

Physics of Massive Neutrinos

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(IHEP, Beijing)

- A:** Neutrino history and lepton family
- B:** Neutrino masses and flavor mixing
- C:** Description of neutrino oscillations
- D:** Implication of neutrino oscillations
- E:** θ_{13} hint and sterile neutrino puzzle
- F:** On TeV neutrino physics at the LHC
- G:** Extreme corners in the neutrino sky
- H:** Cosmic baryon number asymmetry

@Lectures at CP3, UCL, Louvain-la-Neuve, Belgium, Jan 2012

Lecture E

- ★ Why θ_{13} is very important?
- ★ Reactor + accelerator experiments
- ★ Light sterile neutrino puzzle

Significance of θ_{13}

3

Why is the measurement of θ_{13} extremely important?

- ★ θ_{13} is a fundamental parameter of lepton flavor physics
- ★ θ_{13} controls the fine effects of leptonic CP violation
- ★ θ_{13} is a very sensitive model / theory discriminator
- ★ θ_{13} can set a bound of accuracy for new physics search

**The future neutrino experiments rely, more or less, on θ_{13} .
If it were too small, there would be no way to see CPV.**

**Possible impact of θ_{13} on astrophysics and cosmology:
Cosmic ν 's, Matter-Antimatter asymmetry, Supernovae.....**

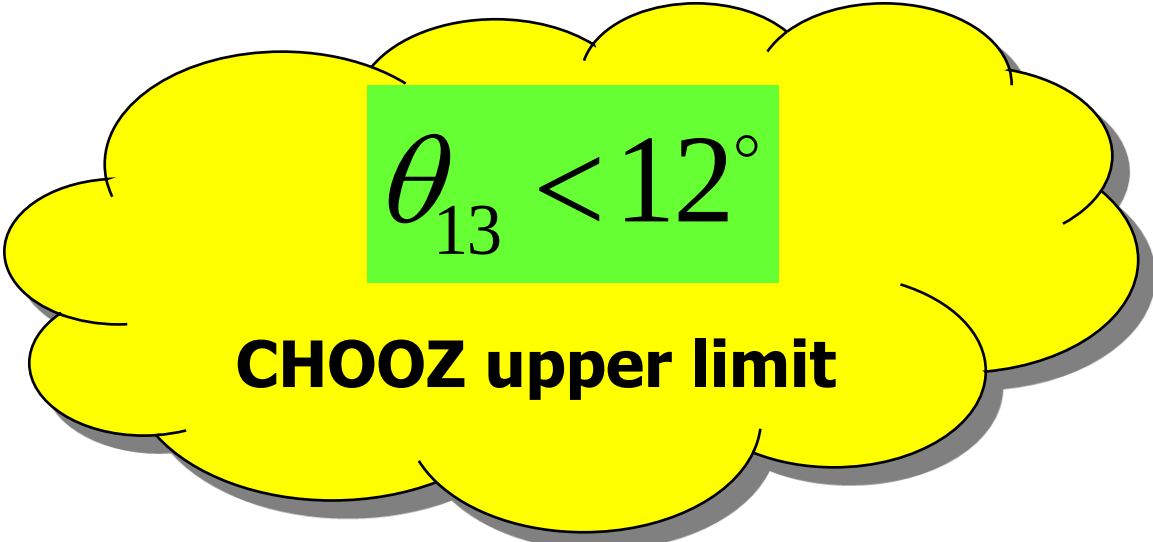
So we must try to get it!

So What if $\theta_{13} = 0$?

4

In principle, $\theta_{13} = 0$ is **almost** impossible!

In principle, $\theta_{13} = 0$ is **more** interesting!


$$\theta_{13} < 12^\circ$$

CHOOZ upper limit

Note that $\theta_{13} = 0$ would hold, if there were mass degeneracy of charged leptons or neutrinos (with Majorana phases ψ_i):

☆ $m_1 = m_3$ with $\psi_1 = \psi_3$ or $m_2 = m_3$ with $\psi_2 = \psi_3$

☆ $m_e = m_\mu$ or $m_e = m_\tau$

But all these conceptually interesting limits are unrealistic

Is there any good (theoretical) reason for $\theta_{13} = 0$? Nooooo!

Consequence of $\theta_{13} = 0$

5

- ★ Dirac CP-violating phase (δ) would not be well defined
- ★ Leptonic unitarity triangles would then collapse to lines
- ★ There would be no matter effects on m_3 and θ_{23} for the constant matter profile

Together with $\theta_{23} = \pi/4$, $\theta_{13} = 0$ can have an interesting impact on Ultrahigh-energy cosmic neutrino fluxes (from distant astro-physical sources) to be detected at neutrino telescopes.



The situation will change if $\theta_{13} \neq 0$ (see Lecture G)

Comments

6

- ★ Theorists love very special numbers, such as 0, $\frac{1}{2}$, 1, π .
- ★ Experimentalists should have no bias --- what they can have are error bars; i.e., how close a quantity is to 0, 1, ...

Therefore, θ_{13} must be measured no matter how small it is

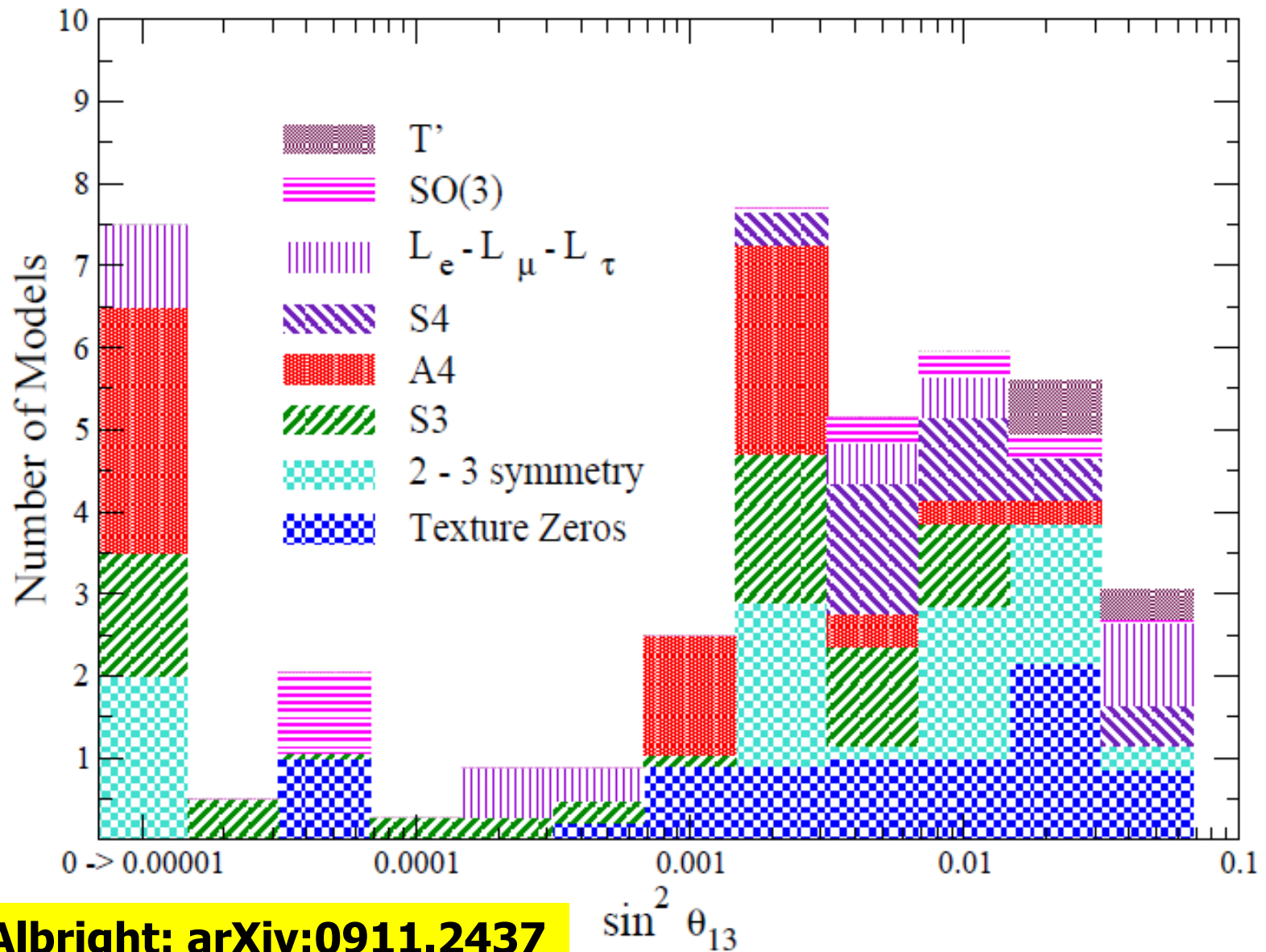
Various predictions for θ_{13} from phenomenological models

