# Constraining higher-order operators in $t\overline{t}$ production using a Matrix Element Method

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Search for eff. operators in  $t\bar{t}$  using a MEM

- LHC Runl:
  - Standard Model Higgs discovered
  - No evidence for New Physics at the EW scale
- LHC Runll has just started! Entering new territory!
- What if new degrees of freedom out still of reach of direct searches?

   → look for indirect effects: precision measurements of SM observables
   → compass for future direct searches
- Where to look? This work:  $t\overline{t}$  production:
  - Special role of the top in EWSB? (Yuwaka  $\sim 1 \dots$ ?)
  - Many NP models predict deviations in the top sector
  - Only quark decaying before hadronizing
  - Abundant process at the LHC
- How to parametrise & search for possible deviations?

### Effective Field Theory

• Effective Field Theory:

$$\mathcal{L} = \mathcal{L}_{\mathsf{SM}}^{d=4} + \sum_{d>4,i} rac{\mathcal{C}_i^{(d)}}{\Lambda^{d-4}} \mathcal{O}_i^{(d)}$$

- $\mathcal{O}_i$  are operators satisfying SM symmetries, with "couplings"  $c_i$
- Λ is a New Physics scale
- $\bullet\,$  Some operators can be removed  $\rightarrow\,$  define minimal subset
- Global fit necessary
- In tt
   production, dominant effect expected from interference of dim.
   6 operators with SM amplitude:

$$|\mathcal{M}|^2 = |\mathcal{M}_{\mathsf{SM}}|^2 + \sum_i \frac{c_i}{\Lambda^2} 2 \operatorname{\mathfrak{Re}}(\mathcal{M}^*_{\mathsf{SM}} \mathcal{M}_{\mathcal{O}_i^{(6)}}) + \mathcal{O}(\Lambda^{-4})$$

• Partial  $\Lambda^{-4}$  term can be used to assess validity of expansion

[S.Weinberg (1979)], [W.Buchmuller et al. (1986)], [C.N.Leung et al. (1986)], [B.Grzadkowski et al. - 0310159,1008.4884],
 [J.A.Aguilar-Saavedra et al. - 0811.3842], ...

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# Top Effective Field Theory

- For now, exclude operators affecting top decay
- Some (reasonable) simplifying assumptions:
  - Consider only leading color structure
  - Flavour universal in first two generations
  - No CP violation
  - $\Rightarrow$  10 interfering dim. 6 operators
- $\mathcal{O}_{tG}$  (gt $\overline{t}$ , ggt $\overline{t}$ ),  $\mathcal{O}_{G}$  (ggg,...),  $\mathcal{O}_{\phi G}$  (ggh,...)
- Seven four-fermion operators (variations of  $q\overline{q}t\overline{t}$ )
- Implemented in MadGraph (LO)
- Proof-of-principe global fit at parton level using  $\sigma$ , unfolded differential  $p_T(t)$ ,  $|y(t)|, |y(t\overline{t})|, M_{t\overline{t}} \longrightarrow$

[C.Zhang et al. - 1008.3869], [C.Degrande et al. - 1010.6304], [D.B. Franzosi et al. - 1503.08841], [A.Buckley et al. - 1506.08845], ...





# Signal generation (1)

- Aim: constrain operators in a global fit
  - $\rightarrow$  how to disentangle operators' effects?
  - $\rightarrow$  generate events, use all final state information?
- Probe parameter space: for each operator, generate *only* interference  $\rightarrow$  "signals" linear in  $c_i/\Lambda^2$  (real), #samples = #operators
- Want to keep spin correlations in the decays
  - $\rightarrow$  For now, use full matrix element in  $\mathrm{MadEvent}$
  - $\rightarrow$  Use of  $\rm MADSPIN$  (necessary at NLO) being investigated
  - $\rightarrow$  Focus on dileptonic  $t\overline{t}$  final state
- Not possible out-of-the-box:
  - In MADGRAPH, generate matrix element:  $|\mathcal{M}(c_i)|^2 = |\mathcal{M}_{SM}|^2 + 2c_i\Lambda^{-2} \operatorname{\mathfrak{Re}}(\mathcal{M}^*_{SM}\mathcal{M}_{\mathcal{O}_i}) + (c_i\Lambda^{-2})^2 |\mathcal{M}_{\mathcal{O}_i}|^2$
  - Hack matrix elements to return  $(|\mathcal{M}(c_i)|^2 |\mathcal{M}(-c_i)|^2)/2$
  - Validated by checking  ${ ilde \sigma}_i \propto c_i/\Lambda^2$

