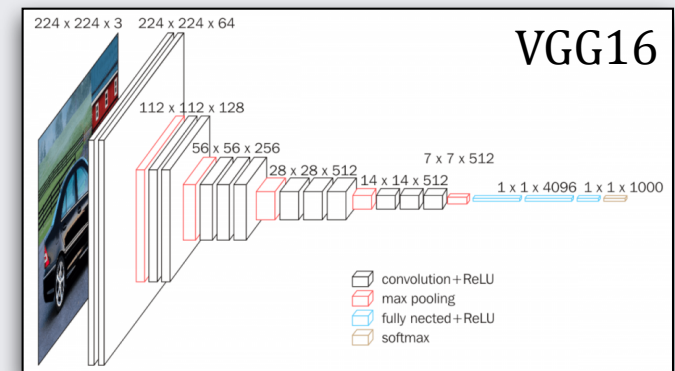
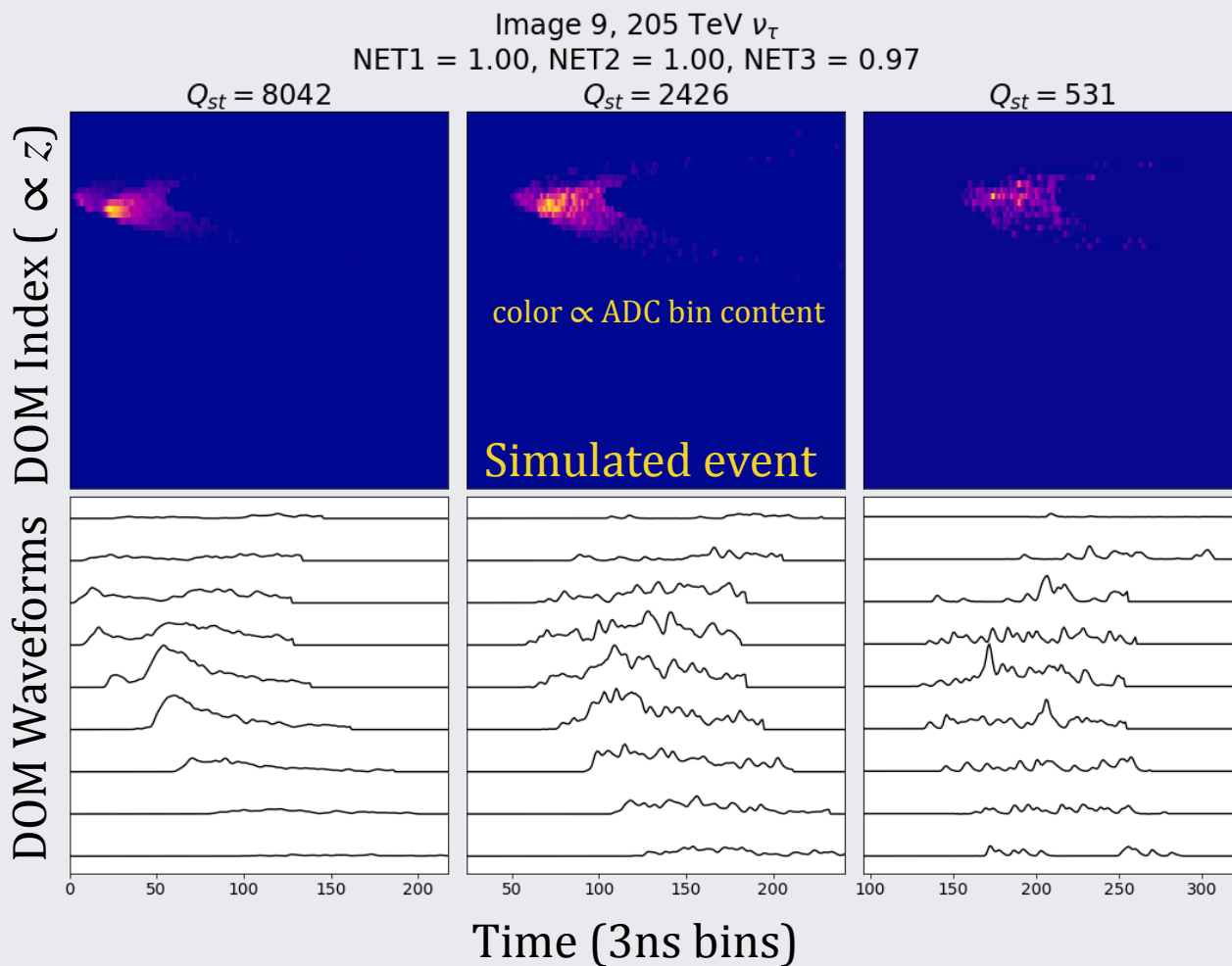
# $\nu_{\tau}^{\text{astro}}$ : New Approach

- With standard  $\nu$  oscillations, expect  $\gg 2 \nu_{\tau}^{\text{astro}}$  in the data
- Challenge: Grow  $N_{\nu_{\tau}}$  but keep  $N_{\text{bkgd}}$  low
  - Exclusive analysis: Look for double pulse waveforms, correlations across modules & strings, ...
    - $L_{\tau} \sim 10-50$  m to distinguish two showers in individual module light-arrival waveforms
      - Identify DPs in one or more modules
      - Lower  $E_{\nu}$  threshold increases event count:  $\phi_{\nu}^{\text{astro}} \propto E_{\nu}^{-2}$
  - Current analysis
    - Look for DP signatures across 180 modules on 3 strings using neural networks
    - High S/N achieved...

