



Neutrinos in the Multi-Messenger Era

November 29th - December 2nd, 2022
Louvain-la-Neuve, Belgium

A decade of neutrino searchers in lake

Zh.-A. Dzhilkibaev
for the Baikal-GVD Collaboration

A decade of neutrino searchers in lake

2010 – Technical Design Report “Baikal-GVD” – km³ scale NT in Lake Baikal

2011 – 2014 Preparatory Phase (cable to shore, pilot detectors, first strings)

2015 – Demonstration cluster Dubna – 192 OMs at 8 reduced strings

2016 – First baseline cluster (288 OMs), start data taking

2022 – 10 clusters in operation (2880 + 36 OMs)

2017 – Start multi-messenger studies (GW170817)

2018-2021 – First astrophysical neutrino candidates (cascades), first atmospheric muon neutrinos

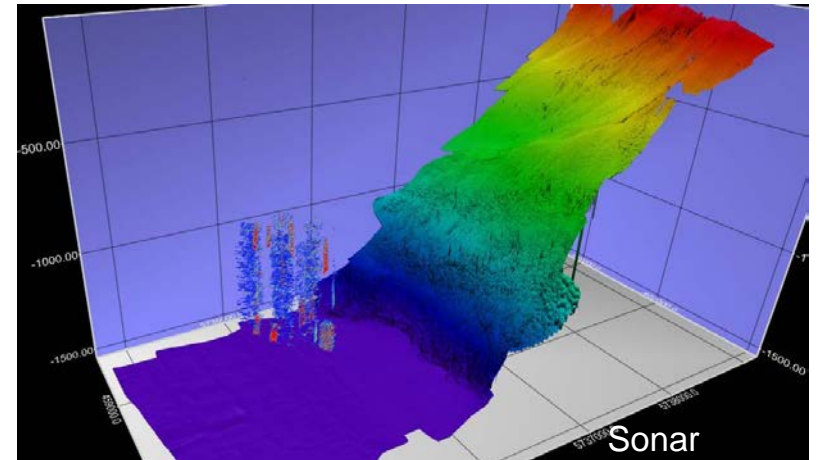
2022 – confirmation of the IC diffuse astrophysical flux at 3σ significance level, based on an analysis of 2018-2021 data

Baikal-GVD site

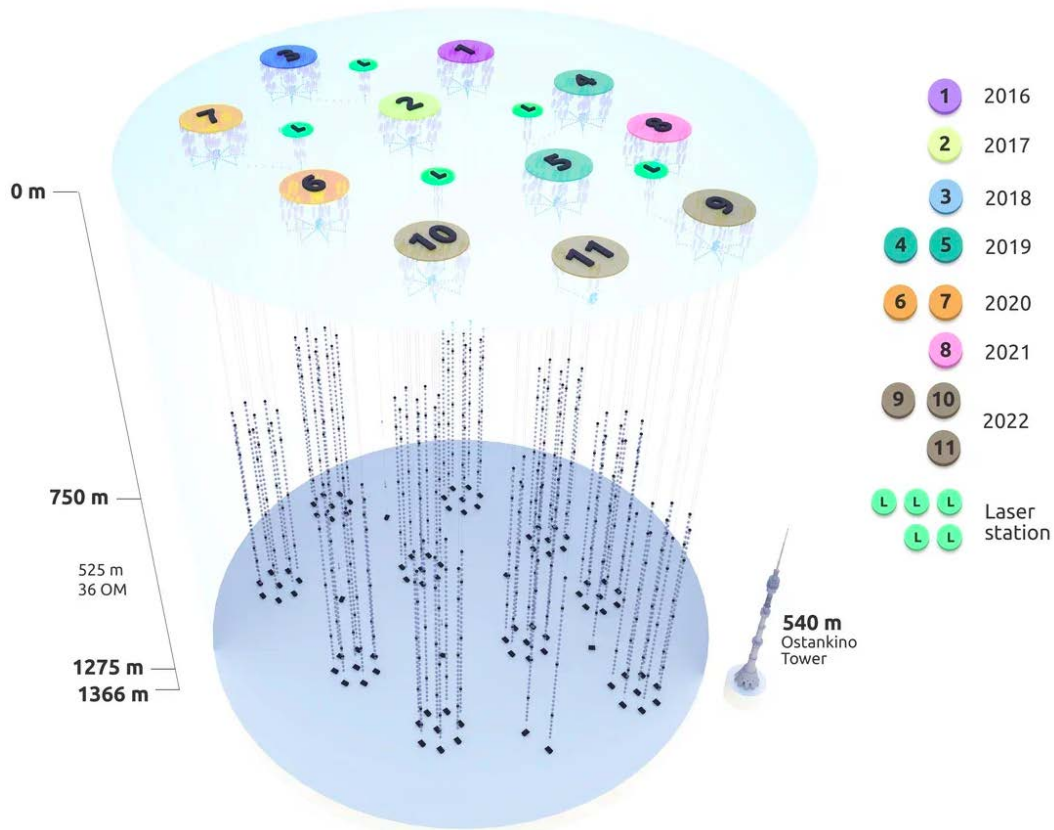


- 51° 46' N 104° 24' E
- Southern basin of Lake Baikal
- ~ 4 km away from shore
- Flat area at depths 1366 – 1367 m
- Stable ice cover for 6–8 weeks in February – April: detector deployment & maintenance

- High water transparency
 - ✓ Absorption length: 22 m \pm 5%
 - ✓ Scattering length: 60 – 80 m ($\lambda \approx$ 480-500 nm)
- Moderately low optical background: 15–40 kHz (PMT R7081-100 \varnothing 10")



Baikal-GVD construction status 2022 and schedule

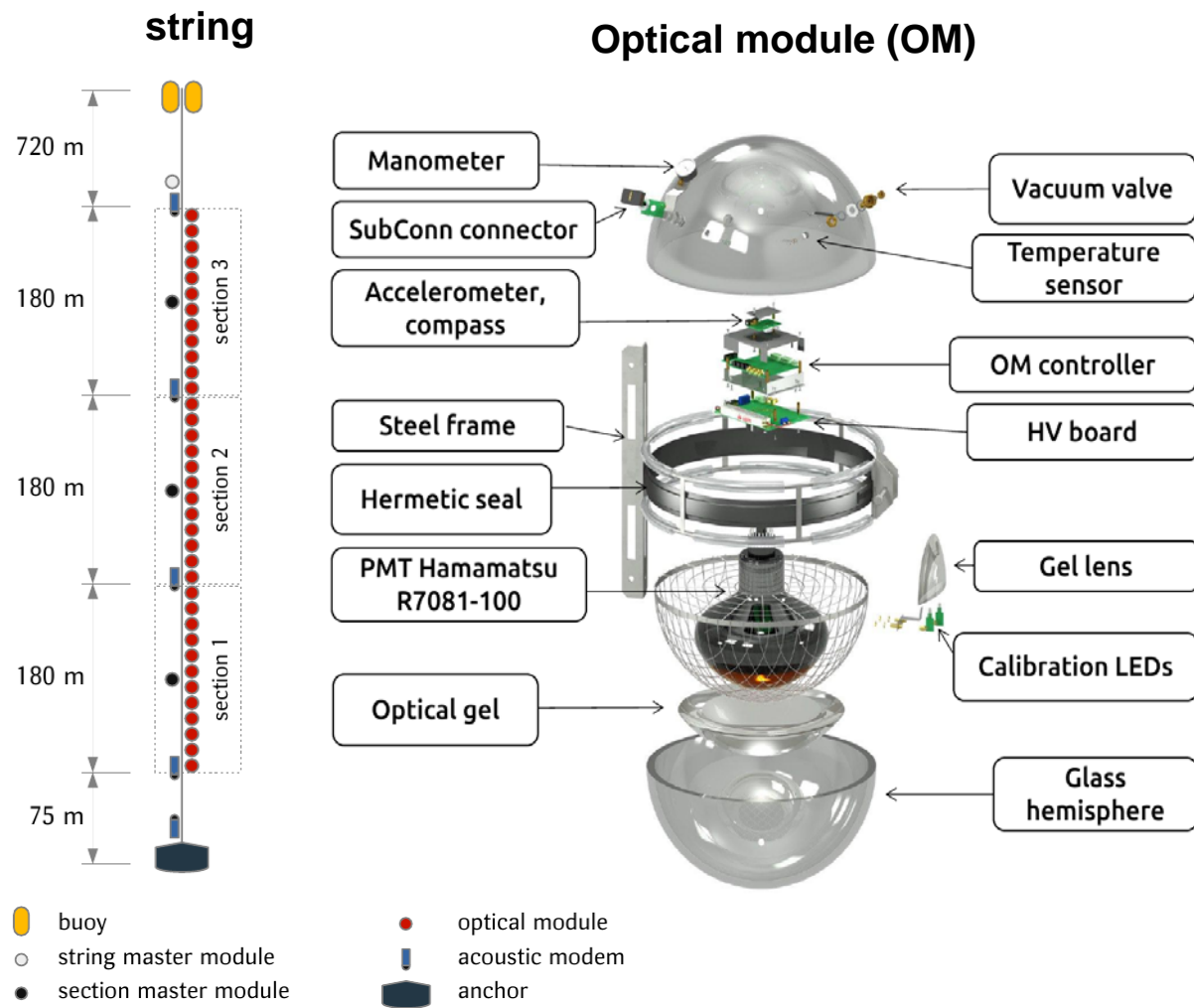


10 clusters + 1 special string (laser+36 OM)
+ 2 experimental strings + 4 laser stations

Deployment schedule

Year	Number of clusters	Number of strings	Number of OMs
2016	1	8	288
2017	2	16	576
2018	3	24	864
2019	5	40	1440
2020	7	56	2016
2021	8	64	2304
2022	10	80 + 3	2880 + 84
2023	12	96	3456
2024	14	112	4032

Detector components



Each string carries 36 OMs

- 10-inch high Q eff. PMT
- 15 m vertical step
- OM facing the lake bottom
- 60 m between strings

