

Parton Shower Monte Carlo

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University of Zurich

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Outline

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- ▶ Why parton showers

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- ▶ Final-state showers

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- ▶ Sudakov form factor and unitarity

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- ▶ Hadronization

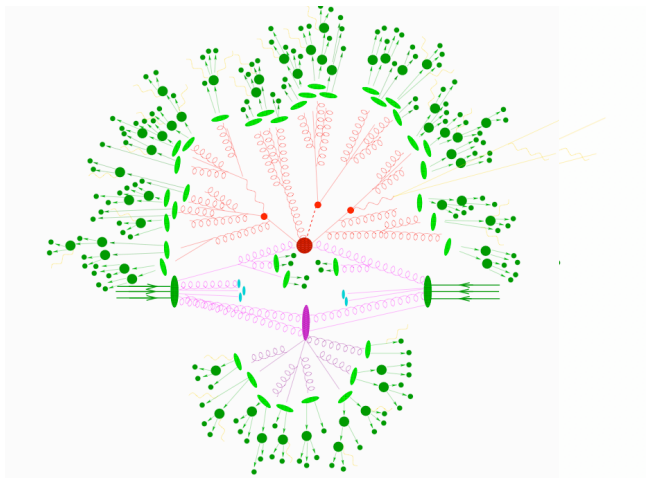
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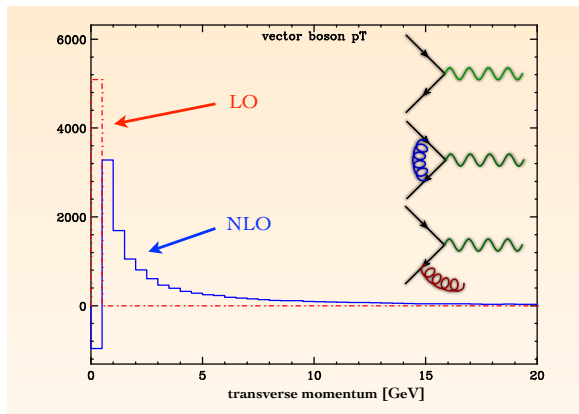
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Parton showers offer a versatile tool to realise this.