# $\nu_{\tau}^{\text{astro}}$ : Convolutional Neural Networks

- $\nu_{\tau}$  DP with up to 180 modules
  - Create 2d images, one per string

- Train convolutional neural network (CNN) to find signal and reject background

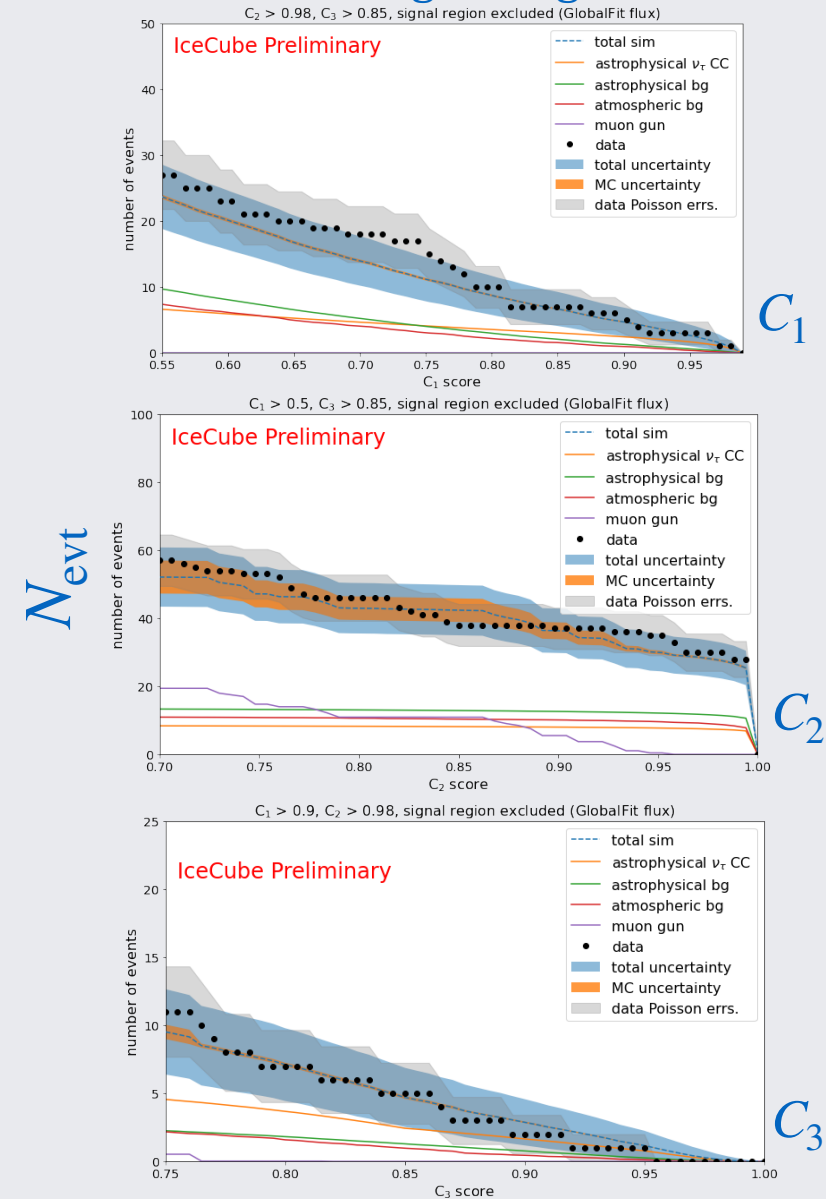


3  $\times$  VGG16'

# $\nu_{\tau}^{\text{astro}}$ : Convolutional Neural Networks

- Trained 3 independent CNNs
  - $C_1$ : DP vs. SP ( $\nu_{\tau}^{\text{CC}}$  vs.  $\nu_e^{\text{CC}}, \nu_x^{\text{NC}}$ )
  - $C_2$ : DP vs track ( $\nu_{\tau}^{\text{CC}}$  vs.  $\mu_{\downarrow}$ )
  - $C_3$ : DP vs Track ( $\nu_{\tau}^{\text{CC}}$  vs.  $\nu_{\mu}^{\text{CC}}$ )
- $C_1 \geq 0.99, C_2 \geq 0.98, C_3 \geq 0.85$ 
  - Gives S/N  $\sim 14$ .
- Backgrounds
  - Dominant:  $\nu_{\text{astro.}}$  and  $\nu_{\text{atm.}}$
  - Sub-dominant:  $\mu_{\downarrow}$
- 3 separate CNNs worked better than 1 all-purpose CNN