- ★ θ_{13} is given in terms of **mass ratios** of leptons or quarks
- ★ θ_{13} is given by other known **ν —oscillation** parameters
- ★ Something from “nothing”: **a quantum correction to θ_{13}**

What have theorists thus far done to understand θ_{13} ?

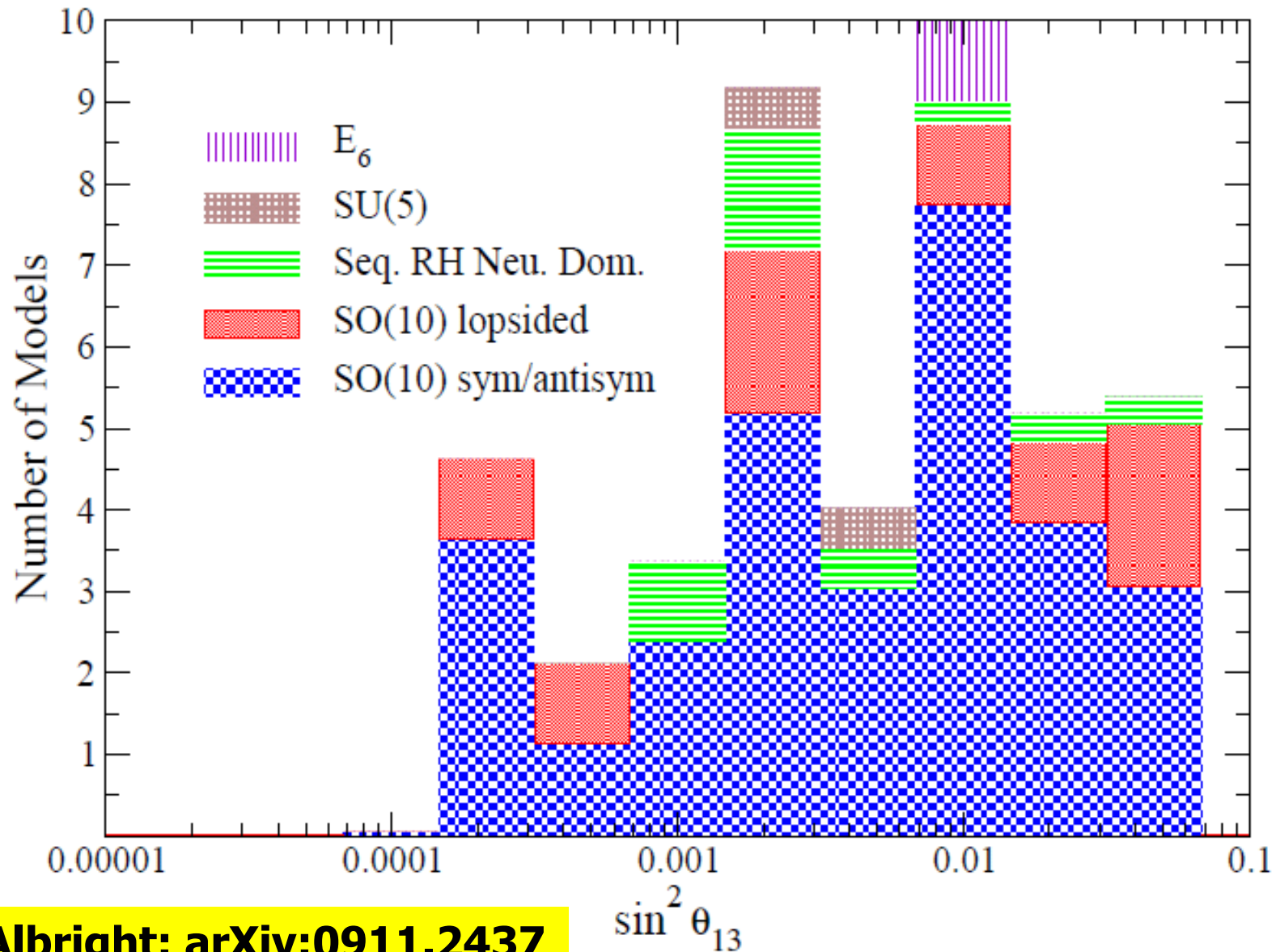
Statistics (non-GUT)

7



Statistics (GUT)

8



Accelerator Experiments 9

Appearance Experiments and Matter effects: for neutrino energies in the **GeV** range / baselines longer than **100 km**, terrestrial matter effects are not negligible.

$$P_{\mu e} \approx \sin^2 2\theta_{13} s_{23}^2 \frac{\sin^2(A-1)\Delta}{(A-1)^2} + \alpha \sin 2\theta_{13} \sin 2\theta_{12} \sin 2\theta_{23} \cos(\Delta + \delta) \\ \times \frac{\sin A\Delta}{A} \frac{\sin(A-1)\Delta}{A-1} + \alpha^2 \sin^2 2\theta_{12} c_{23}^2 \frac{\sin^2 A\Delta}{A^2}.$$

$$\Delta \equiv \frac{\Delta m_{31}^2 L}{4E}, \quad A \equiv \frac{2EV}{\Delta m_{31}^2}, \quad \alpha \equiv \frac{\Delta m_{21}^2}{\Delta m_{31}^2}. \quad \boxed{V = \sqrt{2}G_F N_e}$$

Parameter correlation: it depends on all the **six** oscillation parameters. Terrestrial matter effects can help determine the neutrino mass hierarchy.