Time calibration systems

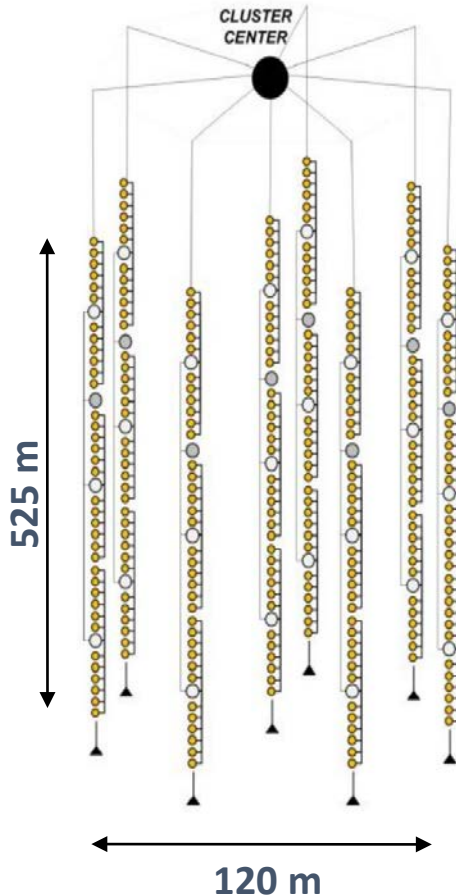
- LED photodiodes in each OM
- LED beacons at each string
- Isotropic lasers between clusters
- Calibration precision ~2 ns

Geometry calibration system

- Acoustic modems on each string
- Acoustic polling each 1-6 minutes
- OM positioning precision ~ 20cm

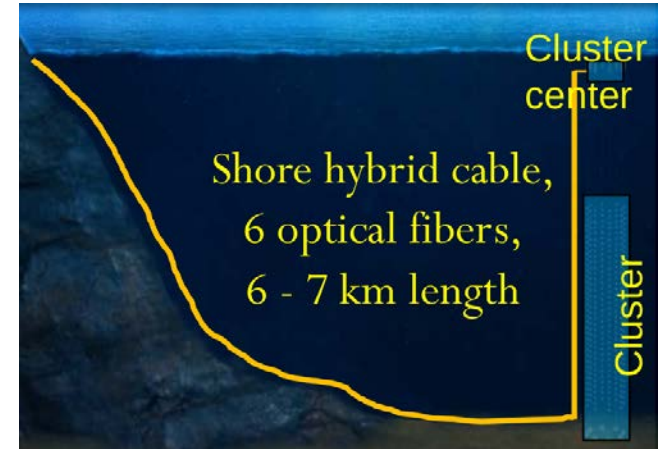
GVD cluster

Cluster:
8 strings



Cluster

- 8 strings (288 OMs)
- 60 m step between strings
- Central electronics (power, trigger, data transmission) located at 30 m depth
- Hardware trigger: 4 p.e. + 1.5 p.e. on adjacent OMs in 100 ns window
- Inter-section synchronisation by common trigger (~ 2 ns accuracy)
- Internal network: shDSL Ethernet extenders 5.7 Mbit
- Connection to shore: Ethernet / optic fiber



Data stream



Baikal shore center

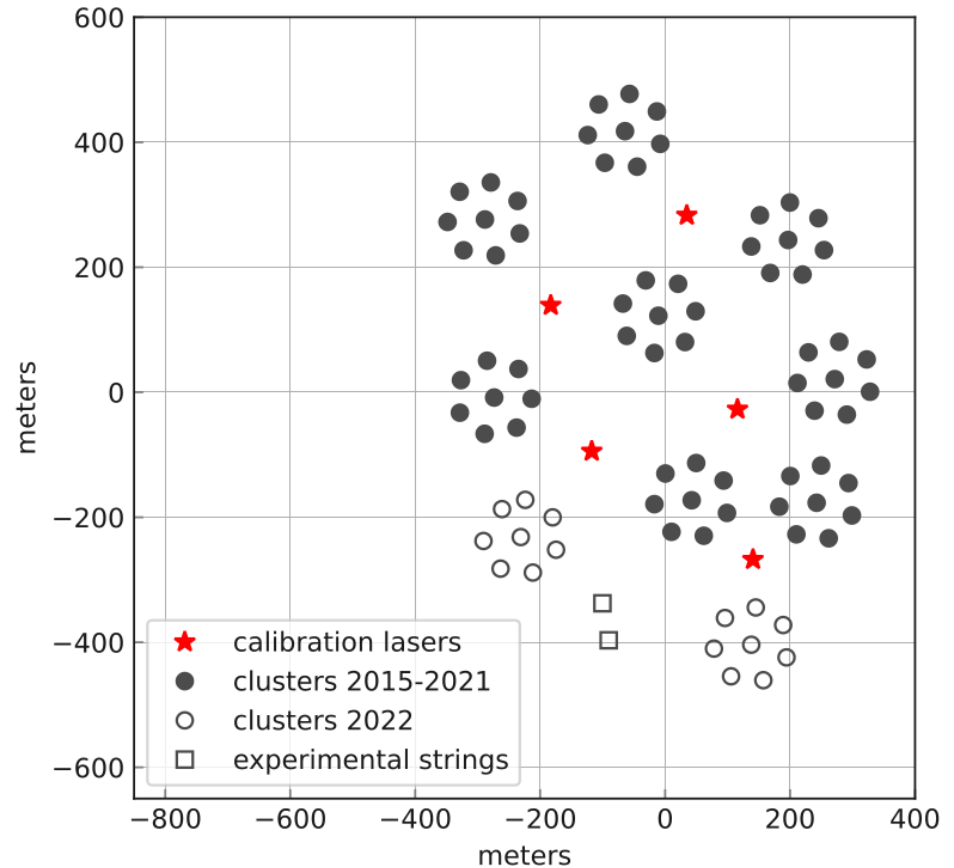
- Power distribution
- Data readout hardware
- Data-taking management (shifter)
- Data quality control
- Fast reconstruction and alert production (to be deployed)

Data is transferred from Shore center to JINR

- Shore center → Baikalsk: 300 Mbit/s radiochannel
- Baikalsk → JINR: Ethernet
- Compressed data sample ~40GB per day
- Delay due to shore → JINR data transfer: < 1 min.
- At JINR data is stored using EOS service

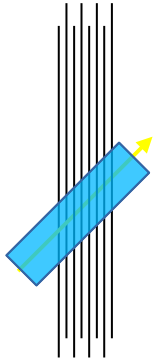
Expedition 2022

- Installed equipment:
- Two new clusters (16 strings)
- 2 “experimental” strings using fiber optic technology for data transmission
- 1 additional inter-cluster string (36 OM + laser)
- 1 laser station
- + scheduled maintenance of previously installed equipment



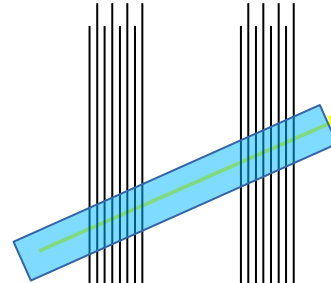
Event types

Single-cluster tracks



- ✓ Low energy threshold
- ✓ Optimal sensitivity to nearly vertical tracks
- ✓ 90% of recorded track events

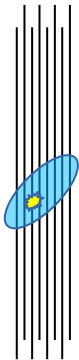
Multi-cluster tracks



- ✓ Moderately low energy threshold
- ✓ Optimal sensitivity to inclined tracks
- ✓ 10% of recorded track events

ν_μ CC

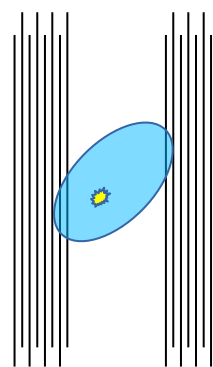
Single-cluster cascades



- ✓ High energy threshold
- ✓ Good energy resolution
- ✓ Relatively rare events

NC, ν_e ν_τ CC

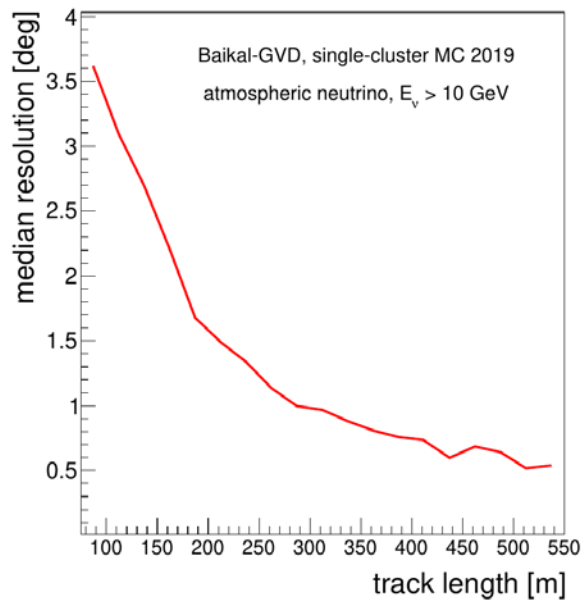
Multi-cluster cascades



- ✓ Very high energy threshold
- ✓ Excellent energy resolution
- ✓ Very rare events