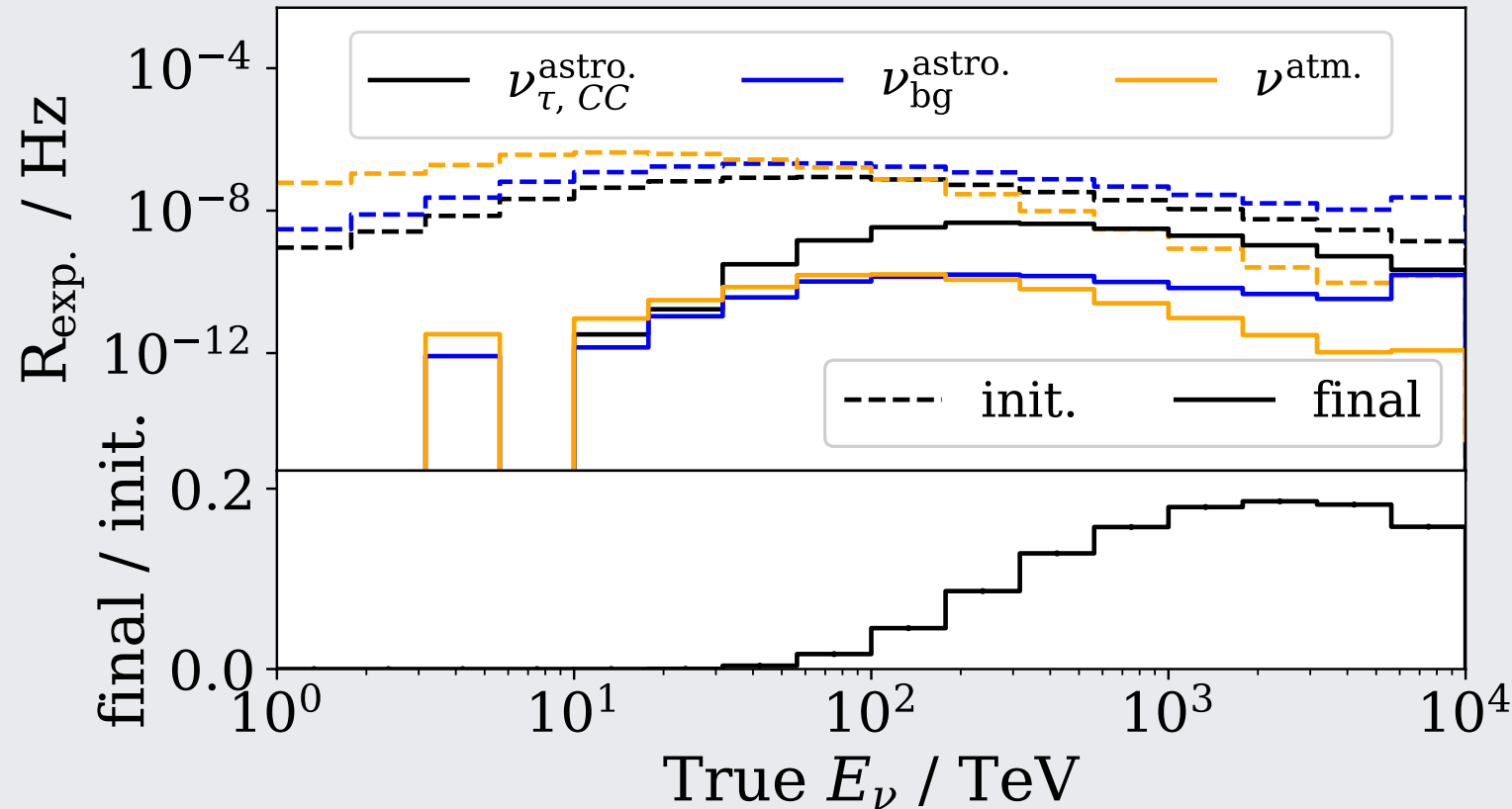
Cumulative rate; signal region excluded





# $\nu_{\tau}^{\text{astro}}$ : Predicted Energy Spectrum

- $E_{\nu_{\tau}}$  spectrum:



- After final cuts, peaks at  $\sim 200$  TeV
  - Lower  $E_{\nu_{\tau}}$  threshold translates to higher  $N_{\nu_{\tau}}$
  - Peak signal efficiency at several PeV, but flux there is v. low

# $\nu_{\tau}^{\text{astro}}$ : Signal & Backgrounds

- Expected 4–8  $\nu_{\tau}$  on a bkgd. of  $\sim 0.5$  with 9.7 years of data
  - (S,B) levels depend on assumed astrophys. flux
  - Flavor ratio at Earth assumed to be 1:1:1
- What about the backgrounds?
  - $\nu^{\text{astro}}$ 
    - IceCube has four flux measurements
    - Use one with least-significant exclusion of null hypothesis
  - $\nu^{\text{atm}}$ 
    - Conventional flux (Honda et al.; IceCube msmts.)
    - Possible prompt flux (Bhattacharya et al.; IceCube exclusion)
  - Other:
    - Charm, cosmic ray muons, on-shell W, Earth-crossing  $\nu_e, \nu_{\mu} \rightarrow \nu_{\tau}$

# $\nu_{\tau}^{\text{astro}}$ : Charm Background

- Backgrounds/Systematics in more detail: Charm
  - Charm:  $\nu_e^{\text{astro}} \rightarrow eW; W \rightarrow cs$ 
    - $\lambda_{\text{charm}} \simeq \mathcal{O}(\text{m}), E_{\text{dep.}} \simeq 10^{12-14} \text{ eV}$
    - Two separated cascades:  $e$  + second shower if have large  $(\lambda_{\text{charm}}, E_{\text{dep.}})$
    - Full charm MC:  $\sim 20\%$  increase in  $\nu_e^{\text{astro}}$  bkgd.
      - Small correction to account for MC's older PDFs
    - Added to estimated background *after unblinding*
      - (Future improvement: Charm event topology may be sufficiently different from  $\nu_{\tau}$  that new CNN could reject.)