Details on generation:

- $\bullet~\text{SM}~t\overline{t},~\mathcal{O}_{tG}$  at LO for now; more detailed studies using NLO planned
- PDF: NNPDF2.3LO
- $\bullet\,$  Scale, PDF uncertainties not (yet) included ( $\rightarrow\,$  reweighting)
- $m_t = 173.2$  GeV, investigations ongoing on propagation of uncertainty
- Showered using Pythia 8.2, tune  $\rm CUETP8M1$
- DELPHES 3.3.0 fast detector simulation: CMS, <PU>=50

Event selection:

- "Standard" selection yielding almost pure  $t\overline{t}$  sample
- Two opposite charge leptons:  $p_T > 20$  GeV,  $|\eta| < 2.4$ , Rellso < 0.12(0.25),  $m_{ll} > 20$  GeV
- At least two b-jets,  $p_{T}>$  30 GeV,  $|\eta|<$  2.4,  $\Delta R_{lb}>$  0.3
- For  $ee/\mu\mu$  channels: 76  $> m_{II} >$  106 GeV, MET > 40 GeV

Total cross sections (branching fraction to  $\mu\mu/ee/\mu e$ : 4.9%):

| "Process"                  | $\sigma$ (pb) ( $c_i \Lambda^{-2} = 1$ TeV <sup>-2</sup> ), 13 TeV |  |  |  |
|----------------------------|--|--|--|--|
| SM tt                      | 815.96 @NNLO   |  |  |  |
| $\mathcal{O}_{tG}$         | 275.47   |  |  |  |
| $\mathcal{O}_{G}$          | 22.74  |  |  |  |
| $\mathcal{O}_{\phi G}$     | -7.49  |  |  |  |
| $\mathcal{O}_{qq}^{(8,1)}$ | 5.23   |  |  |  |
| $\mathcal{O}_{qq}^{(8,3)}$ | 1.04   |  |  |  |
| $\mathcal{O}_{ut}^{(8)}$   | 3.13   |  |  |  |
| $\mathcal{O}_{dt}^{(8)}$   | 2.08   |  |  |  |

3 four-fermion operators not yet included (proof of principle)

# Parton level distribution examples

As expected, operators' relative contributions tend to be larger at high energies:



Figure : Top  $p_T$ 

Figure :  $t\overline{t}$  invariant mass

#### Analysis level distribution examples



#### Distributions: spin correlations

- Define θ<sup>+(-)</sup> as the angle between the direction of the (anti-)top in the tt̄ restframe, and the direction of the positive (negative) lepton in the (anti-)top rest frame

   → cos θ<sup>+</sup> × cos θ<sup>-</sup> sensitive to spin correlations between t, t̄
- $\Delta \phi(l^+,l^-)$  is also sensitive, without the need to reconstruct the tops



### Matrix Element Method

 Compute a likelihood to observe event x under a theoretical hypothesis α (=LO matrix element of a chosen process)

$$P(\mathbf{x}|\alpha) = \frac{1}{\sigma_{\alpha}} \int dx_1 dx_1 d\Phi(\mathbf{y}) f(x_1) f(x_2) |\mathcal{M}_{\alpha}(\mathbf{y})|^2 T(\mathbf{x}|\mathbf{y}) \equiv \frac{W_{\alpha}}{\sigma_{\alpha}}$$