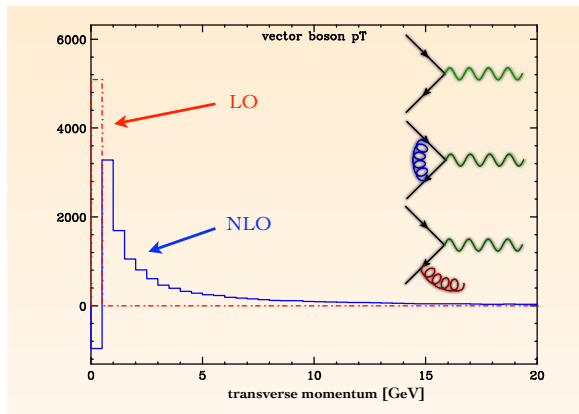
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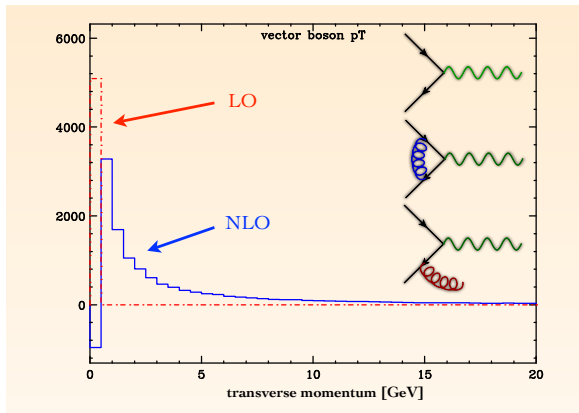
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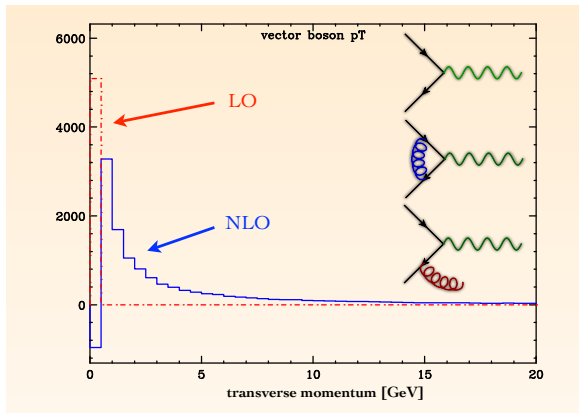
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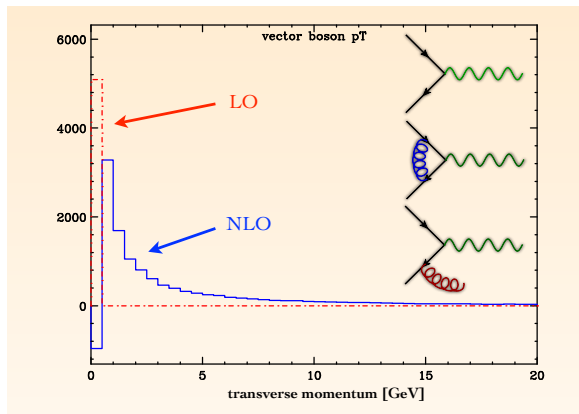
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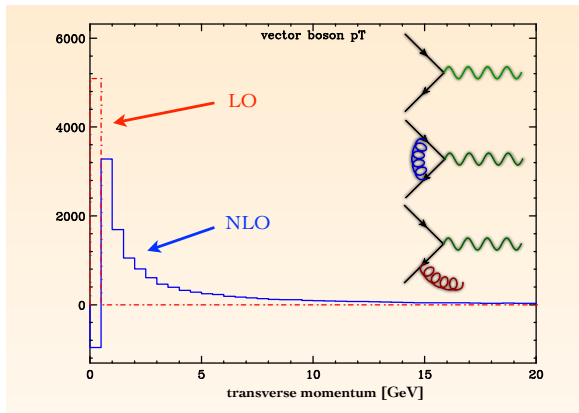
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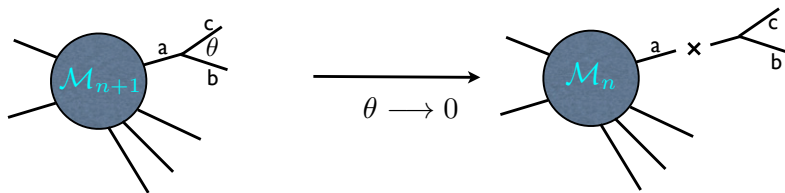
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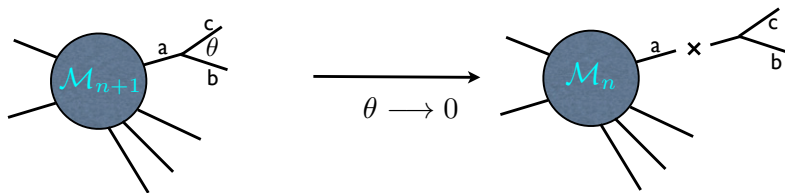
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- ▶ Inclusive observables are OK just because they effectively "integrate" over it (cross section, rapidity, ...).
- ▶ This region is where to start formulating a complement to fixed-order PT.

Parton branching



a = **final state** massless QCD parton coming out of a **generic** hard process, splitting into b and c massless at **small angle** θ .

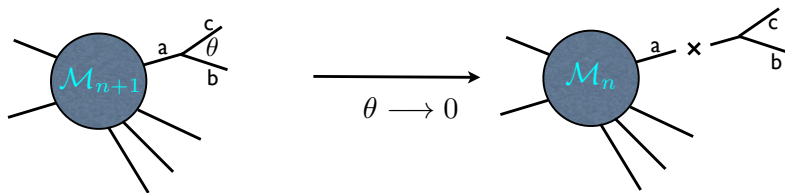
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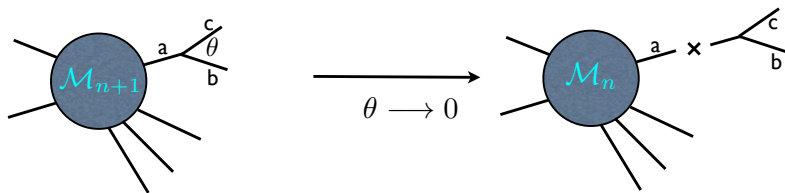
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- ▶ The whole process cross section should be writeable **in this limit** as the basic one times some branching probability.