# $\nu_{\tau}^{\text{astro}}$ : Other Backgrounds/Systematics

- Backgrounds/Systematics, cont'd:
  - $\mu_{\downarrow}, \mu_{\text{DIS}}$  ( $\mu + X \rightarrow \nu_{\mu} + X'$ ): considerably smaller than  $\nu^{\text{astro}}$
  - Other physics processes determined to be sub-dominant:
    - On-shell  $W$  production ( $\nu_e \rightarrow eW; W \rightarrow \tau\nu_{\tau}; \tau \rightarrow (e, h)$ )\*
    - High-energy Earth-crossing  $\nu_e, \nu_{\mu} \rightarrow \nu_{\tau}$ \*\*
- Detector-related systematics all found to be small. Checked uncertainties in:
  - bulk ice scattering & absorption
  - hole ice scattering & absorption
  - DOM efficiencies

\*B. Zhou and J.F. Beacom, PRD 101, 036010 (2020)

\*\*A. G. Soto et al., PRL 128, 171101 (2022)

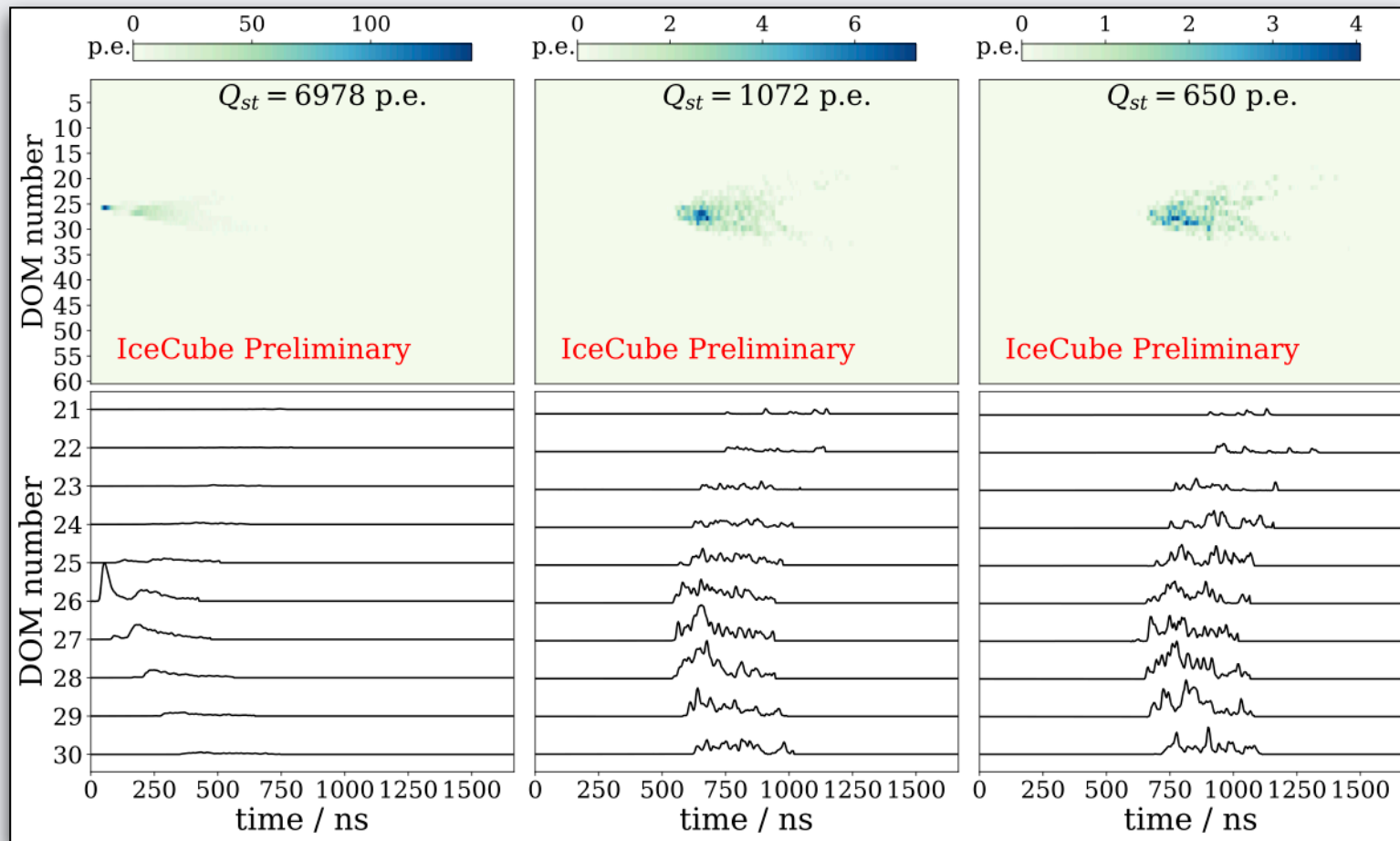


# $\nu_{\tau}^{\text{astro}}$ : Results

- Saw 7 candidate  $\nu_{\tau}^{\text{astro}}$  events