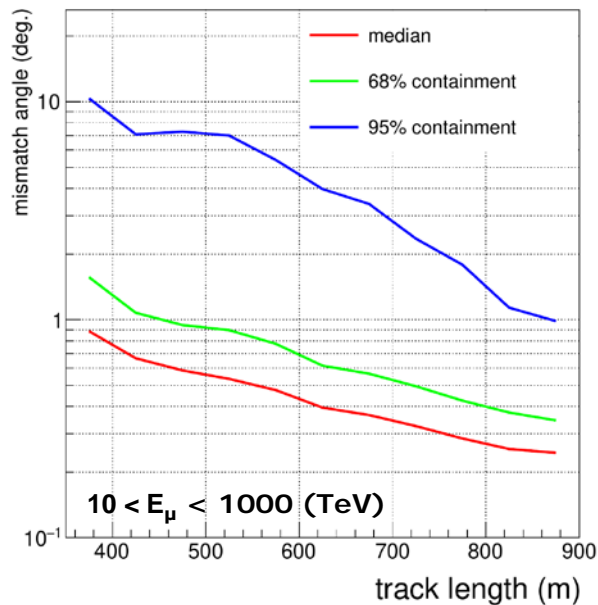
Muon track reconstruction precision

Single-cluster reconstruction

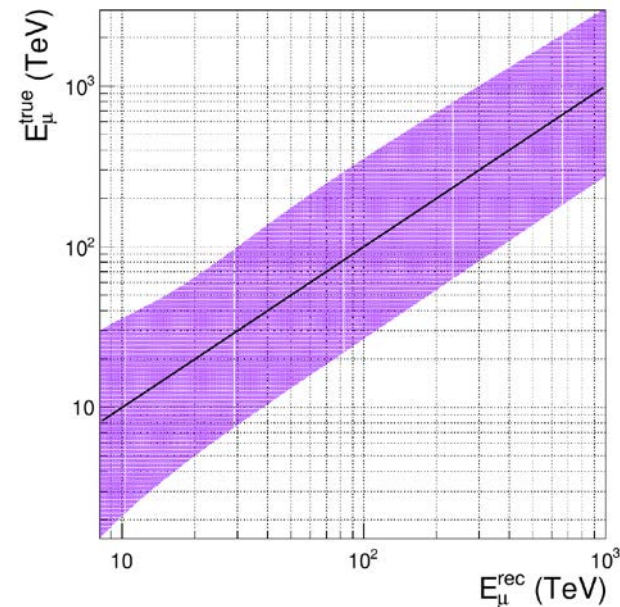


Better than 0.5° resolution for tracks with length $> \sim 500$ m

Multi-cluster reconstruction



Multi-cluster event reconstruction allows to reach the best angular precision



Factor 3 - 3.5 energy resolution in
8 TeV - 1 PeV range

First neutrino candidate event sample

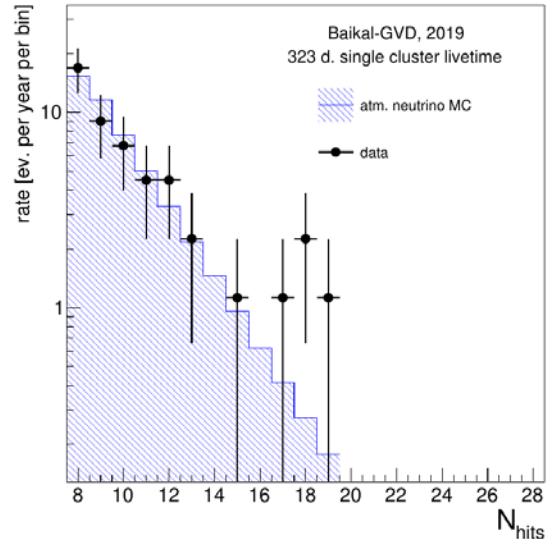
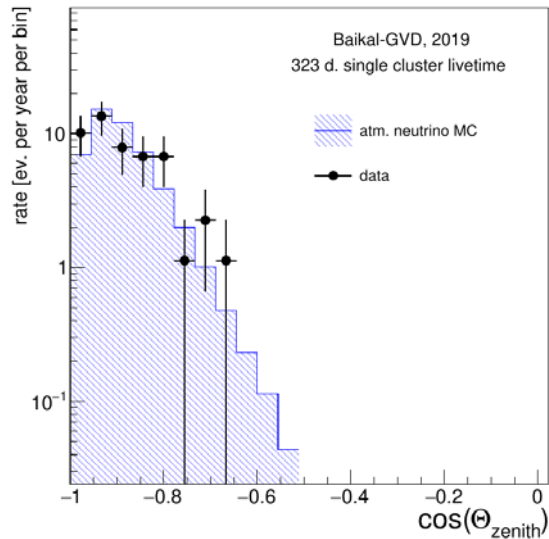
First set of single-cluster muon neutrino candidates based on 2019 data

- Cut-based analysis optimized for low-energy (atmospheric) neutrino, $\langle E_\nu \rangle \sim 500$ GeV
- Runs from April 1st until June 30th
- Results are compared to atmospheric neutrino simulation

MC expected: 43.6

- atm. neutrino :43.6
- atm. muon: 0

Observed: 44

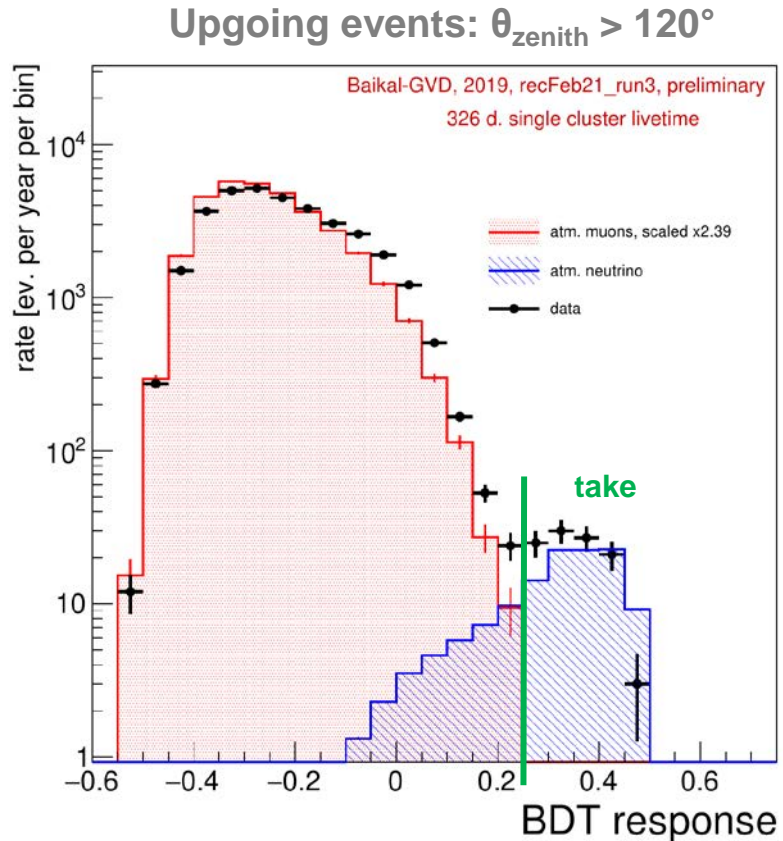


Excellent agreement of MC expectation and data

Single upgoing muon angular resolution for single-cluster analysis
 $\sim 1^\circ$

[[Eur. Phys. J. C 81, 1025 \(2021\)](#), [arXiv:2106.06288](#)]

Track-like events analysis progress



Track-like reconstruction and neutrino selection techniques are being refined

An improvement in sensitivity by a factor of 2 with recent developments

[\[PoS\(ICRC2021\)1063, PoS\(ICRC2021\)1080\]](#)

- Improvement in noise suppression techniques
- More efficient neutrino selection using boosted decision trees (BDT)

MC expected: 81.2

Observed events: 106

Machine learning application for Baikal water noise suppression: [\[arXiv:2210.04653\]](#)

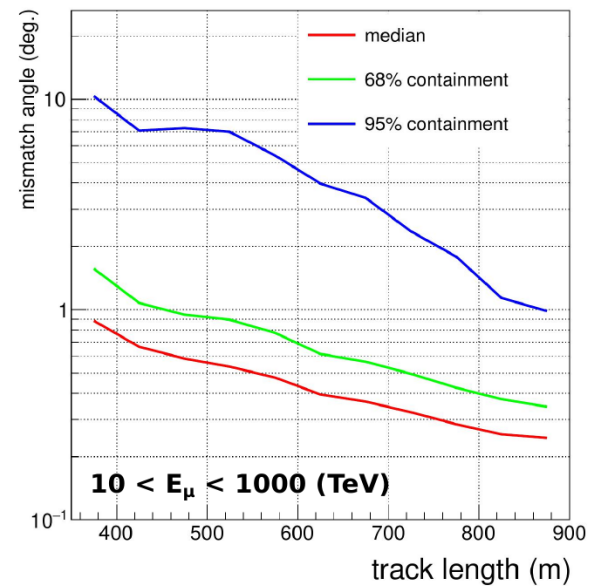
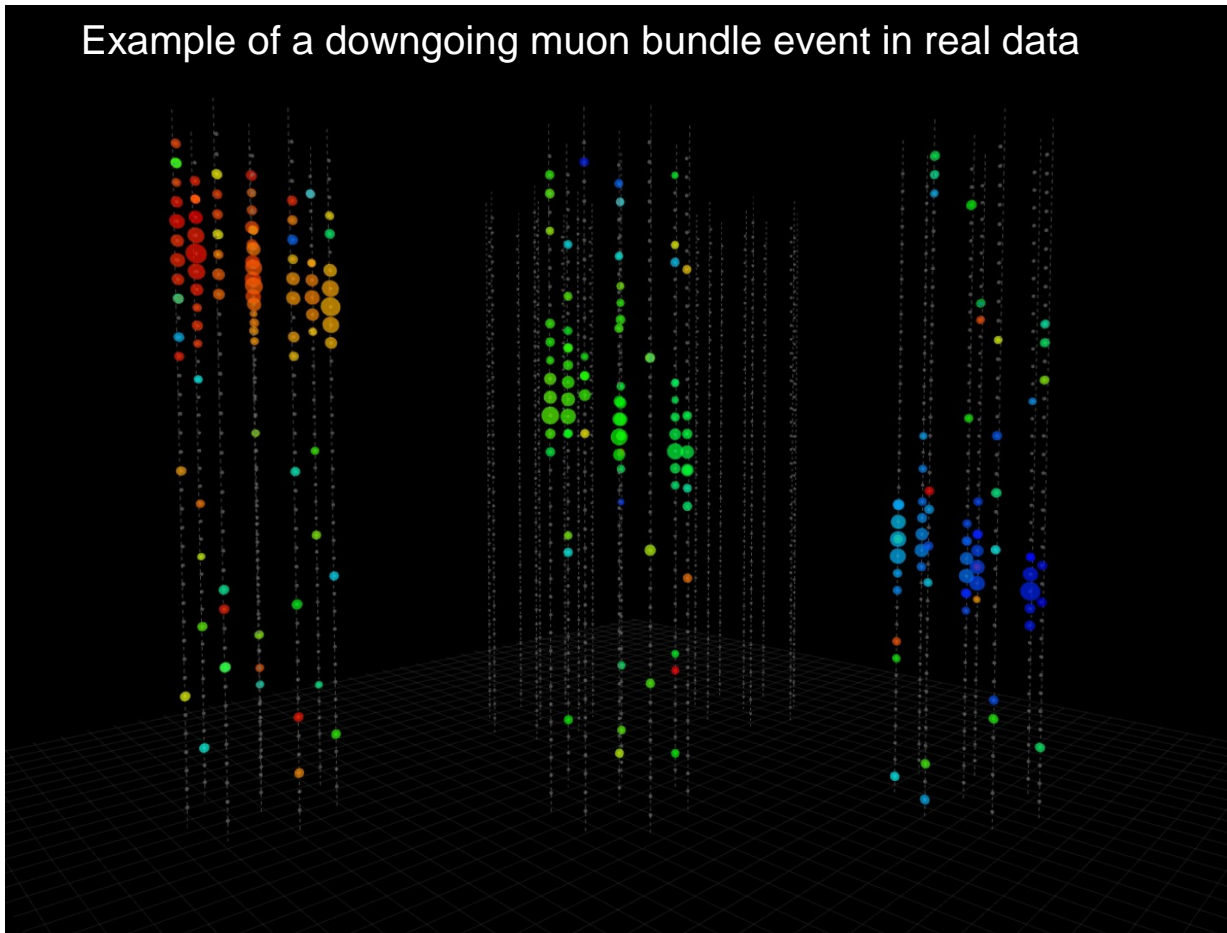
Multi-cluster track events