T2K Layout

10

T2K (Tokai to Kamioka) Experiment



First experiment purposely built for θ_{13} measurement

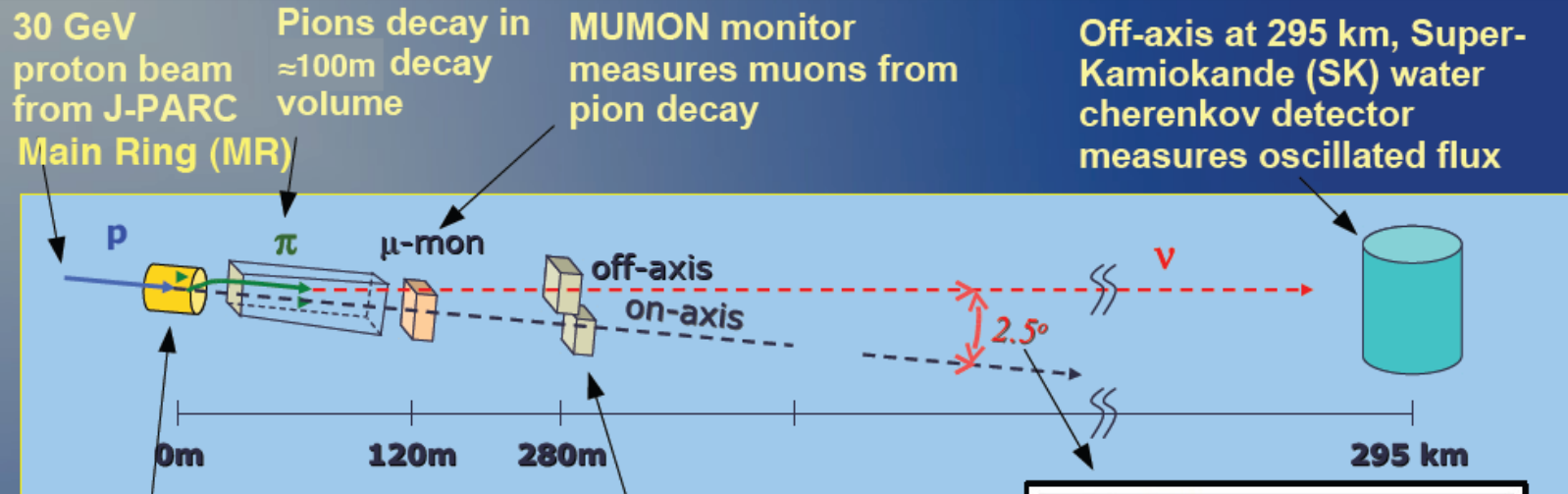


- *High intensity ν_μ beam from J-PARC to Super-Kamiokande @ 295km*
- *Discovery of ν_e appearance $\implies$ determine θ_{13}*
- *Precise meas. of ν_μ disappearance $\implies \theta_{23}, \Delta m^2_{23}$*

T2K Parameters

11

T2K Overview



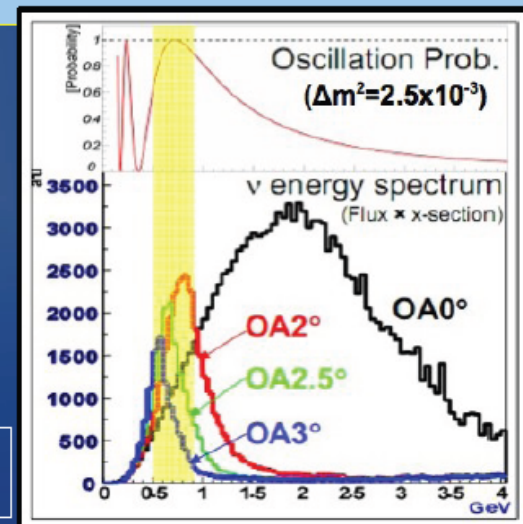
Beam on 90 cm graphite target

3 magnetic horns focus positively charged hadrons

At 280 m, on-axis INGRID detector measures neutrino rate, beam profile

Off-axis ND280 detector measures spectra for various neutrino interactions

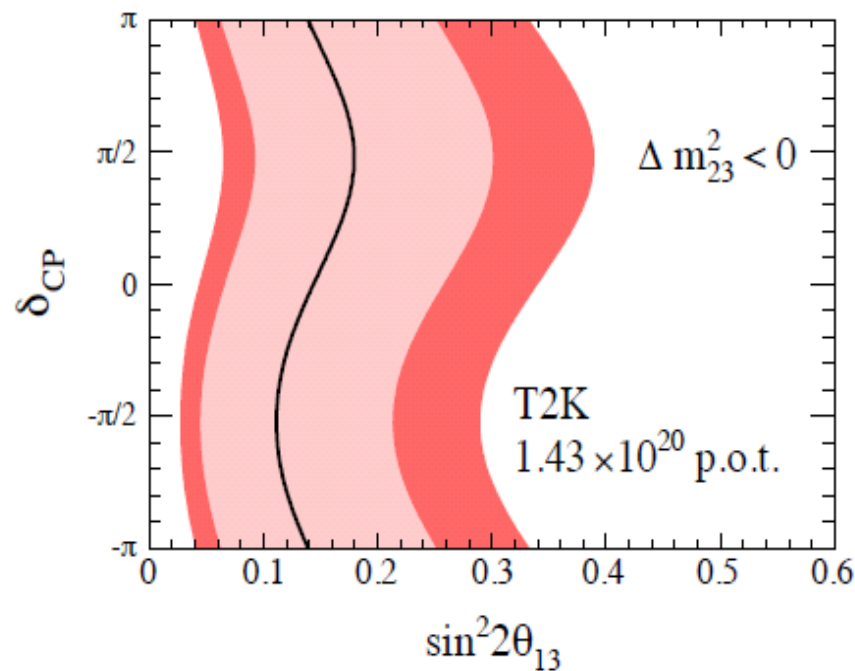
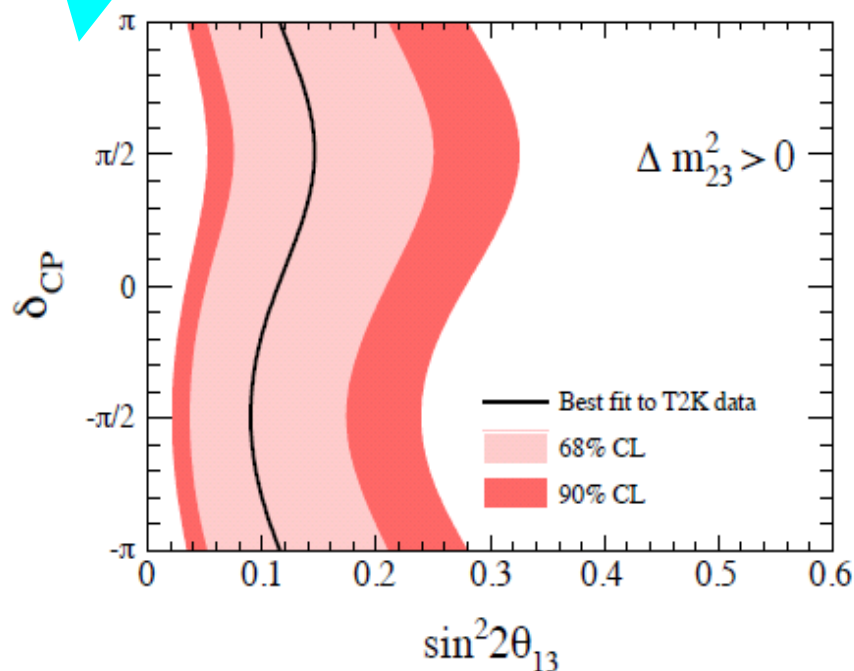
Beam peaked at 1^{st} max $E \approx 600\text{ MeV}$



NEW
06/2011

Allowed region of $\sin^2 2\theta_{13}$ as a function of δ_{CP}

(assuming $\Delta m_{23}^2 = 2.4 \times 10^{-3} \text{ eV}^2$, $\sin^2 2\theta_{23} = 1$)