  - $\sim 0.5$  events estimated total background
  - 4 of 7 candidates not selected by previous analyses
  - 3 of 7 candidates previously selected
    - 1 of which was identified as a  $\nu_{\tau}$  candidate
  - $\Phi(\nu_{\tau}^{\text{astro}})$  consistent with measured  $\Phi(\nu^{\text{astro}})$  from published analyses
    - $(\phi_0, \gamma) = [(2.23, 2.5), (1.36, 2.37), (2.12, 2.87), (2.04, 2.62)]$   
(GlobalFit, Diffuse, HESE and Inelasticity, respectively)
- Exclusion of  $\Phi(\nu_{\tau}^{\text{astro}}) = 0$  will be reported soon.
  - Pre-unblinding, predicted  $\sim 50\%$  chance of  $\sim 5\sigma$  result.

# $\nu_{\tau}^{\text{astro}}$ Candidate Event Pics

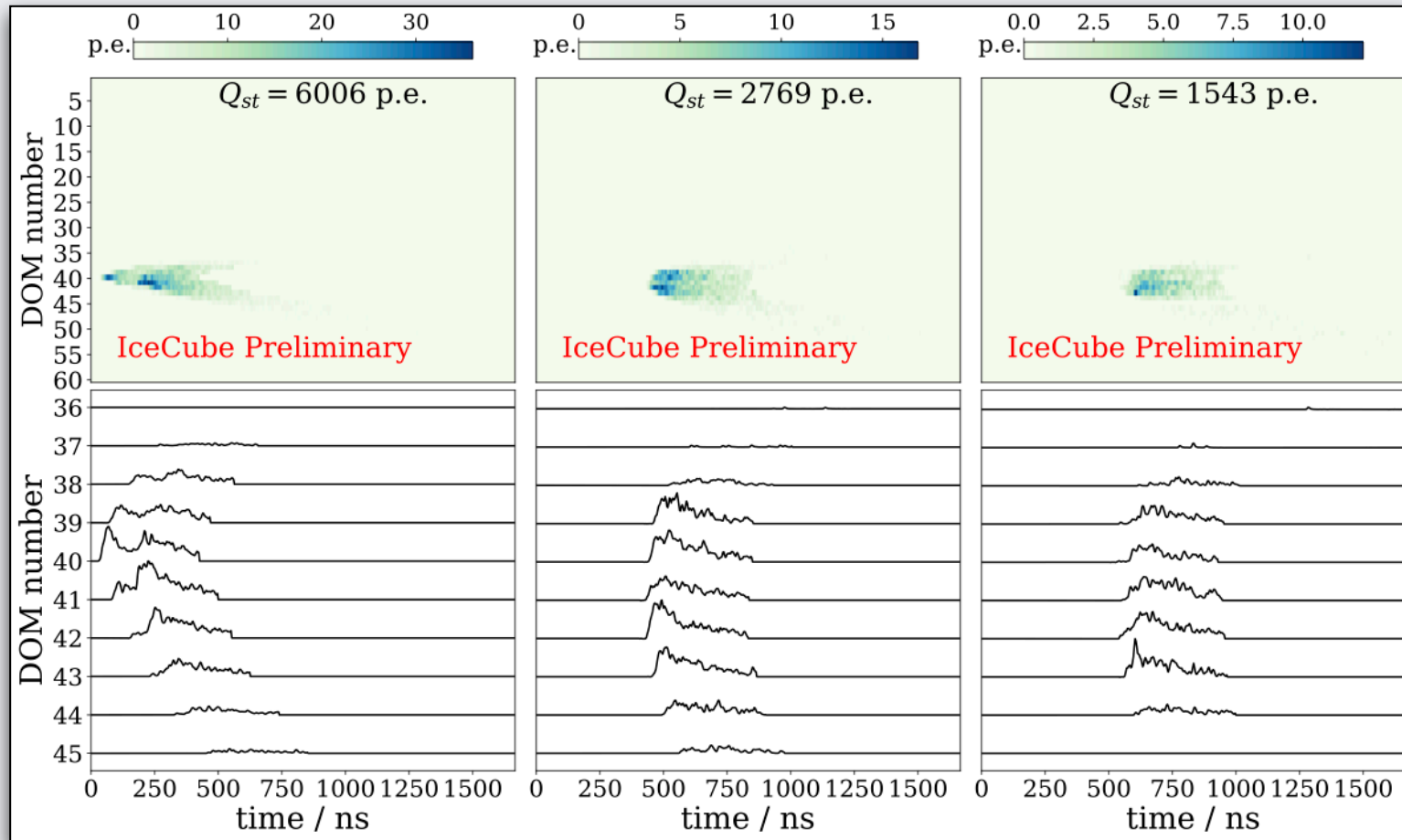
Here's "Double Double," an old event & prior  $\nu_{\tau}$  candidate:



Gratifying to find this event again.