late



early

Example of a downgoing muon bundle event in real data



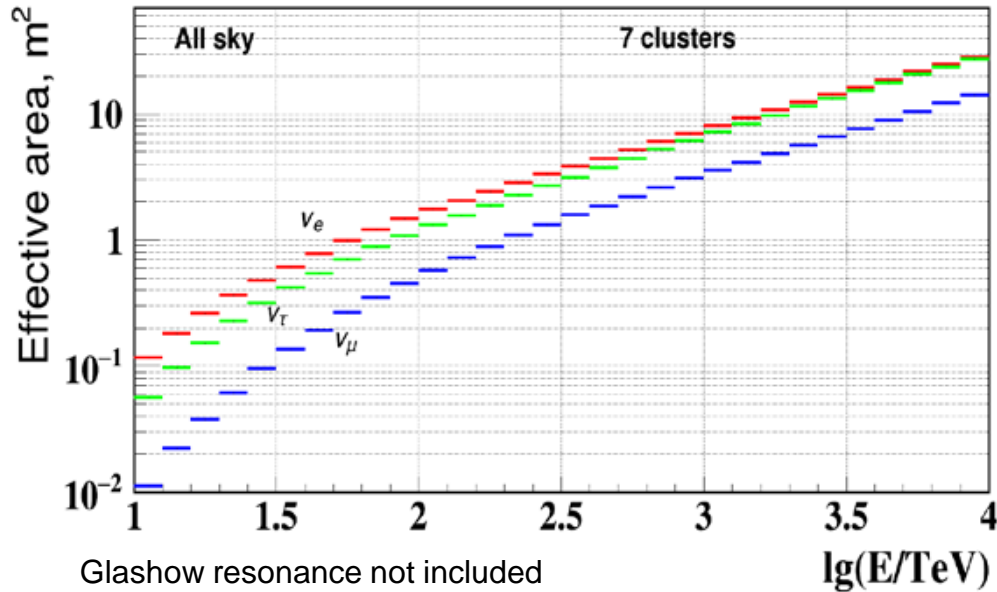
Median energy ~ 4 TeV

Work in progress !

Cascade analysis : effective area and rates

Analysis sensitive to all-flavour CC
and NC interactions over the whole sky

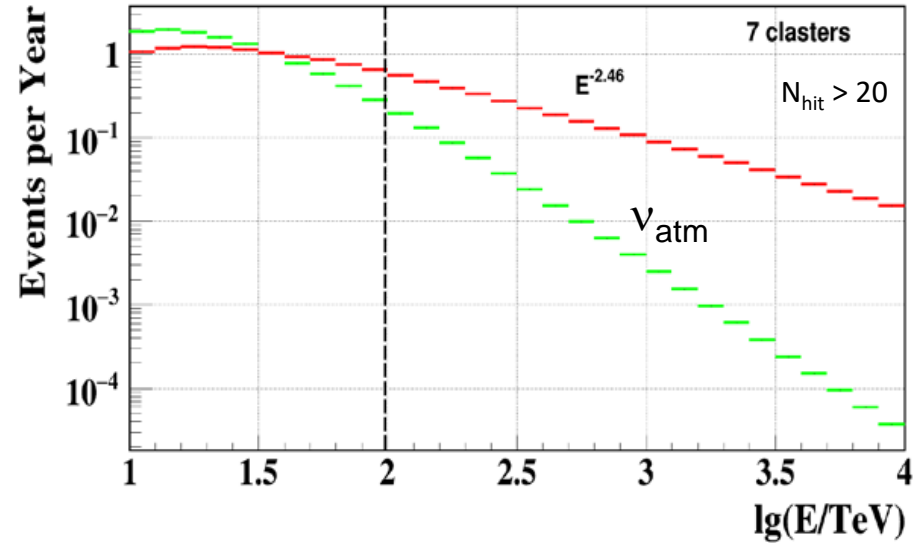
neutrino effective area for cascade detection



Assumption for astrophysical neutrino energy
spectrum (IceCube fit):

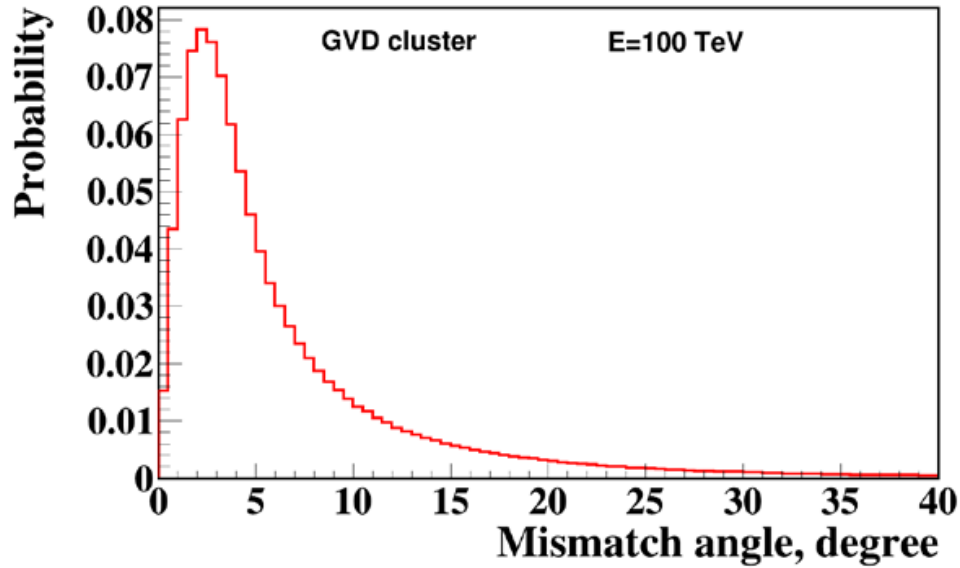
$$4.1 \cdot 10^{-6} E^{-2.46} \text{ GeV}^{-1} \text{ cm}^{-2} \text{ s}^{-1} \text{ sr}^{-1}$$

Expected number of cascade events per year

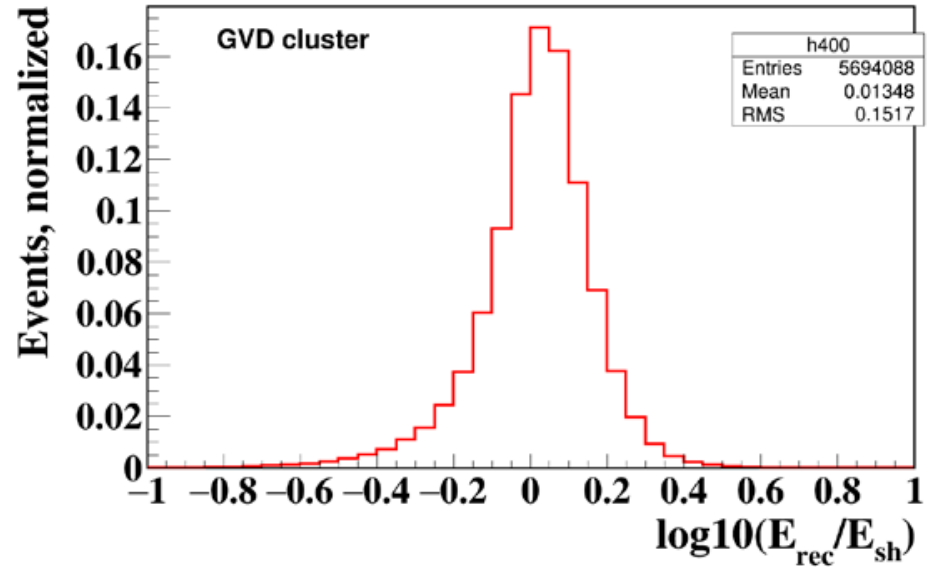


- 3–4 ev/yr with $E_{\text{sh}} > 100 \text{ TeV}$ for 7 clusters

Cascade analysis performance



Directional resolution for cascades:
median mismatch angle $\sim 2^\circ \div 4^\circ$



Energy resolution : $\delta E/E \sim 10 \div 30\%$

A search for astrophysical diffuse neutrino flux

Data from 2018-2021, livetime: 5522 days
single-cluster equivalent

- All-sky search for HE cascades:
threshold of $E > 100$ TeV allows to observe events from upper hemisphere
- Search for upward moving events:
lower energy threshold ($E > 15$ TeV) due to low atmospheric background for cascade detection channel

All-sky search for HE cascades [arXiv:2211.09447](https://arxiv.org/abs/2211.09447)

Additional selection requirements:

($N_{\text{hit}_\mu} = 0, E_{\text{rec}} \geq 70 \text{ TeV}$) or

($N_{\text{hit}_\mu} = 1, E_{\text{rec}} \geq 100 \text{ TeV}$)

N_{hit_μ} is number of hits in time interval
where hits from muons are expected

Expected:

7.4 events from atm. muons

0.8 events from atm. neutrinos

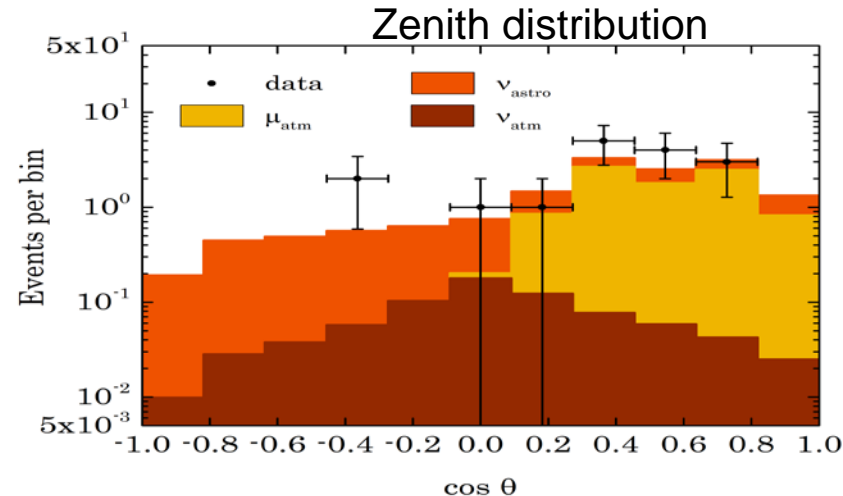
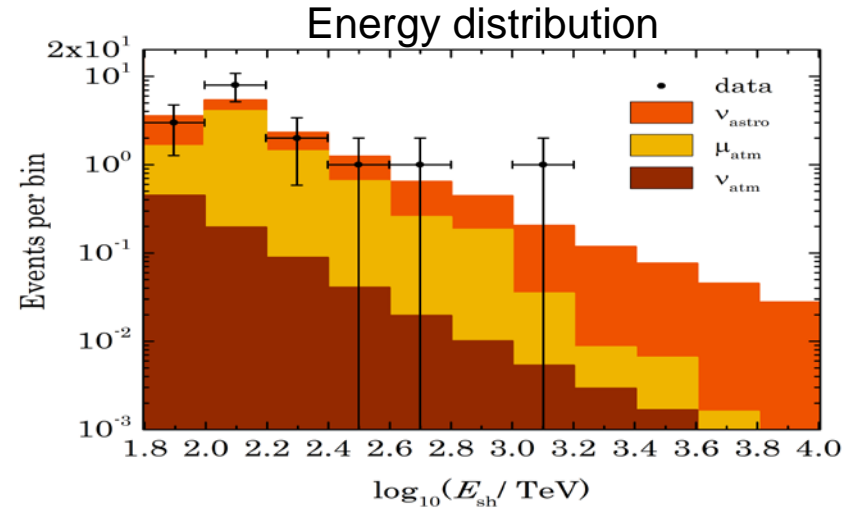
5.8 events for Baikal-GVD best fit

$E^{-2.58}$ astrophysical flux

Found in real data: 16 events

Probability for the background-only
hypothesis (stat.+sys.)

P-value = 0.026 (2.22 σ)



Search for upward moving events [arXiv:2211.09447](https://arxiv.org/abs/2211.09447)

Additional selection requirements:

$$E > 15 \text{ TeV} \ \& \ N_{\text{hit}} > 11 \ \& \ \cos\theta < -0.25$$

Expected:

0.5 events from atm. muons

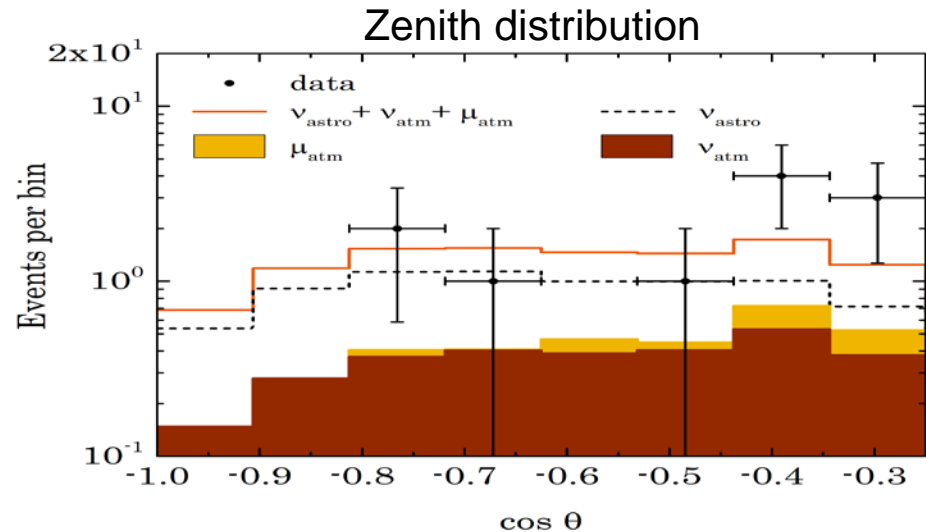
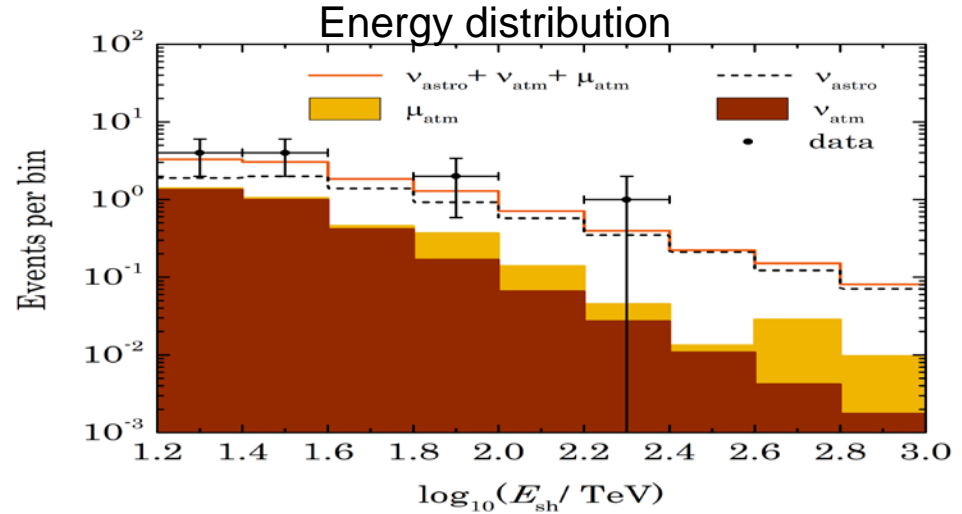
2.7 events from atm. neutrinos

6.3 events for Baikal-GVD best fit $E^{-2.58}$
astrophysical flux

Found in data: 11 events

Probability for the background-only hypothesis (stat.+sys.)

P-value = 0.0024 (3.05σ)



Single power-law model of isotropic astrophysical flux:

$$(v_e : v_\mu : v_\tau = 1:1:1)$$

$$\Phi^{v+\bar{v}} = 3 \times 10^{-18} \varphi_{astro} \left(\frac{E}{10^5} \right)^{-\gamma_{astro}} \quad (GeV \text{ cm}^2 \text{ s sr})^{-1}$$

68% C.L. contours

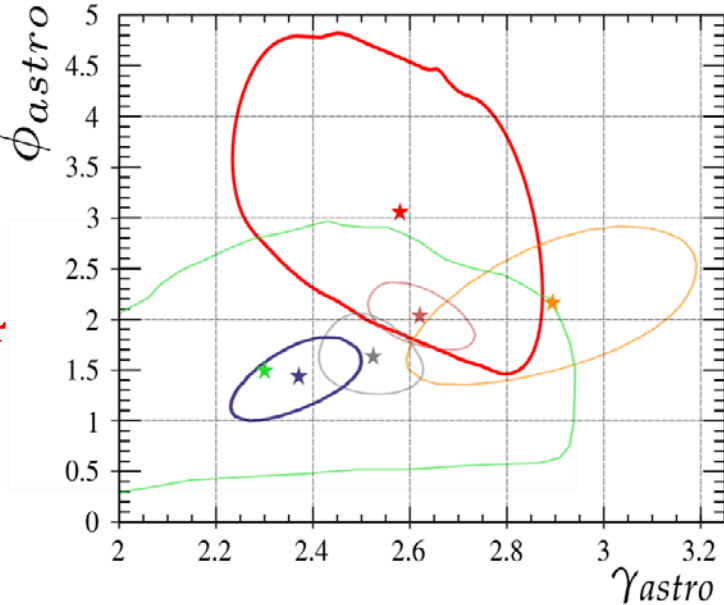
Baikal-GVD best fit parameters:

spectral index

$$\gamma_{astro} = 2.58$$

One flavor normalization

$$\varphi_{astro} = 3.04$$



- Baikal-GVD (2018-2021, Upward-going) this study, best fit
- IceCube HESE (7.5y, Full-sky) Phys. Rev. D 104, 022002 (2021)
- IceCube Inelasticity Study (5y, Full-sky) Phys. Rev. D 99, 032004 (2019)
- IceCube Cascades (6y, Full-sky) Phys. Rev. Lett. 125, 121104 (2020)
- IceCube Tracks (9.5y, Northern Hemisphere), The Astrophysical Journal 928, 50 (2022)
- ANTARES Cascades+Tracks (9y, Full-Sky) PoS(ICRC2019) 891 (2020)

The Baikal-GVD high-energy cascade sky map (in equatorial coordinates)