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Case $a = q$, $b = q$ with relative energy z , $c = g$ with relative energy $1 - z$.

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Polarizations for small θ :

$$\begin{aligned}u_a^+ &= \sqrt{E_a}(1, 0, 1, 0), & u_a^- &= \sqrt{E_a}(0, 1, 0, -1), \\u_b^+ &= \sqrt{zE_a}(1, \theta(1-z)/2, 1, \theta(1-z)/2), \\u_b^- &= \sqrt{zE_a}(-\theta(1-z)/2, 1, \theta(1-z)/2, -1), \\e_c^{in} &= (0, 1, 0, \theta z), & e_c^{out} &= (0, 0, 1, 0).\end{aligned}$$

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Amplitudes in the $t \rightarrow 0$ limit ($t = p_a^2 = 2E_b E_c(1 - \cos\theta) \sim z(1-z)E_a^2\theta^2$):

$$\begin{aligned}\mathcal{M}_{n+1}(\pm, \pm, in) &\sim \mathcal{M}_n \frac{g_s t^c}{t} \bar{u}_b^\pm \gamma^\mu u_a^\pm e_c^{in} \sim -i \mathcal{M}_n \frac{g_s t^c}{\sqrt{t}} \frac{1-z}{\sqrt{1-z}}, \\ \mathcal{M}_{n+1}(\pm, \pm, out) &= \mathcal{M}_n \frac{g_s t^c}{t} \bar{u}_b^\pm \gamma^\mu u_a^\pm e_c^{out} = \mathcal{M}_n \frac{g_s t^c}{\sqrt{t}} \frac{1+z}{\sqrt{1-z}}.\end{aligned}$$

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Phase space: $d\Phi_{n+1} = d\Phi_n \frac{dz dt d\phi}{4(2\pi)^3}$.

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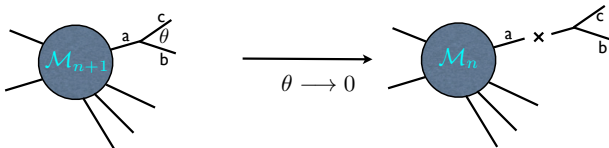
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Unpolarized cross section (up to terms regular as $t \rightarrow 0$):

$$d\sigma_{n+1} \sim d\sigma_n \frac{dt}{t} dz \frac{\alpha_s}{2\pi} C_F \frac{1+z^2}{1-z}.$$

Collinear factorization

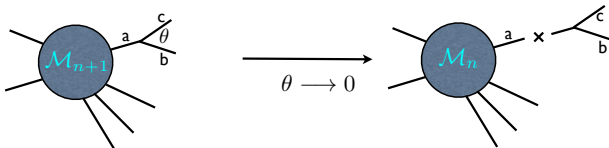


Analogously happens for $g \rightarrow gg$ and $g \rightarrow q\bar{q}$: cross section factorization in the collinear limit:

$$d\sigma_{n+1} \sim d\sigma_n \frac{dt}{t} dz \frac{\alpha_S}{2\pi} P_{a \rightarrow bc}(z).$$

Universal: $P_{a \rightarrow bc}(z)$ just depends on parton identities and energy fraction, **not** on \mathcal{M}_n . It is a sort of "branching probability".