90% C.L. interval & Best fit point (assuming $\Delta m_{23}^2 = 2.4 \times 10^{-3} \text{ eV}^2$, $\sin^2 2\theta_{23} = 1$, $\delta_{CP} = 0$)

$$0.03 < \sin^2 2\theta_{13} < 0.28$$

$$\sin^2 2\theta_{13} = 0.11$$

$$0.04 < \sin^2 2\theta_{13} < 0.34$$

$$\sin^2 2\theta_{13} = 0.14$$

NOvA Experiment

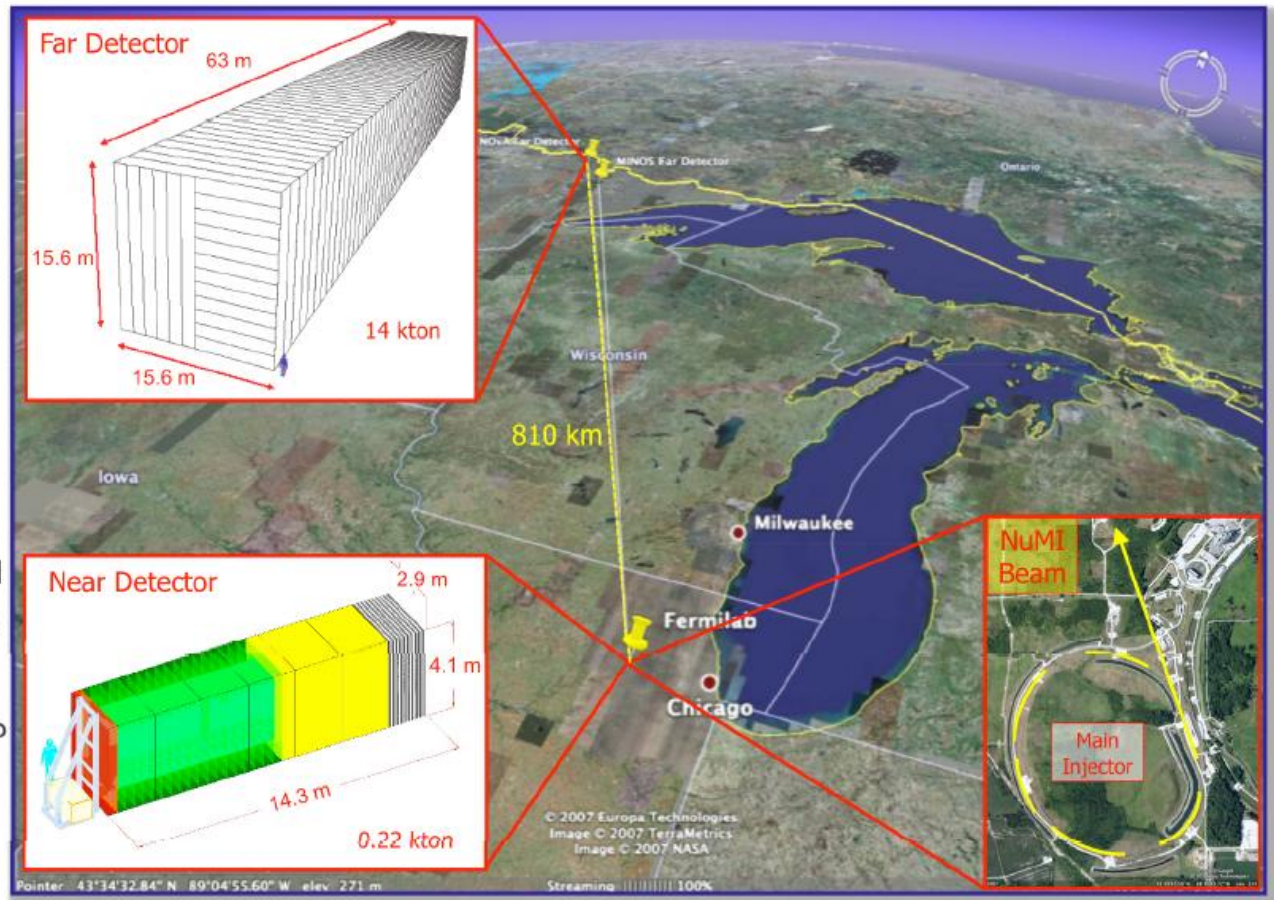
13

NOvA Overview



NuMI Off-Axis ν_e Appearance Experiment

- 810 km baseline from Fermilab to Ash River, in northern MN
- 700 kW NuMI neutrino beam
- Near and Far detectors placed 14 mrad off the NuMI beam axis
- Search for $\nu_\mu \rightarrow \nu_e$ and $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$ oscillations to:
 - Measure θ_{13}
 - Determine the neutrino mass hierarchy
 - Constrain δ_{CP}



NOvA Roadmap

14

NOvA Schedule



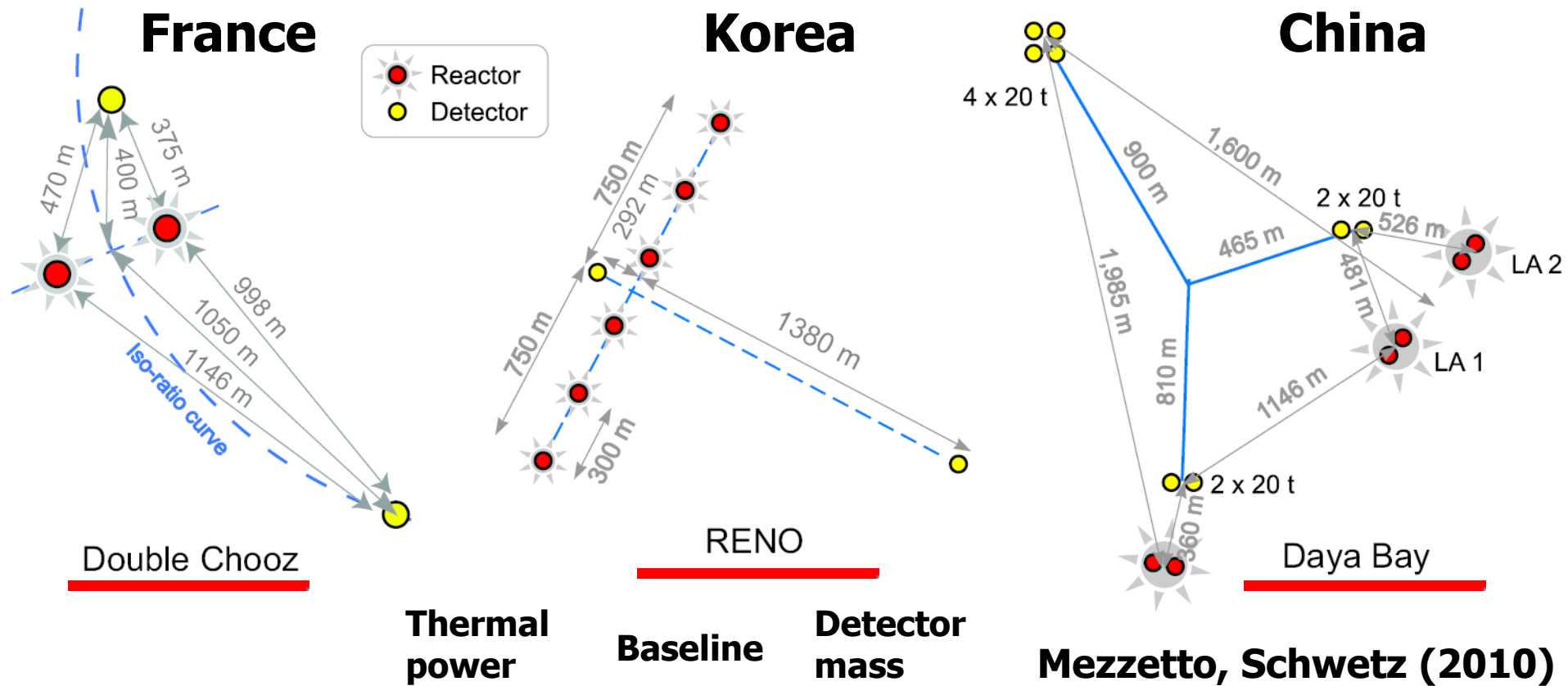
NDOS first beam neutrino	December 2010
NDOS fully commissioned	June 2011
First block of Far Detector installed	December 2011
Start of accelerator shutdown	March 2012
5 kt of Far Detector completed	October 2012
End of accelerator shutdown	December 2012
Start Near Detector operations underground	March 2013
Far Detector completed	October 2013

- All additives and 35% of total fiber on hand. All mineral oil, PVC, extrusion production, and remaining fiber purchased or contracted.
- NOvA's schedule is technically driven

Too late to be hopeful?