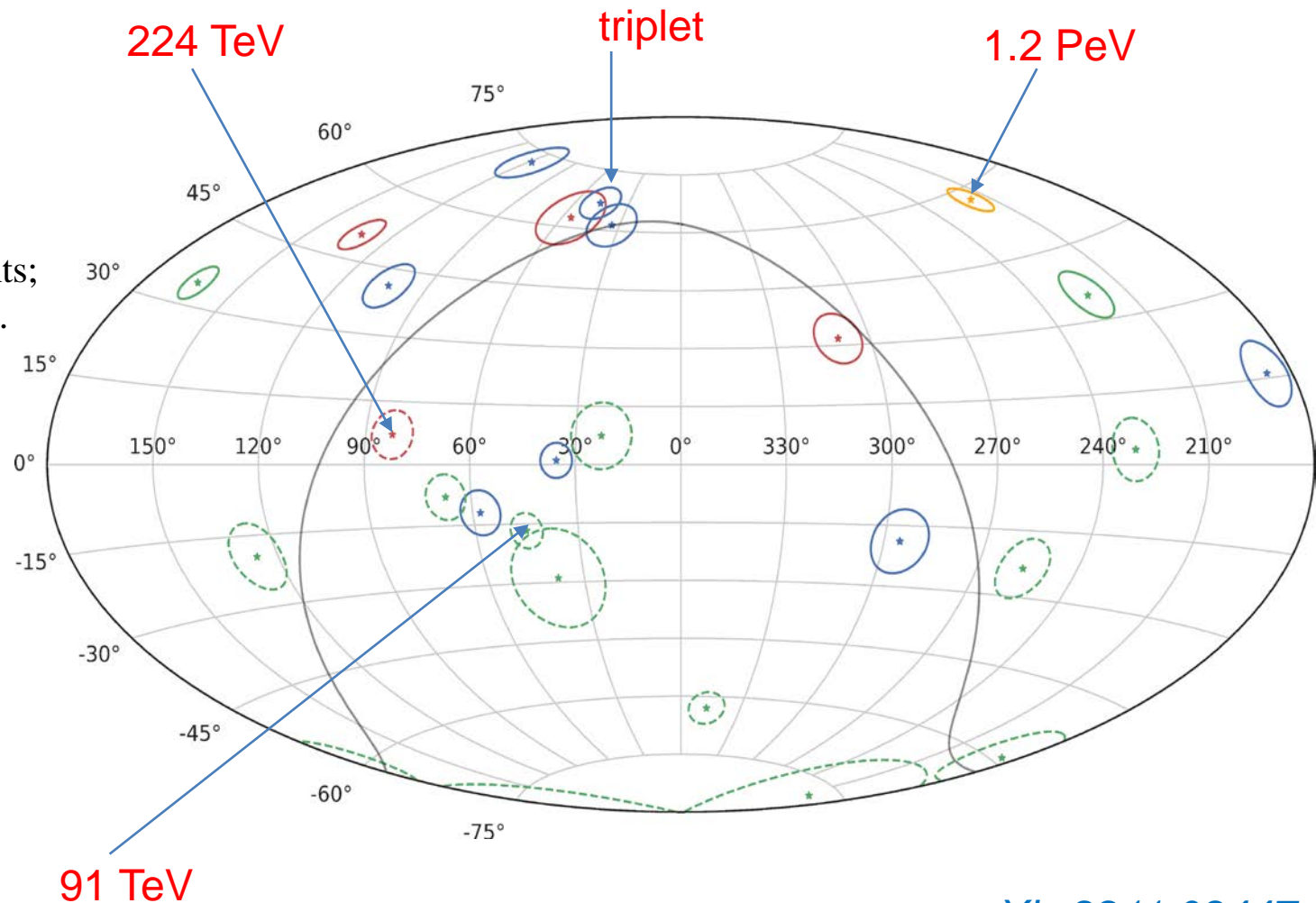
The best-fit positions and 90% angular uncertainty regions:

dashed circles - under-horizon events;
solid circles - above horizon events.

Colour represents event energy:

green – $E < 100$ TeV,
blue – $100 \text{ TeV} < E < 200$ TeV,
red – $200 \text{ TeV} < E < 1000$ TeV,
orange – $E > 1$ PeV.

The Galactic plane is indicated
as a grey curve.



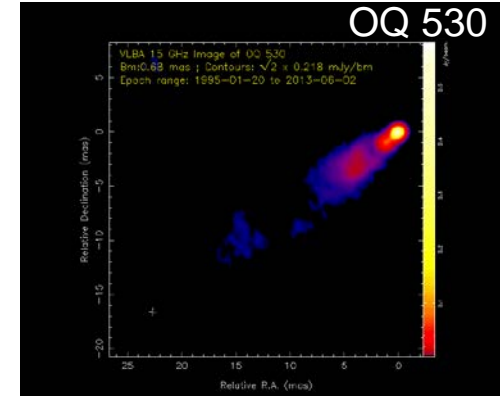
Radio-loud blazars – promising neutrino sources

A. Plavin et al., ApJ 894, 101 (2020)

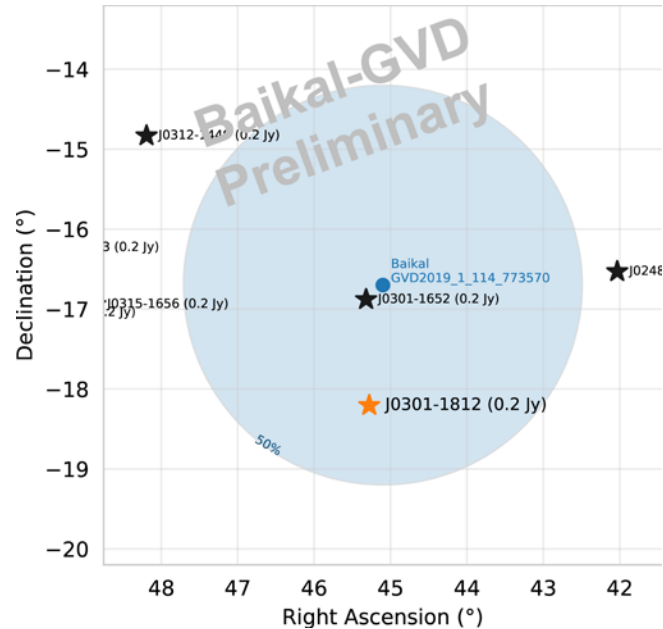
A. Plavin et al., ApJ 908, 157 (2021)

GVD190523CA

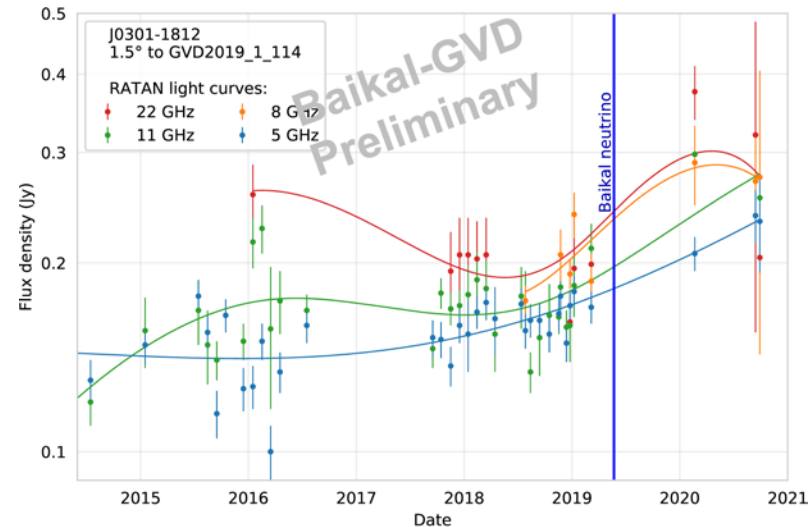
Radio blazar **J0301-1812**



Sky plot of radio-bright blazars nearby neutrino event



Light curves of J0301-1812 measured by RATAN-600



Upward-going cascade **GVD210418CA**

MJD = 59322.94855324

Energy $E = 224 \text{ TeV } (\pm 30\%)$

Distance from central string $r = 70 \text{ m}$

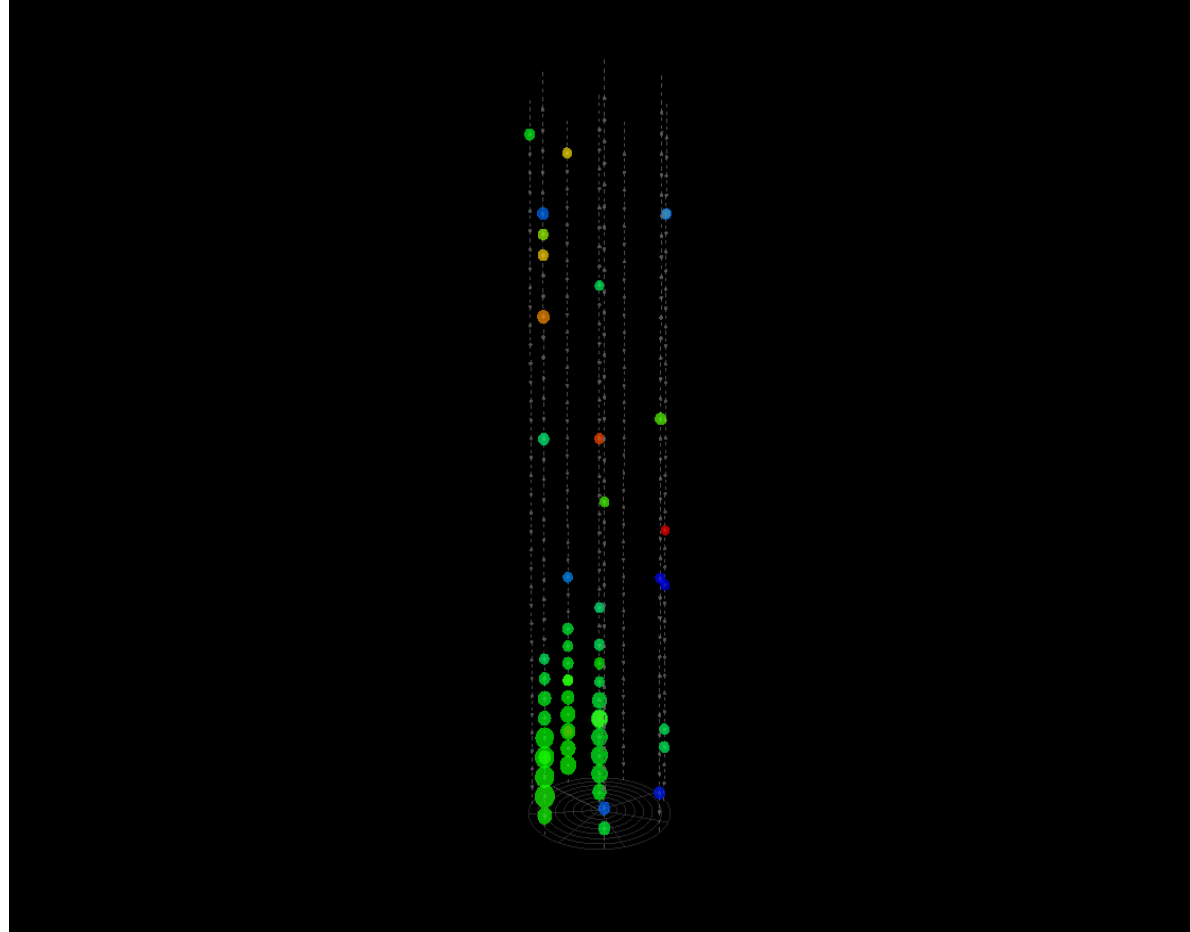
Zenith angle = 115°

RA = 82.4° , Dec = 7.1°

Probability for the background-only hypothesis (stat.+sys.)