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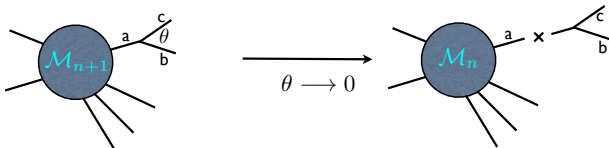
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$P_{a \rightarrow bc}(z)$ = Altarelli-Parisi splitting kernel ($C_A = 3$, $C_F = 4/3$, $T_R = 1/2$):

$$P_{g \rightarrow qq}(z) = T_R \left[z^2 + (1-z)^2 \right], \quad P_{q \rightarrow qg}(z) = C_F \left[\frac{1+z^2}{1-z} \right],$$

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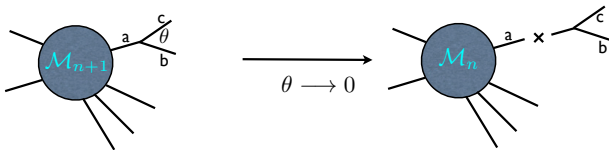
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Comments. 1) Soft singularity as emitted **gluon** goes soft.
2) Gluons radiate the most.

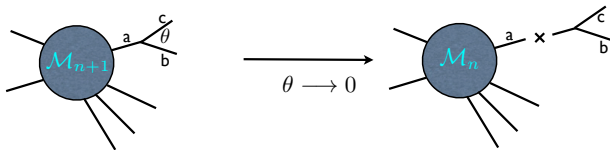
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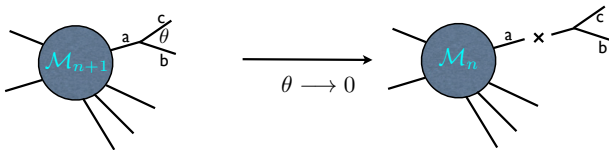


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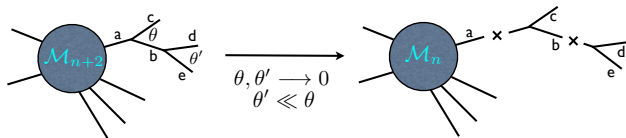


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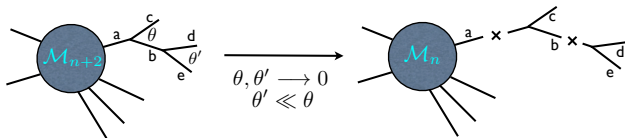
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- ▶ z is the "energy variable": it could be the relative energy of b , **but also** $(p_b + p_{rec})^2 / (p_a + p_{rec})^2$, ...
It represents the **momentum sharing** between b and c and tends to 1 in as c goes soft.

Multiple emission



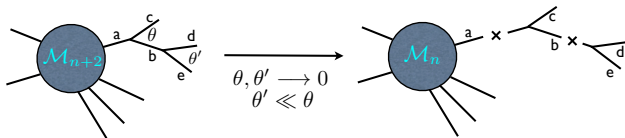
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Now consider \mathcal{M}_{n+1} as the new core process and use the same recipe to get the **dominant collinear contribution** to the $n+2$ -body cross section: add a new branching at angle $\theta' \ll \theta$:

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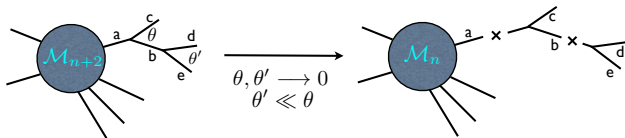


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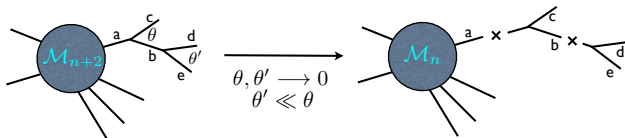


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- ▶ Can be iterated for an arbitrary number of emissions.
- ▶ The recipe to get the **leading collinear singularity** is an iterative sequence of emissions with no memory of the past history of the system, so a **Markov chain**.