# $\nu_{\tau}$ astro Candidate Event Pics

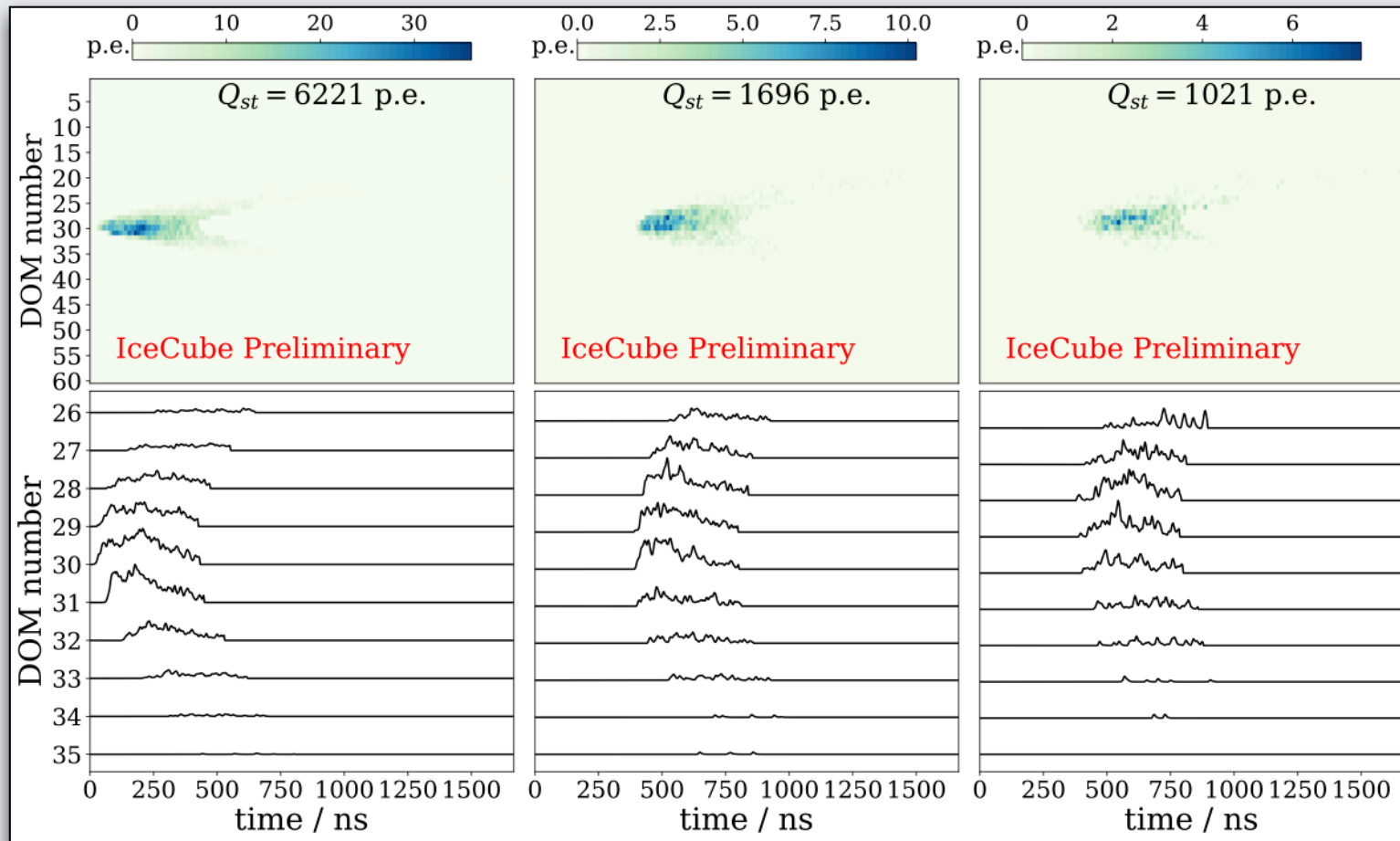
Here's "Scarlet Macaw," a new event:



Clear  $\nu_{\tau}$  signature. Detected in 2019 (too recent for previous analyses to have seen).

# $\nu_{\tau}$ astro Candidate Event Pics

And here's "Estragon," an old event revealed as a  $\nu_{\tau}$  candidate:



The  $\nu_{\tau}$  signature is spread out over multiple modules and strings.