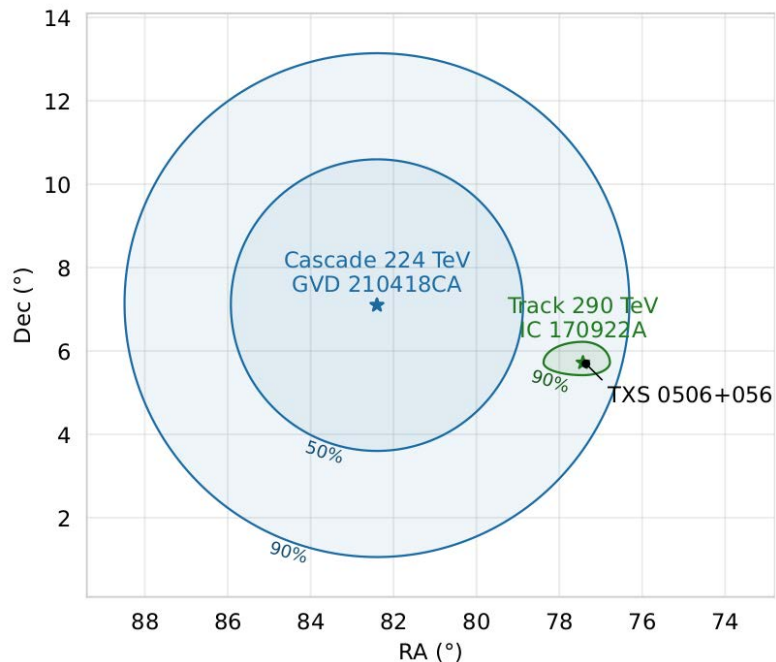
P-value = 0.0033 (2.93σ)

Signalness: 97.1%



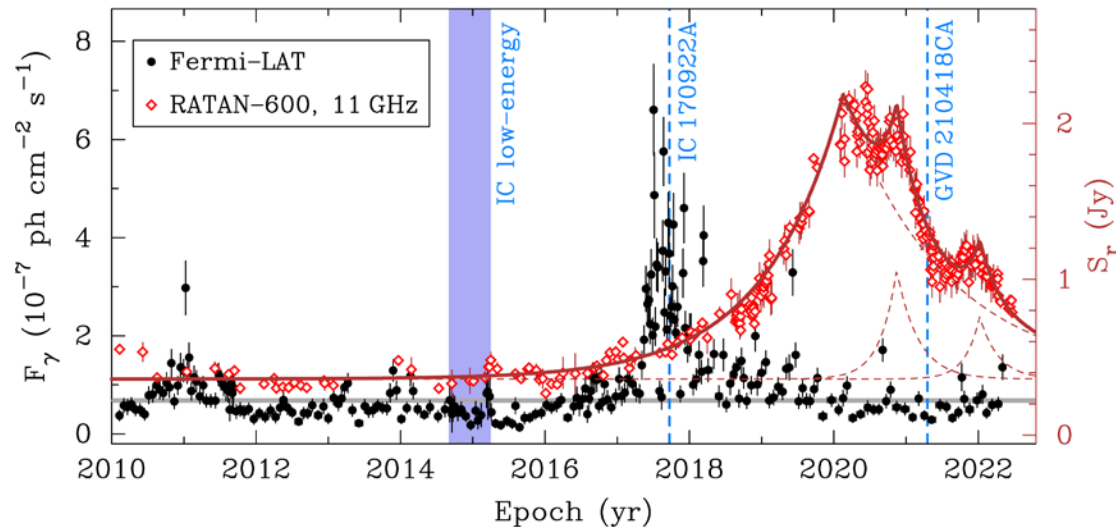
A high energy neutrino from the direction of TXS 0506+056

GVD210418CA (97% signalness) lies within 90% error circle from TXS 0506+056



The chance probability for such an association to occur randomly due to the background is $p = 0.0074$

Radio and gamma-ray light curves of TXS 0506+056.

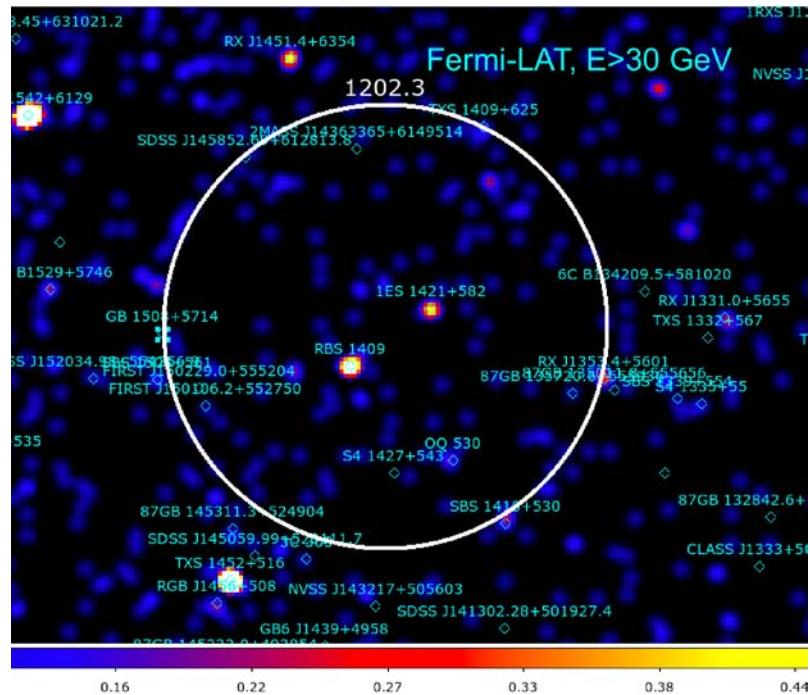
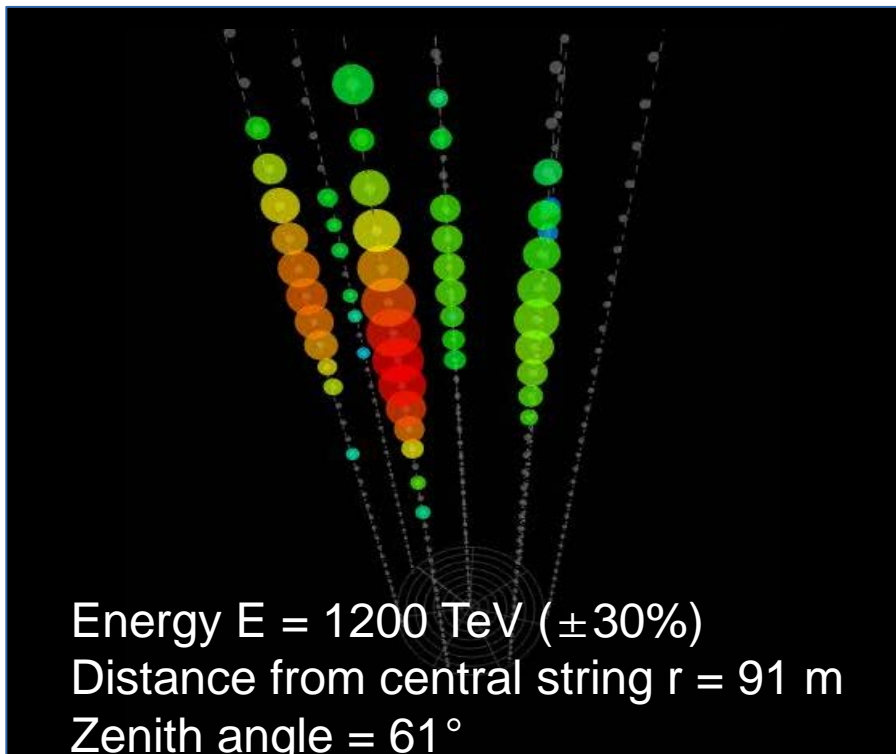


Analysis of RATAN-600 radiotelescope data (11GHz) showed increased activity

- IC event registered during γ flare and radio activity
- Baikal-GVD event during radio activity
- Probability of IC non-observation: 11%

[arXiv:2210.01650](https://arxiv.org/abs/2210.01650)

A 1 PeV cascade event (downgoing) **GVD190517CA**

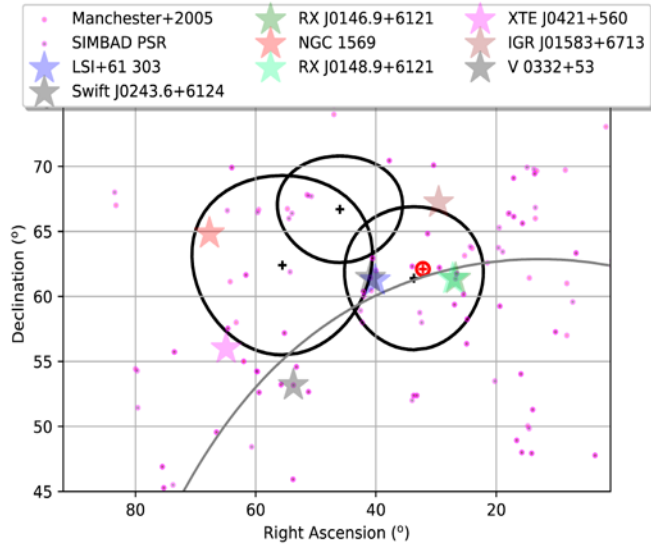


Probability for the background-only hypothesis (stat.+sys.)