Multiple emission

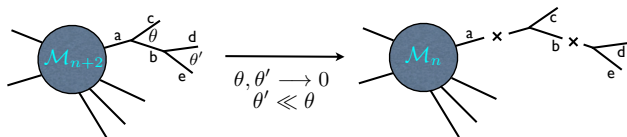


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 d\sigma_{n+2} &\sim d\sigma_{n+1} \frac{dt'}{t'} dz' \frac{\alpha_S}{2\pi} P_{b \rightarrow de}(z') \\
 &\sim d\sigma_n \frac{dt}{t} dz \frac{\alpha_S}{2\pi} P_{a \rightarrow bc}(z) \frac{dt'}{t'} dz' \frac{\alpha_S}{2\pi} P_{b \rightarrow de}(z').
 \end{aligned}$$

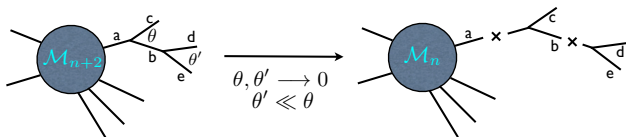
- ▶ Can be iterated for an arbitrary number of emissions.
- ▶ The recipe to get the **leading collinear singularity** is an iterative sequence of emissions with no memory of the past history of the system, so a **Markov chain**.
- ▶ **Process independence** (no reference to $d\sigma_n$).

Multiple emission



- Dominant collinear contribution is from the region where subsequent emissions are in **strong ordering**: $\theta \gg \theta' \gg \theta'' \dots$

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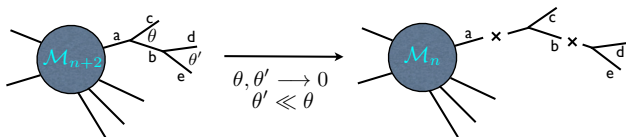


- ▶ Dominant collinear contribution is from the region where subsequent emissions are in **strong ordering**: $\theta \gg \theta' \gg \theta'' \dots$
- ▶ Rate for multiple strongly-ordered emissions

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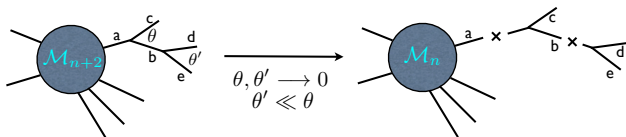
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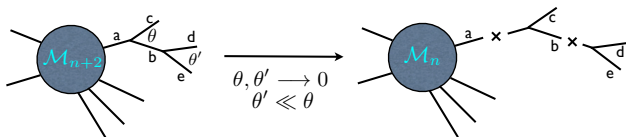
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- ▶ Formalism based on strong ordering knows about the **leading logarithmic collinear** approximation of the total rate.
- ▶ Now clear why fixed-order PT breaks down at small p_T : **effective coupling is $\alpha_S \log(Q^2/Q_0^2)$, not just α_S .**

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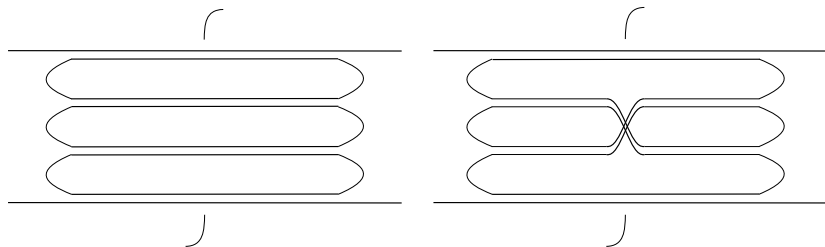
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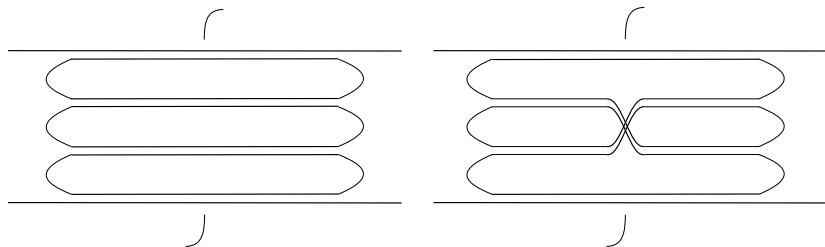
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- ▶ In the picture: interference (left) suppressed by N_c^2 wrt amplitude squared (right).
- ▶ Absence of interference in the emission chain implies that **the colour flow in the parton shower is correct only for $N_c \rightarrow \infty$** .