Reactor Experiments

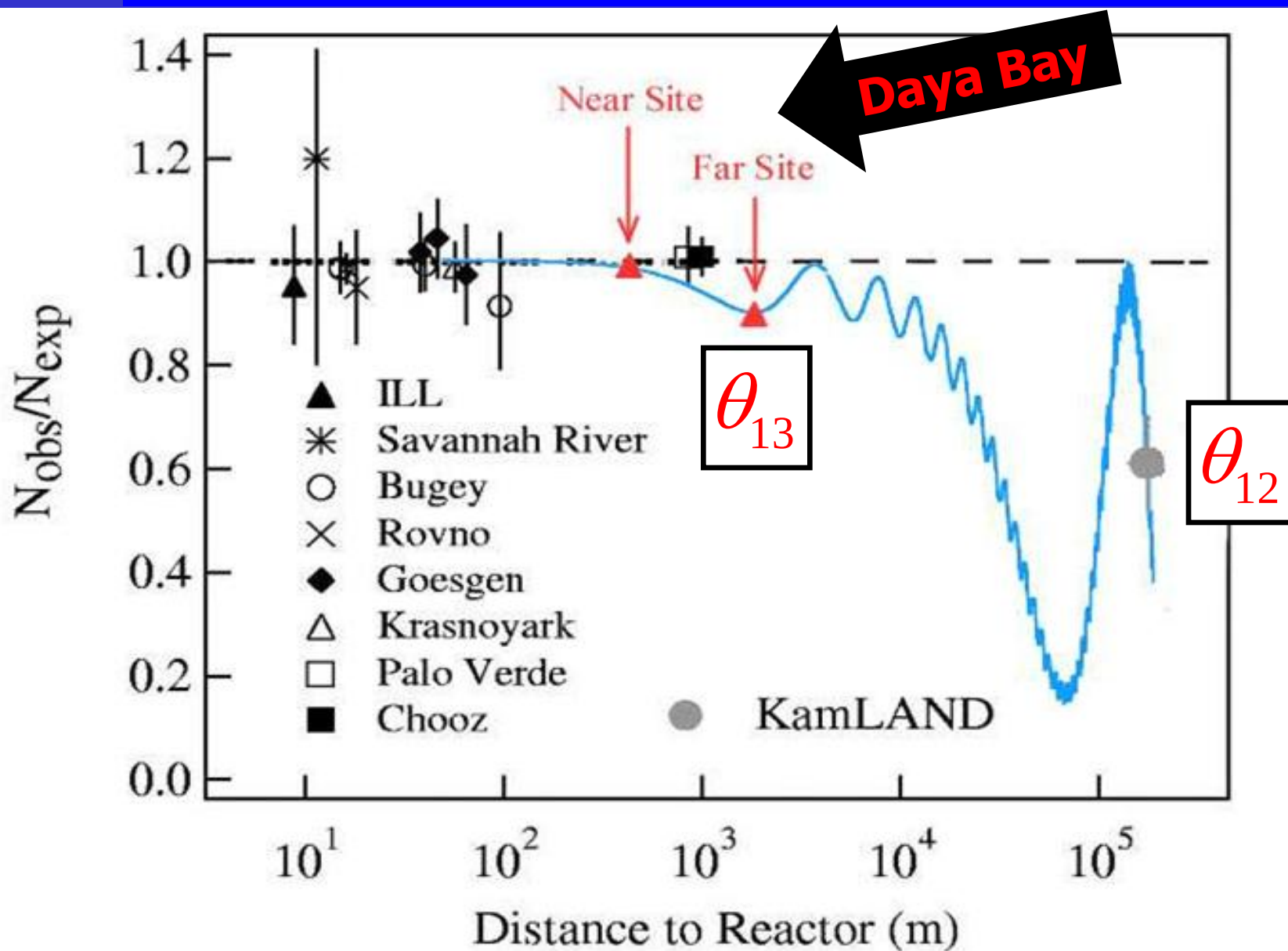
15



Setup	Thermal power P_{Th} (GW)	Baseline L (m)	Detector mass m_{Det} (t)	Events/year	Backgrounds/day
Daya Bay [20]	17.4	1700	80	10×10^4	0.4
Double CHOOZ [21]	8.6	1050	8.3	1.5×10^4	3.6
RENO [22]	16.4	1400	15.4	3×10^4	2.6

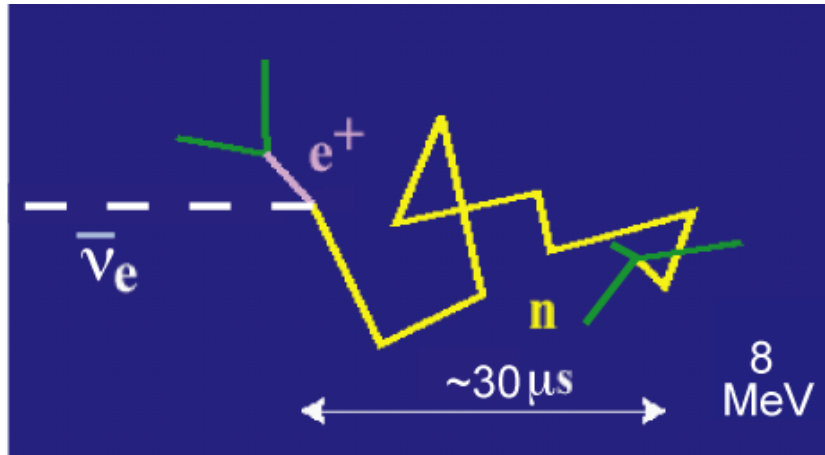
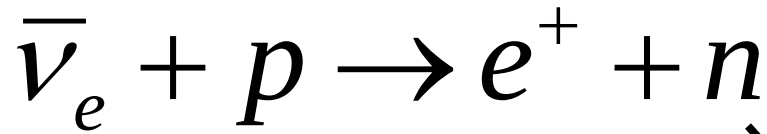
Signal

$$P(\bar{\nu}_e \rightarrow \bar{\nu}_e) \approx 1 - \sin^2 2\theta_{13} \cdot \sin^2 \left(1.27 \frac{\Delta m_{\text{atm}}^2 L}{E} \right) \quad (\text{Reactor})$$



Neutrino Detection

17



Inverse- β reaction
in liquid scintillator

$$\tau \approx 180 \text{ or } 28 \mu\text{s} (0.1\% \text{ Gd})$$



**Neutrino Event: coincidence in
time, space and energy**

Backgrounds:

uncorrelated signals from
cosmic rays and natural
radioactivity;

correlated signals from
neutrons generated by
cosmic muons.

Neutrino energy:

$$E_{\bar{\nu}} \cong T_{e^+} + T_n + (M_n - M_p) + m_{e^+}$$

10-40 keV 1.8 MeV: Threshold

Double Chooz

18

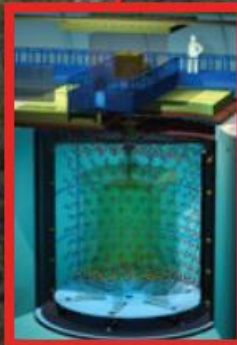
Our experimental setup...