P-value = 0.0054 (2.78σ)

Signalness 96.6%

Event triplet near Galactic plane



Three events (GVD190216CA, GVD190604CA and GVD210716CA) close to the Galactic plane (grey line) and their corresponding 90% errors (black).

The red plus and circle – IC hotspot and 0.5° uncertainty at 90% level (Aartsen & et al. ApJ, 835,151 (2017))

Stars - Several close high-mass X-ray binaries.

Dots - Galactic pulsars (Manchester et al. 2005, SIMBAD Astronomical Database)

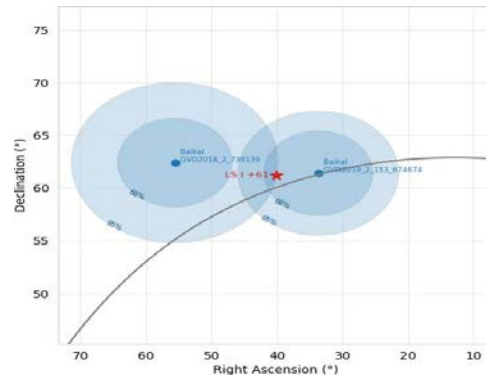
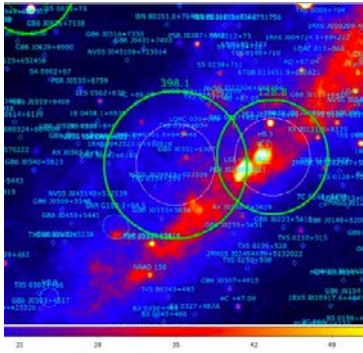


LSI +61° 303 γ -ray active binary system



Swift J0243.6+6124 s the only discovered pulsating ultraluminous X-ray source (PULX) in the Galaxy.

LSI +61 303 and the two Baikal-GVD events



LSI +61 303 – γ -ray microquasar 3.1° from GVD190604CA and 7.4° from GVD190216CA (both are down-going events). Using the PSFs of all 16 HE-events, the chance probability to observe such a doublet near LSI +61 303 was estimated as 0.0187 (2.35 σ) [not corrected for the “look elsewhere effect”]

GVD follow up of ANTARES alerts

Following ANTARES upgoing μ alerts ($\langle E \rangle = 7$ TeV)

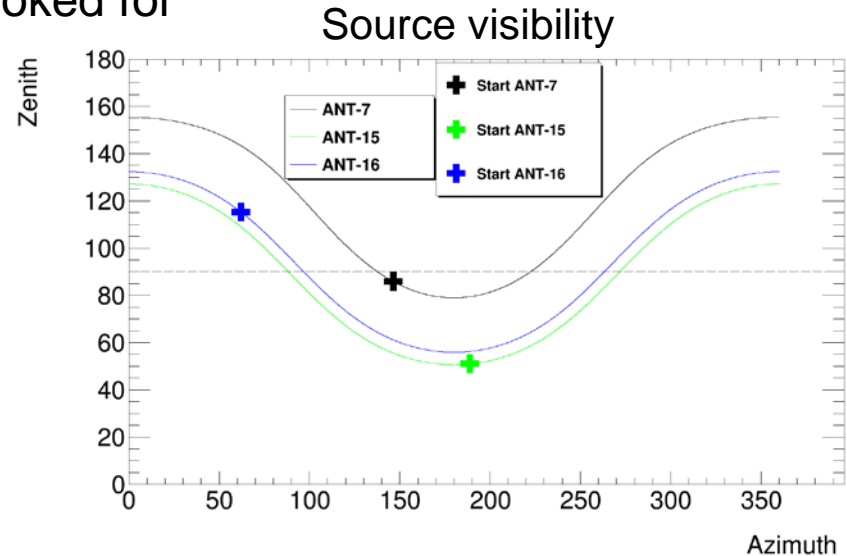
Time windows: ± 500 sec, ± 1 hour and ± 1 day

Both upgoing and downgoing cascades are looked for

Since Dec 2018, 60 alerts have been analysed

3 potentially interesting events

ANT alert	GVD cluster	T-T _{alert} , hours	Energy, TeV
A7	3	+20.8	13.5
A7	3	-23.2	158
A7	2	-3.2	2.9
A15	2	+20.4	3.0
A15	3	-0.64	3.98
A16	2	-18.7	3.99
A16	4	-14.35	3.89



No prompt coincidence in time and direction was found

O. Suvorova et al. @ Neutrino 2022

O. Suvorova and A. Garre @ ICRC 2021

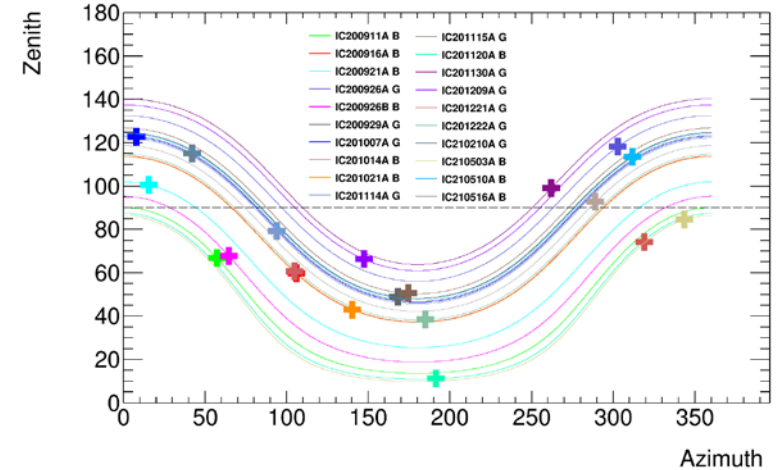
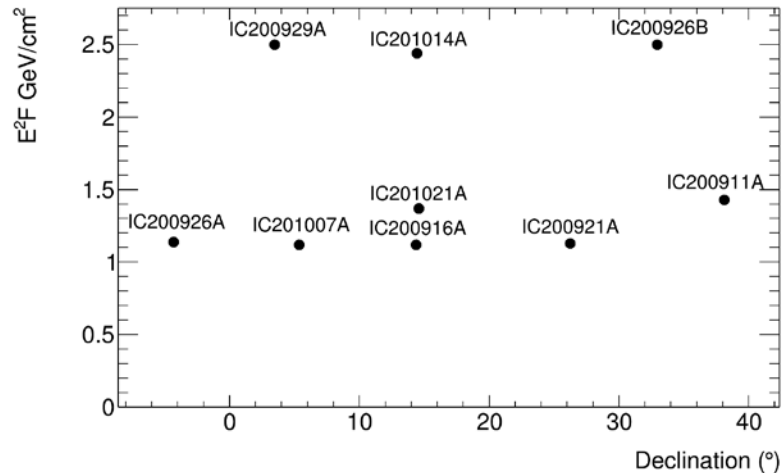
GVD follow up of IceCube alerts

Since Sep 2020, following IC alerts (GCN / upgoing muons)

No statistically significant coincidence was found in this analysis, except possibly IceCube-211208A (see next slide)

90% upper limits derived for E^{-2} spectrum, equal fluence in all flavors, for $E = 1 \text{ TeV} - 10 \text{ PeV}$ and $\pm 12 \text{ hr}$ interval

Baikal-GVD upper limits



A.D. Avrorin et al., Astronomy Letters, Vol.47, N 2, 114 (2021)

<http://dx.doi.org/10.1134/S1063773721020018>

V.Y. Dik et al., JINST 16 (2021) C11008

<https://doi.org/10.1088/1748-0221/16/11/C11008>

Baikal-GVD follow up of IceCube-211208A / PKS 0735+17

Dec 8, 2021 20:02: IceCube “Astrotrack Bronze” neutrino event in vicinity of bright blazar PKS 0735+17

Active state of PKS 0735+17 reported in optical (MASTER), HE gamma-rays (Fermi LAT), X-rays (Swift XRT) and radio

Baikal-GVD found a downward-going (30° above horizon) **cascade-like event 4 hr after** the IceCube event
 5.3° from the best-fit direction of IceCube-211208A
 4.7° from **PKS 0735+17**

$E \approx 43$ TeV

PSF 50% (68%) containment radius = 5.5 deg (8.1 deg)

Pre-trial p-value = 0.0044 (2.85σ) [24 hr, 5.5 deg cone]

Trial factor ~ 40 (total number of IceCube alerts analyzed)

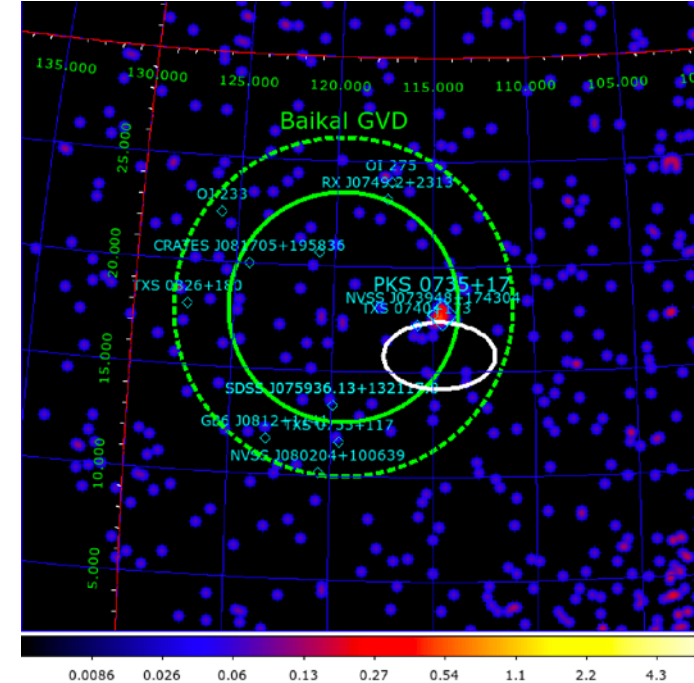


Image by D.Semikoz & A.Neronov

ATel 15112

Also see N. Sahakyan et al., arXiv:2204.05060

Conclusion

- Baikal-GVD is a new neutrino telescope under construction in Lake Baikal
 - Volume already approaching 0.5 km³
 - Sky coverage complementary to IceCube
- The IceCube's diffuse neutrino flux is confirmed by Baikal-GVD with a 3σ significance
- Hints of possible new neutrino sources are accumulating