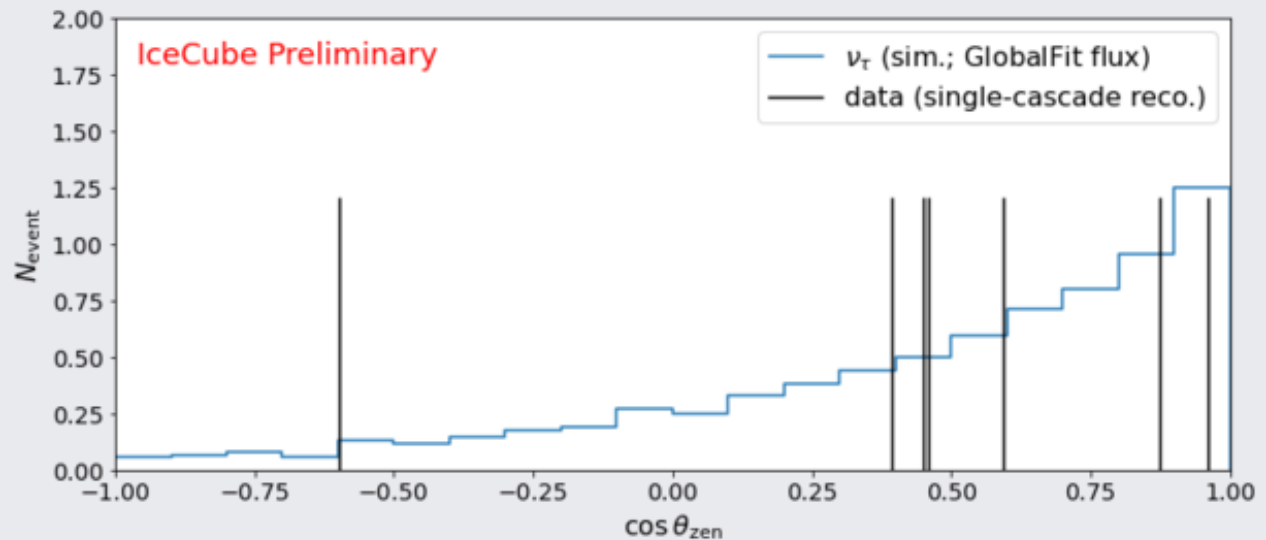
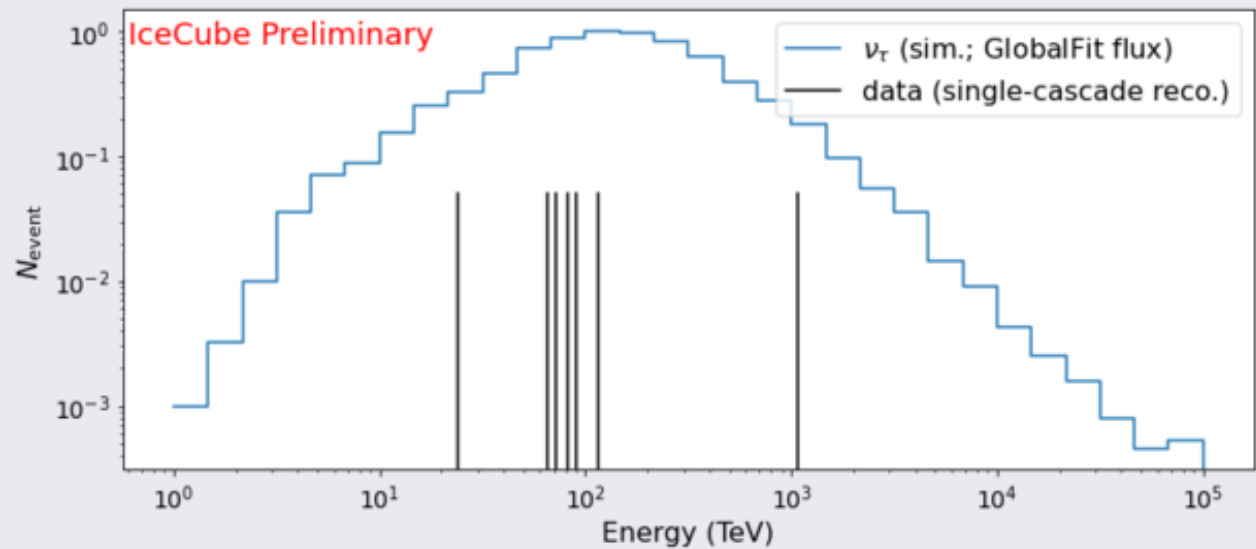


# $\nu_{\tau}^{\text{astro}}$ : Post-Unblinding Checks

- Explicit reconstruction of  $(x, y, z, E, \theta, \phi)$  **not** part of the analysis
  - Do not (yet) have a reco. tuned for such  $\nu_{\tau}$ 
    - Would have added considerable delay and complexity
    - Would have increased susceptibility to systematic uncertainties of, e.g., ice properties
- Here we checked candidate  $\nu_{\tau}$  w/existing reco.
  - Reco. was tuned for *single-pulse* events (e.g.,  $\nu_e$ )

# $\nu_{\tau}^{\text{astro}}$ : Post-Unblinding Checks

- Apply single-pulse reco. to
  - simulated  $\nu_{\tau}$
  - candidate  $\nu_{\tau}$
- Reasonably good agreement...
  - ...but take actual numbers with a *big* grain of salt