Anatael Cabrera



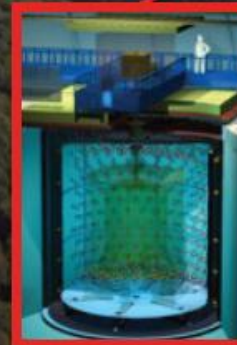
Chooz Reactors

Power: 8.5GW_{th}
(N4s: very powerful)



Near

<L> 400m
400v/day
120mwe
Target: 8.2t
End of 2012



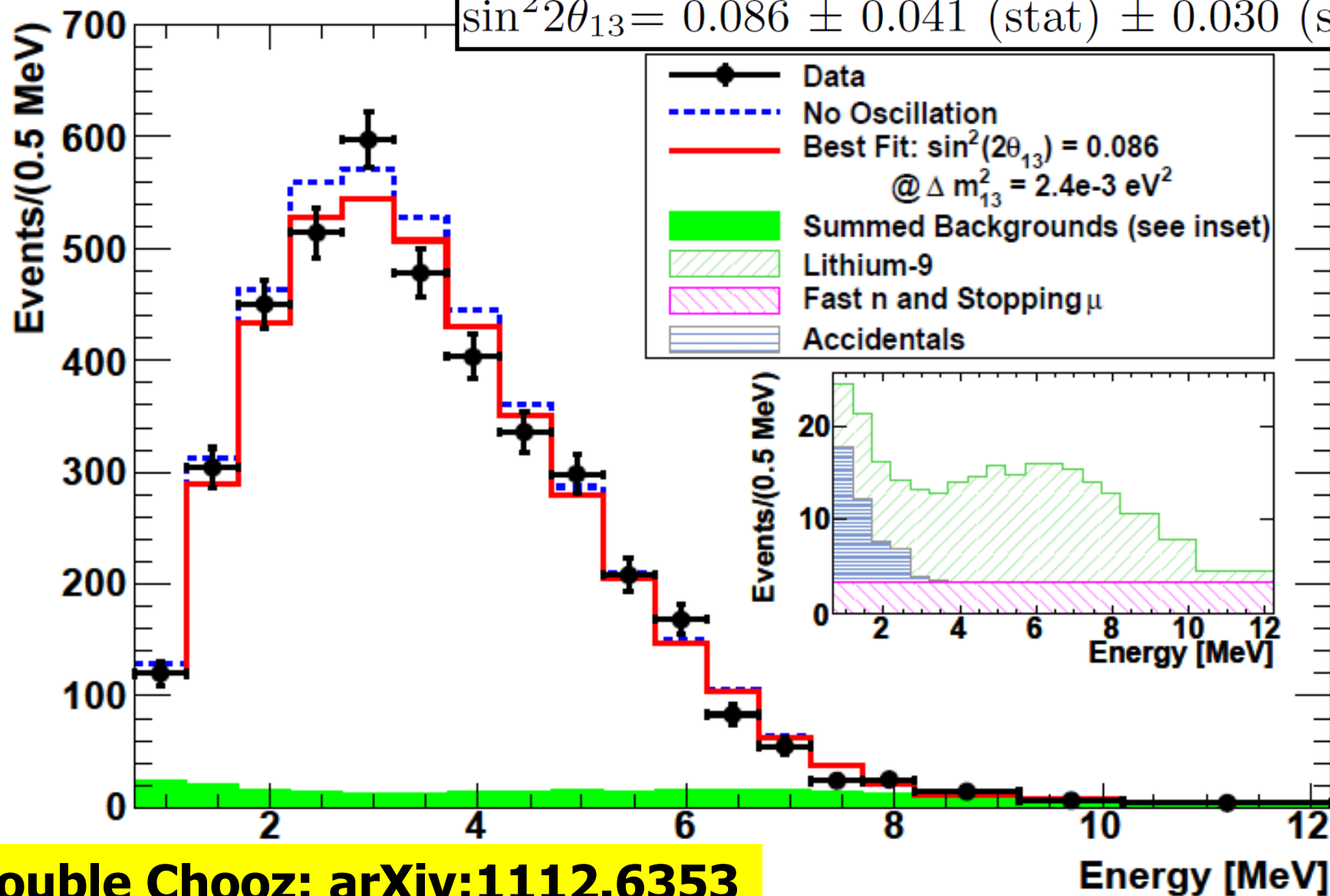
Far

<L> 1050m
50v/day
300mwe
Target: 8,2t
March 2011

Fast Result

19

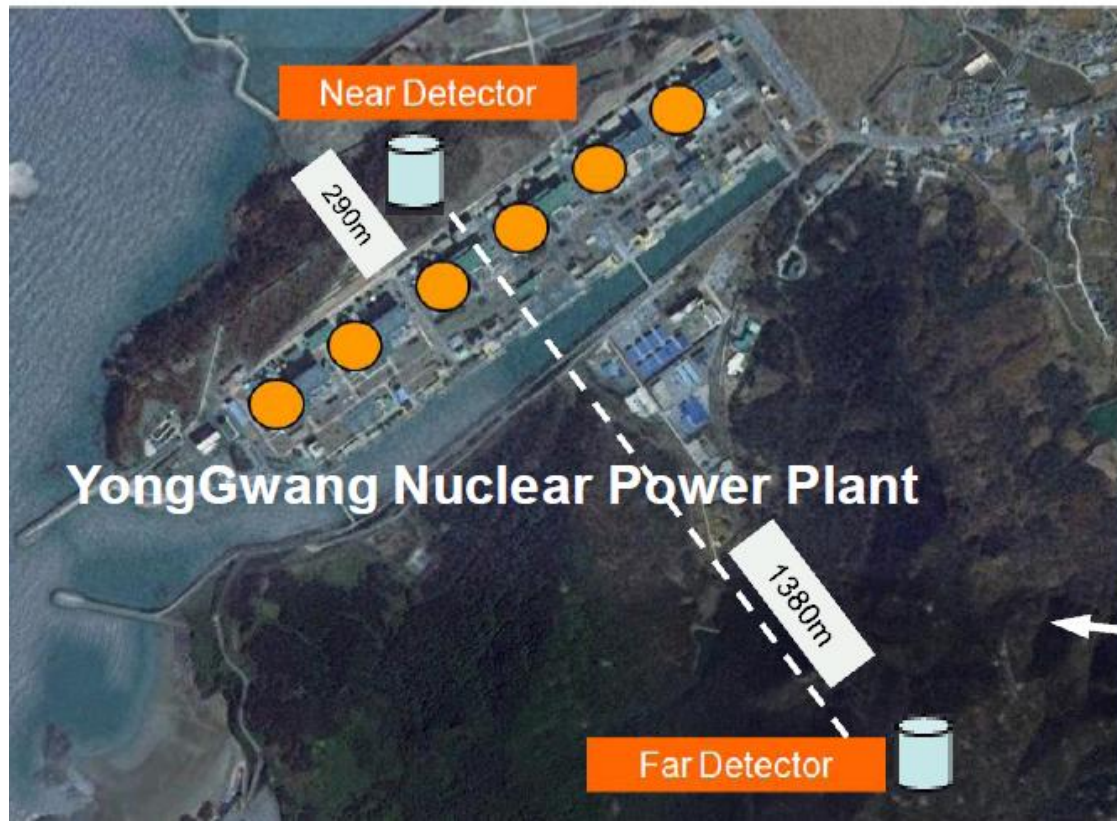
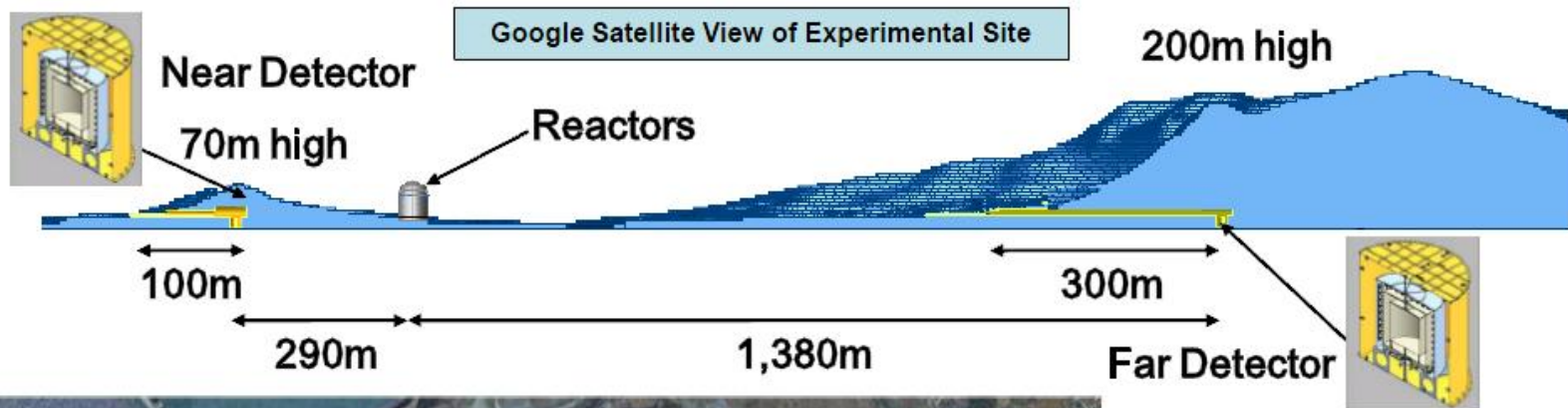
$$\sin^2 2\theta_{13} = 0.086 \pm 0.041 \text{ (stat)} \pm 0.030 \text{ (syst)}$$