- Normalization using visible cross-section  $\sigma_{\alpha}$  s.t. P is a likelihood
- f: PDFs,  $x_i$ : Björken-x,  $d\Phi(\mathbf{y})$ : phase-space density & flux factor
- $|\mathcal{M}_{\alpha}(\mathbf{y})|^2$ : matrix element for hypothesis  $\alpha$ , evaluated on partonic event  $\mathbf{y}$
- Transfer Function  $T(\mathbf{x}|\mathbf{y})$ : probability density to reconstruct event  $\mathbf{x}$ , given partonic configuration  $\mathbf{y}$ . Usually, one assumes

$$T = \prod_{i \in \text{vis.objects}} \delta(\phi_i^{\text{gen}} - \phi_i^{\text{rec}}) \,\delta(\eta_i^{\text{gen}} - \eta_i^{\text{rec}}) \,T_i(E_i^{\text{rec}} | E_i^{\text{gen}})$$

• Average over jet assignment permutations

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# Matrix Element Method & effective operators

- $\bullet$  Use MEM to construct variables most sensitive to the operator's effects  $\to$  use all the available information
- $\bullet~\mbox{Distributions} \rightarrow \mbox{template fits, propagate systematics}$
- In principle, most discriminating variable between hypotheses "SM  $t\bar{t}$ " and "SM modified by operator *i* with coef.  $c_i/\Lambda^2$ " is:

$$\mathfrak{R}(c_i) = \frac{(W_{t\bar{t}} + \frac{c_i}{\Lambda^2} W_i) / (\sigma_{t\bar{t}}^{\mathsf{vis}} + \frac{c_i}{\Lambda^2} \tilde{\sigma}_i^{\mathsf{vis}})}{W_{t\bar{t}} / \sigma_{t\bar{t}}^{\mathsf{vis}}}$$

- $W_{t\overline{t}}$  is the weight under the SM  $t\overline{t}$  hypothesis
- *W<sub>i</sub>* is the unphysical "weight" from integrating the interference of operator *i* with the SM
- In practice, all that counts is  $W_i/W_{t\bar{t}}$ . So, define:

$$D_i = (\arctan(\log(|W_i|/W_{t\overline{t}})) + \pi/2)/\pi$$

(arctan  $\rightarrow$  normalize output between 0 and 1)

# Matrix Element Method: implementation

- Private C++ code (Memter EM++)
- CUBA, LHAPDF, MADWEIGHT's phase-space mappings
- $\bullet~$  Using  ${\rm C}{\rm UBA}{}'s$  vector integrand capabilities  $\rightarrow$  8 weights at once
- New MadGraph C++ matrix element exporter
- Edited C++ matrix element by hand  $\rightarrow$  keep interference part only, minimise unnecessary operations (re-using diagrams)
- Validated by checking that  $W_i \propto c_i$ ,  $W_{t \overline{t}}$  independent of  $c_i$
- Binned transfer functions on electrons, muons, b-jets from SM tt sample
- Example: electron transfer function:



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## Weights and discriminants



## Discrimination power

- Compare constraining power of different variables using binned maximum likelihood fit
- Consider statistical uncertainties only (assuming 100  $\text{fb}^{-1}$ )
- SM  $t\bar{t}$  fixed, float one operator at a time:

| Operator                   | Uncertainty on $c_i \Lambda^{-2}$ (TeV <sup>-2</sup> ) |                        |                |  |
|----------------------------|--|------------------------|----------------|--|
|                            | Yields only  | $\Delta \phi(I^+,I^-)$ | Variable $D_i$ |  |
| $\mathcal{O}_{tG}$         | 0.0057   | 0.0057                 | 0.0057         |  |
| $\mathcal{O}_{G}$          | 0.072  | 0.071                  | 0.049          |  |
| $\mathcal{O}_{\phi G}$     | 0.19   | 0.18                   | 0.17           |  |
| $\mathcal{O}_{qq}^{(8,1)}$ | 0.32   | 0.31                   | 0.24           |  |
| $\mathcal{O}_{qq}^{(8,3)}$ | 2.23   | 2.06                   | 1.29           |  |
| $\mathcal{O}_{ut}^{(8)}$   | 0.55   | 0.46                   | 0.36           |  |
| $\mathcal{O}_{dt}^{(8)}$   | 0.73   | 0.63                   | 0.50           |  |