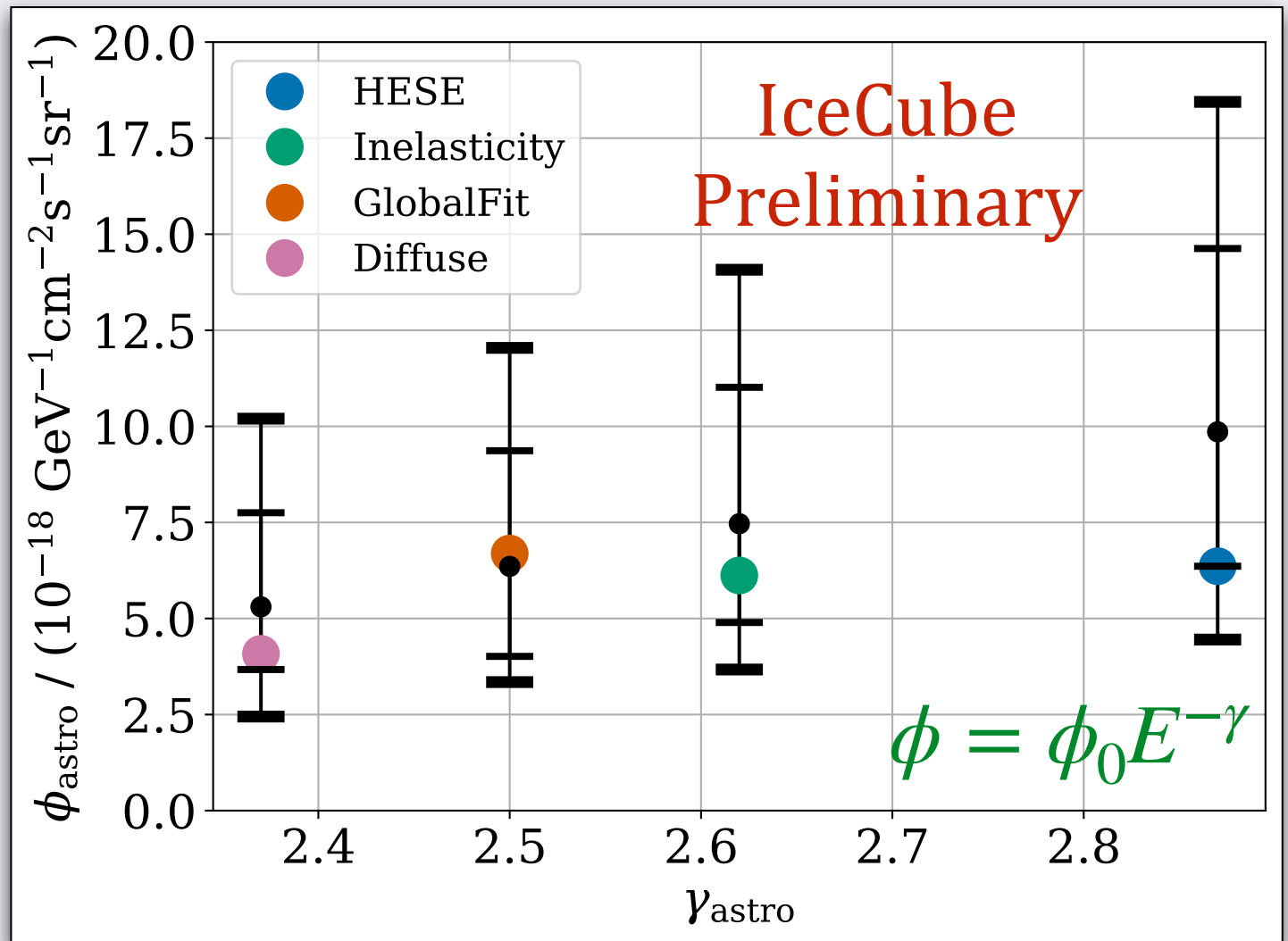


# Fitted $\nu_\tau$ Fluxes

With just 7 candidate  $\nu_\tau^{\text{astro}}$ , we fix  $\gamma$  and fit for  $\phi_0$ :

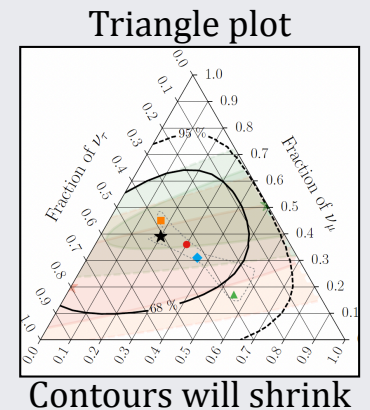
Excellent agreement with previous four IceCube measurements.

Diffuse  $\nu_\tau$  consistent with other flavors.



# What's Next for $\nu_{\tau}^{\text{astro}}$ ?

- Used just 3 (of 86) strings for CNNs. Using more strings may:
  - Improve bkgd rejection  $\rightarrow$  relax cuts  $\rightarrow$  more signal
  - Improve current  $\nu_{\tau}^{\text{astro}}$  flux measurement
- Will update “triangle plot” with  $\nu_{\tau}$  information
  - Search for new physics (e.g., quantum gravity)
  - ID likely astrophysical-source acceleration scenarios
    - exclude some?
- Apply a dedicated reco. for  $\nu_{\tau}$  direction,  $E_{\nu_{\tau}}$ , ...
  - Use high-astrophysical-purity  $\nu_{\tau}$  to look for point sources
    - Can run neural networks in real time; generate alerts
  - Study parameters of the  $\nu_{\tau}$  themselves
    - $L_{\tau}$ , energy asymmetry, ...



# Summary

- Diffuse  $\nu_x^{\text{astro}}$ , first detected nearly a decade ago,
  - birthed high- $E_\nu$  astronomy
  - is consistent with single power law spectrum
- We can expect tighter constraints on  $(\phi, \gamma)$  with improved techniques, more data and more detectors (e.g., ARCA)
- Together, diffuse & point source detections can
  - unveil astrophysical source environments
  - reveal new physics
- $\nu_\tau$  can provide powerful constraints
  - astrophysical source characterization
  - cosmic baseline neutrino oscillations
- New  $\nu_\tau$  analysis successfully increased statistics
  - use to better constrain triangle plot, search for new physics
  - further analysis improvements in the works



# IceCube Collaboration

Thank you!



Spring 2022 Collaboration Meeting, Brussels, Belgium