Double Chooz: arXiv:1112.6353

RENO Experiment

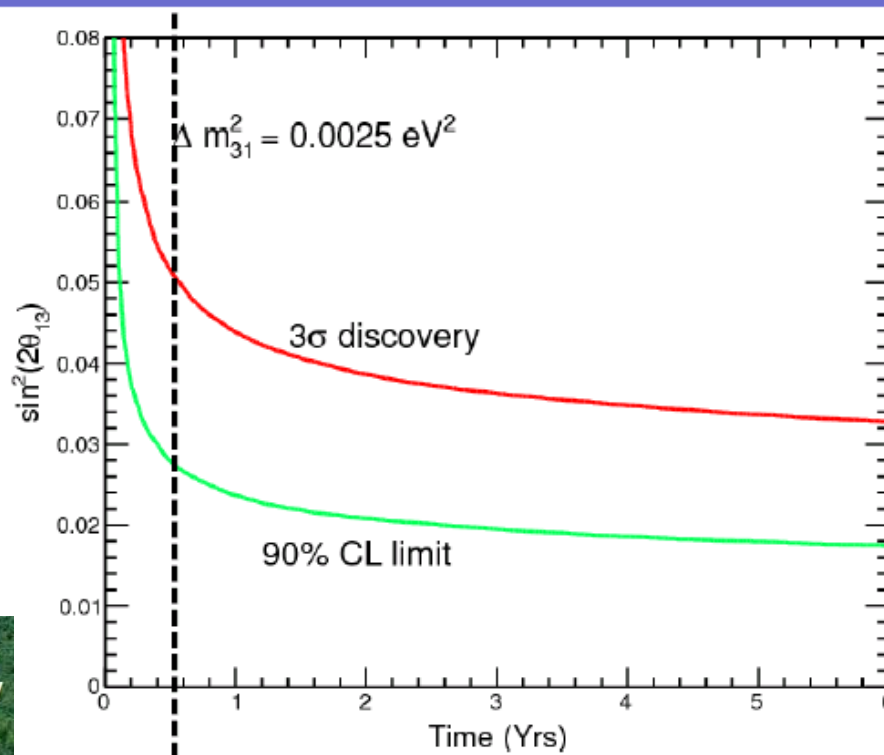
20



Expectation

21

- First results on $\sin^2(2\theta_{13}) \sim 0.05$ are expected to be available within a half year
 - Goal: ~ 0.07 (end of this yr)
 - ~ 0.05 (March, 2012)



**Data taking
August 2011**

Data analysis

K.K. Joo
Chonnam National University
November 09, 2011

- RENO group hope to tell the value of $\sin^2(2\theta_{13})$ at the anticipated time
 - Goal: Neutrino 2012 @ Kyoto (June, 2012)

Daya Bay Experiment

22



- ★ **Totally six reactor cores in 2011 ($17.4 \text{ GW}_{\text{th}}$).**
- ★ **Mountains near by, easy to construct an underground laboratory to suppress cosmogenic backgrounds.**
- ★ **Supported strongly by the NPP and local government.**

4 x20 tons target
mass at far site



Far site

1615 m from Ling Ao
1985 m from Daya Bay
Overburden: **350 m**



900 m

Ling Ao Near site
~500 m from Ling Ao
Overburden: **112 m**

Mid site

873 m from Ling Ao
1156 m from Daya Bay
Overburden: **208 m**

465 m



**Construction
tunnel**

Ling Ao-II NPP
(under construction)
2 × 2.9 GW in 2010

810 m

Filling hall

Entrance

295 m



Ling Ao NPP
2 × 2.9 GW

Daya Bay Near site
363 m from Daya Bay
Overburden: **98 m**

Daya Bay NPP
2 × 2.9 GW

The Collaboration

24

Political Map of the World, June 1999

North America (16)

BNL, Caltech, LBNL, Iowa state,
Illinois Inst. Tech., Princeton, RPI,
Siena Coll. UC-Berkeley, UCLA, U-
Cincinnati, U-Houston, U-Wisconsin,
Virginia Tech., U-Illinois-Urbana-
Champaign, W&M

Europe (3)

JINR, Dubna, Russia
Kurchatov Institute, Russia
Charles University, Czech Republic

Asia (19)

IHEP, Beijing Normal Univ., Chengdu UST,
CGNPG, CIAE, Dongguan Univ. of Tech.,
Nanjing Univ., Nankai Univ., Shenzhen
Univ., Shandong Univ., Shanghai Jiaotong
Univ., Tsinghua Univ., USTC, Zhongshan
Univ., Hong Kong Univ., Chinese Hong
Kong Univ., Taiwan Univ., Chiao Tung
Univ., National United Univ.