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- ▶ Properties

$$\frac{d\Delta(Q^2, t)}{dt} = \frac{dp(t)}{dt} \Delta(Q^2, t),$$

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- ▶ Analogy: in $e^+e^- \rightarrow \text{jets}$ the jet separation plays the role of the resolution scale Q_0 . Unitarity is implemented by $\sigma_{\text{NLO}} = \sigma_2 + \sigma_3 = \text{finite}$, and one can define probabilities for jet multiplicity m as $\sigma_m / \sigma_{\text{NLO}}$.

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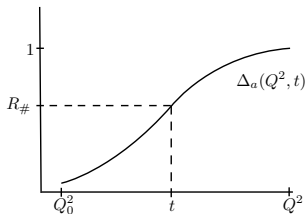
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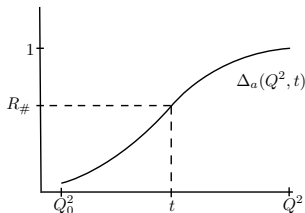


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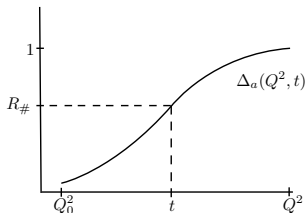
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If only one possible branching, define

$$I(z) \equiv \int^z dz' \frac{\alpha_S}{2\pi} P_{a \rightarrow bc}(z')$$

and solve $I(z)/I(z_{max}) = R'_{\#}$.

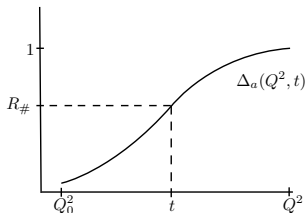
For many possible branchings, pick one at random according to $I_i(z_{max})/\sum_j I_j(z_{max})$ and then extract z .

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- ▶ Extract energy fraction z and identities b and c according to $P_{a \rightarrow bc}(z)$.

If only one possible branching, define

$$I(z) \equiv \int^z dz' \frac{\alpha_S}{2\pi} P_{a \rightarrow bc}(z')$$

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For many possible branchings, pick one at random according to $I_i(z_{max})/\sum_j I_j(z_{max})$ and then extract z .

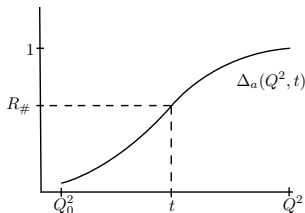
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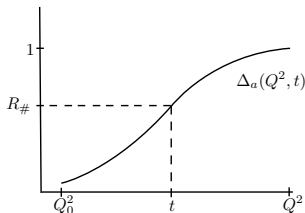
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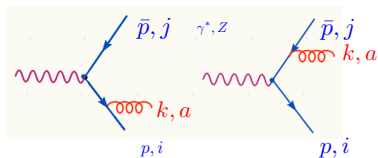
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- ▶ **Put partons on shell and hadronize** (see later).

Including subleading logs: angular ordering

Soft gluon limit:

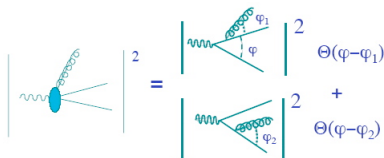


$$d\sigma_{n+1} = d\sigma_n C_F \frac{\alpha_s}{2\pi} \frac{dz}{z} \frac{d\phi}{2\pi} d\cos\theta \frac{\zeta_{ij}}{\zeta_{ik}\zeta_{jk}},$$

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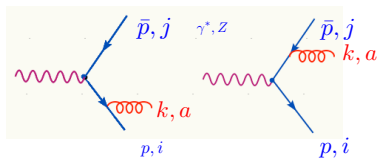
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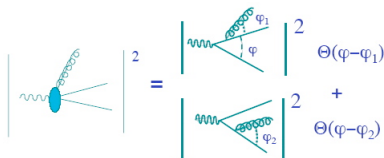


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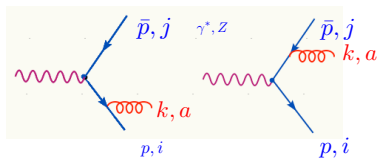
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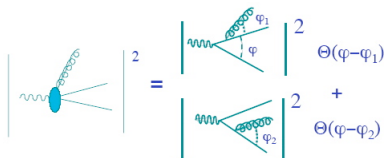


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- ▶ **Soft gluon limit:** radiation inside cones allowed and described by the eikonal approximation, outside the cones suppressed and = 0 after azimuth integration: **destructive interference effect**.
- ▶ This can be reiterated to further gluon radiation: **emission angle gets smaller and smaller**.