- Already substantial improvements using MEM-based discriminants
- Expect real gain to be seen in global fit

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#### Weights and systematics

- Propagation of Jet Energy Scale uncertainty to the weights
- Weights for nominal & up/down variations computed simultaneously:

$$\int dE_{gen} |\mathcal{M}(E_{gen})|^2 \times \begin{bmatrix} T(E_{rec}^+ | E_{gen}) \\ T(E_{rec} | E_{gen}) \\ T(E_{rec}^- | E_{gen}) \end{bmatrix} \times \dots$$

• Impact of (pessimistic) 5% variation of JES on shapes:



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- EFT: complete description of indirect New Physics effects
- Define strategy to search for/fit effective operators in  $t\overline{t}$  production, in the dileptonic final state
- $1/\Lambda^2$  expansion  $\to$  consider only interferences with Dim6 operators  $\to$  Limited number of samples to generate
- Generation of "interference samples" feasible
- Matrix Element-based approach using new C++ implementation  $\rightarrow$  build variables most sensitive to operators' effects
- Work in progress: recursive subdivision of phase-space based on ME discriminants → global fit of operators, minimizing correlations

#### Backup!

# List of perators

List of dimension 6 operators interfering with the SM in  $t\bar{t}$  production (1):

$$\begin{aligned} \mathcal{O}_{tG} &= (\overline{q}\sigma^{\mu\nu}\lambda^{A}t)\widetilde{\phi}G^{A}_{\mu\nu} \\ \mathcal{O}_{G} &= f_{ABC}G^{A\nu}_{\mu}G^{B\rho}_{\nu}G^{C\mu}_{\rho} \\ \mathcal{O}_{\phi G} &= \frac{1}{2}(\phi^{\dagger}\phi)G^{A\mu\nu}_{\mu\nu}G^{A\mu\nu} \\ \mathcal{O}^{(8,1)}_{qq} &= \frac{1}{4}(\overline{q}^{i}\gamma_{\mu}\lambda^{A}q_{j})(\overline{q}\gamma^{\mu}\lambda^{A}q) \\ \mathcal{O}^{(8,3)}_{qq} &= \frac{1}{4}(\overline{q}^{i}\gamma_{\mu}\tau^{I}\lambda^{A}q_{j})(\overline{q}\gamma^{\mu}\tau^{I}\lambda^{A}q) \\ \mathcal{O}^{(8)}_{ut} &= \frac{1}{4}(\overline{u}^{i}\gamma_{\mu}\lambda^{A}u_{j})(\overline{t}\gamma^{\mu}\lambda^{A}t) \\ \mathcal{O}^{(8)}_{dt} &= \frac{1}{4}(\overline{d}^{i}\gamma_{\mu}\lambda^{A}d_{j})(\overline{t}\gamma^{\mu}\lambda^{A}t) \\ \mathcal{O}^{(8)}_{qu} &= (\overline{q}u^{i})(\overline{u}^{j}q) \\ \mathcal{O}^{(1)}_{qd} &= (\overline{q}d^{i})(\overline{d}^{j}q) \\ \mathcal{O}^{(1)}_{at} &= (\overline{q}^{i}t)(\overline{t}q^{i}) \end{aligned}$$

- q<sup>i</sup> (u<sup>i</sup>, d<sup>i</sup>) are the left-handed doublets (right-handed singlets) of the first two generations
- q (t) is the left-handed doublet (right-handed singlet) of the third generation
- $\phi$  is the Higgs doublet
- Considering only  $t\bar{t}$  total & differential cross sections, reduction to 4 linear combinations of the 4-fermion operators

#### Parton level distributions



#### Parton level distributions



#### Analysis level distributions



#### Analysis level distributions



# Weights & discriminants



# Weights & discriminants