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- ▶ Indeed one can show that the angular-ordered algorithm reproduces the **leading and next-to leading collinear logarithms in the soft gluon limit**.

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- ▶ Use DGLAP equation to determine the parton evolution backwards in time.

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- ▶ In formulae:

$$\begin{aligned}df_b(z, t) &= \frac{dt}{t} \sum_{ac} \int_z^1 dz' \int_0^1 dw \frac{\alpha_S}{2\pi} f_a(z', t) P_{a \rightarrow bc}(w) \delta(z - wz') \\ &= \frac{dt}{t} \sum_{ac} \int_0^1 \frac{dw}{w} \frac{\alpha_S}{2\pi} f_a\left(\frac{z}{w}, t\right) P_{a \rightarrow bc}(w).\end{aligned}$$

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- ▶ Consequently the **Sudakov form factor for initial-state radiation** is

$$\hat{\Delta}(z, Q^2, t) = \exp \left[- \int_{|t|}^{Q^2} d\hat{p}(z, t') \right].$$

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- ▶ Many initial-state emissions evolve the scale t backwards in time, until the true parton inside the hadron is reached.

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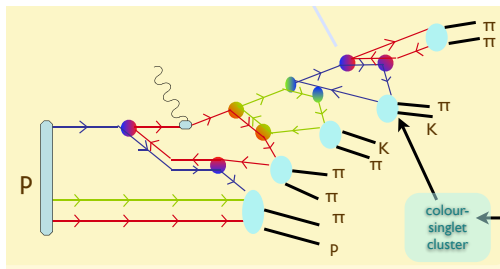
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- ▶ However the formulation of such models can be guided by some phenomenological considerations.

Hadronization: cluster model

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- ▶ Especially in an angular-ordered shower colour partners are close in phase space: colour "preconfinement".
- ▶ Formation of **small-mass colourless clusters** to be decayed into physical hadrons.



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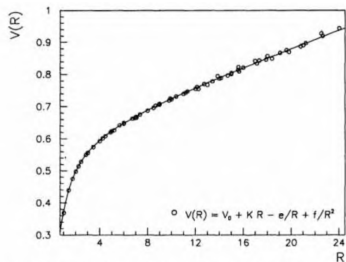


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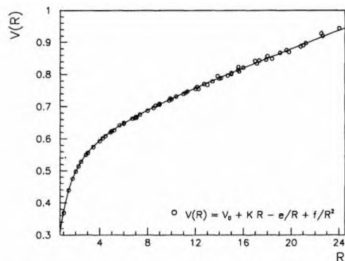
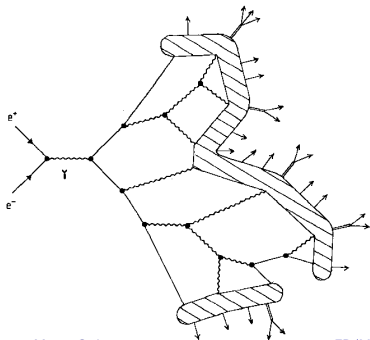


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- ▶ This is modeled with a **string with uniform tension** (energy per unit length) k stretched between the q and the \bar{q}
- ▶ At a certain point it becomes energetically favorable to break the string in two by creating a new $q\bar{q}$ pair in the middle of the string.



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Main Monte Carlos available on the market: SHERPA

- ▶ A new and completely different kind of shower not based on the collinear $1 \rightarrow 2$ branching, but on $2 \rightarrow 3$ elementary process: emission of the daughter off a colour dipole.
- ▶ Real emission matrix element squared decomposed into a sum of dipoles $D_{mn,k}$ capturing the soft and collinear singularities in the limits $m \parallel n$, m soft, and a factorization deduced in the leading colour approximation:

$$D_{mn,k} \rightarrow B \frac{\alpha_S}{p_m \cdot p_n} K_{mn,k}.$$

- ▶ The shower is developed from a Sudakov form factor

$$\Delta = \exp \left(- \int \frac{dt}{t} \int dz \alpha_S K_{mn,k} \right).$$

- ▶ It treats correctly the soft gluon emission off a colour dipole, so angular ordering is built in.
- ▶ Hadronization: cluster model.

Matrix-element corrections

- ▶ Parton-shower approach developed near the boundaries of the phase space, where the cross section is singular: **far from there the parton shower is not trustable**. Include real matrix-element information to better describe the tails.

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PYTHIA: matrix-element reweighting.

- ▶ For some simple $2 \rightarrow 2$ processes, the real emission matrix element ($d\sigma_{ME}^1$) is computed and compared with the first-emission parton shower cross section ($d\sigma_{MC}^1$).
- ▶ The phase space allowed for the shower is maximally extended and **the first shower emission is accepted with ratio $d\sigma_{ME}^1/d\sigma_{MC}^1$** , which ensures a correct hard-emission spectrum.

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HERWIG: filling the dead-zones.

- ▶ The allowed region for the parton shower is kept limited, but **in the dead zones radiation is generated according to the correct real-emission matrix-element distribution**.

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- ▶ Matrix-element shower corrections available only for few very simple $2 \rightarrow 2$ processes.
- ▶ It corrects only for the first extra emission (superseded by MLM or CKKW merging).
- ▶ It is a tree-level method (superseded by MC@NLO).

Next lecturers will explain in detail!

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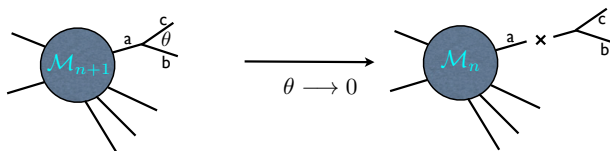
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The nicest feature is that parton showers can be combined with PT.

Backup slides

Extra 1: collinear factorization

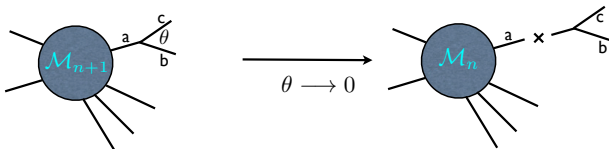


Cross section factorization in the collinear limit:

$$d\sigma_{n+1} \sim d\sigma_n \frac{dt}{t} dz \frac{\alpha_S}{2\pi} P_{a \rightarrow bc}(z).$$

- Why isn't there a t^2 in the denominator? Should be the square of a $1/t$ amplitude...

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- ▶ Why isn't there a t^2 in the denominator? Should be the square of a $1/t$ amplitude...
- ▶ Example of $q \rightarrow qg$: quark helicity conserved, so $|\text{final spin} - \text{initial spin}| = 1$. The scattering happens in a p -wave, so it is suppressed as $t \rightarrow 0$.
- ▶ Indeed a factor $p_b \cdot p_c$ appears for all splittings at the numerator upon explicit computation.

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- ▶ Scale t has thus the role of **evolution variable** (as time in decays).

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- ▶ Azimuthal terms to be kept in mind if one wants $|\mathcal{M}_{n+1}|^2 d\Phi_{n+1}$ to represent the collinear limit of the real amplitude **point by point**.

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Each choice of argument for α_s equally acceptable at the leading-log. Remember

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- ▶ Take this into account by choosing $z(1-z)t \sim p_{\perp}^2$ as argument of the coupling. Indeed, the kernel $\alpha_S P_{a \rightarrow bc}(z)$ becomes

$$\begin{aligned} \alpha_S[z(1-z)t]P_{a \rightarrow bc}(z) &\sim \alpha_S(t)(1 - \alpha_S(t)b \log z(1-z))P_{a \rightarrow bc}(z) \\ &= \alpha_S(t)(P_{a \rightarrow bc}(z) + \alpha_S(t)P'_{a \rightarrow bc}(z)). \end{aligned}$$