~ 250 collaborators

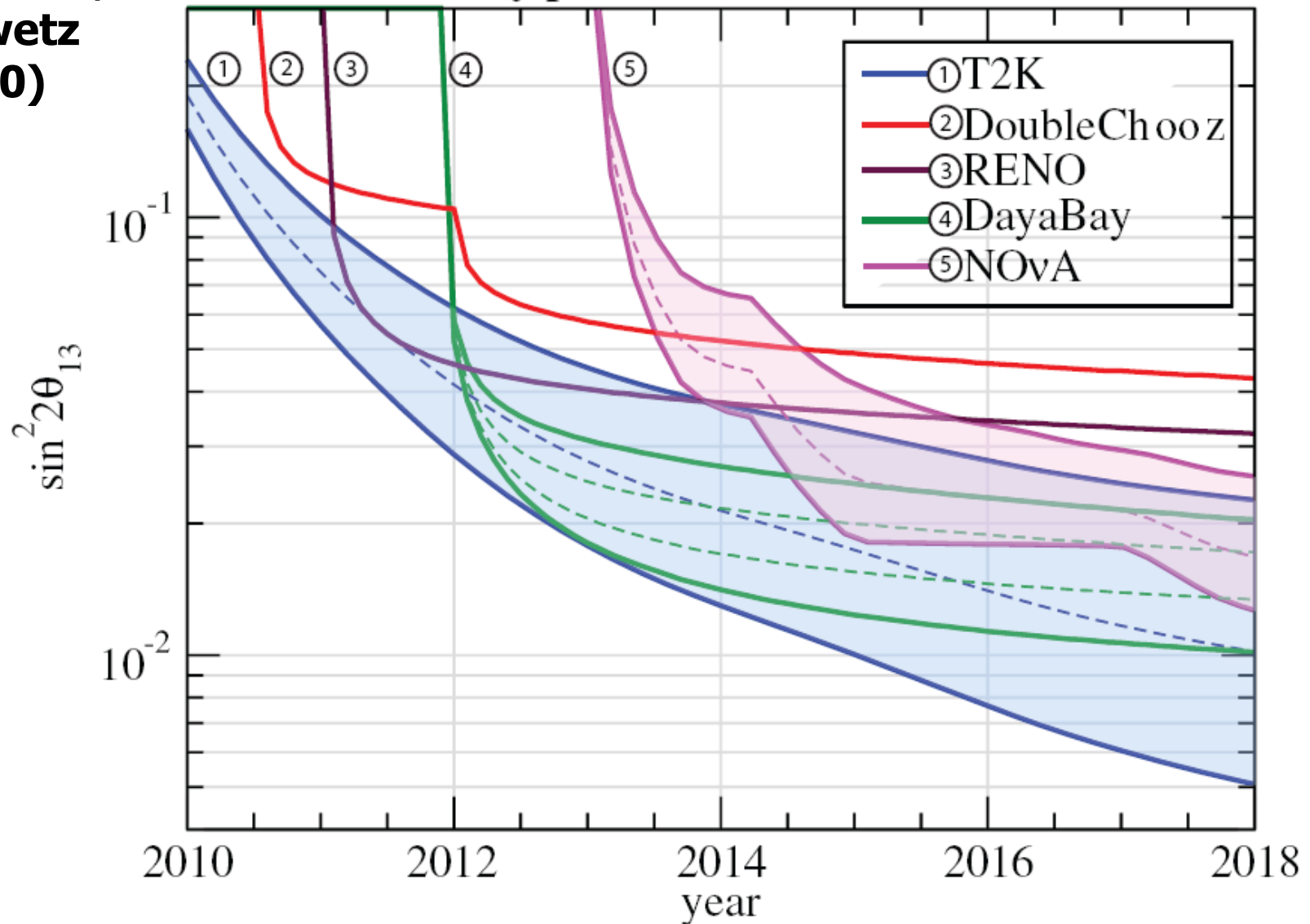
Result: summer 2012

Comparison (1)

25

Mezzetto,
Schwetz
(2010)

Discovery potential at 3σ for NH 正质量等级

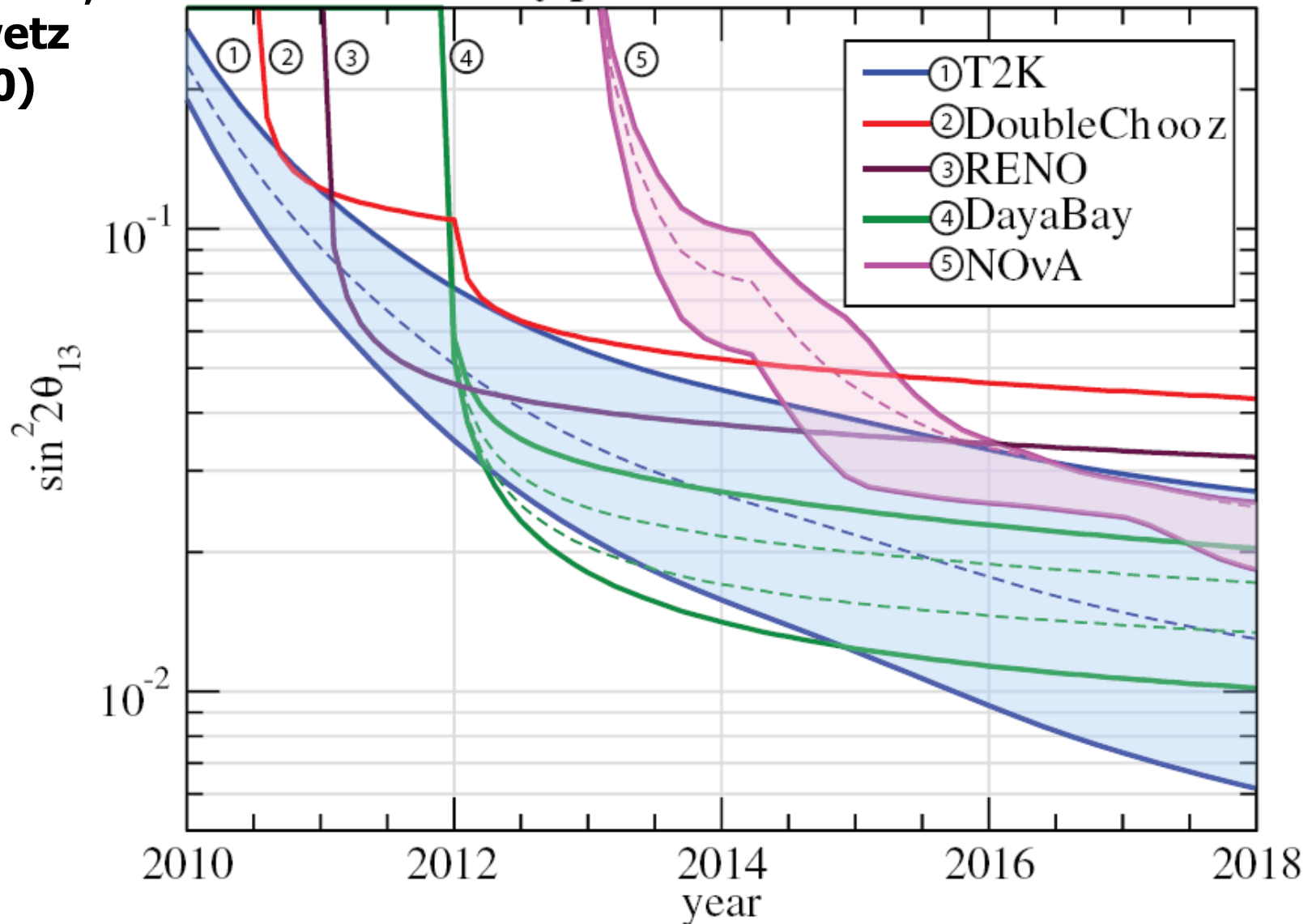


Comparison (2)

26

Mezzetto,
Schwetz
(2010)

Discovery potential at 3σ for IH 倒质量等级



Real + Hypothetical ν 's

sub-eV

active
neutrinos

sub-eV

sterile
neutrinos

keV

sterile
neutrinos

TeV

Majorana
neutrinos

$\geq$ EeV

Majorana
neutrinos

LSND + MiniBooNE + reactor
anomalies CMB + BBN hints

LHC
motivated

standard
weak
interaction

oscillation

cosmic
messenger

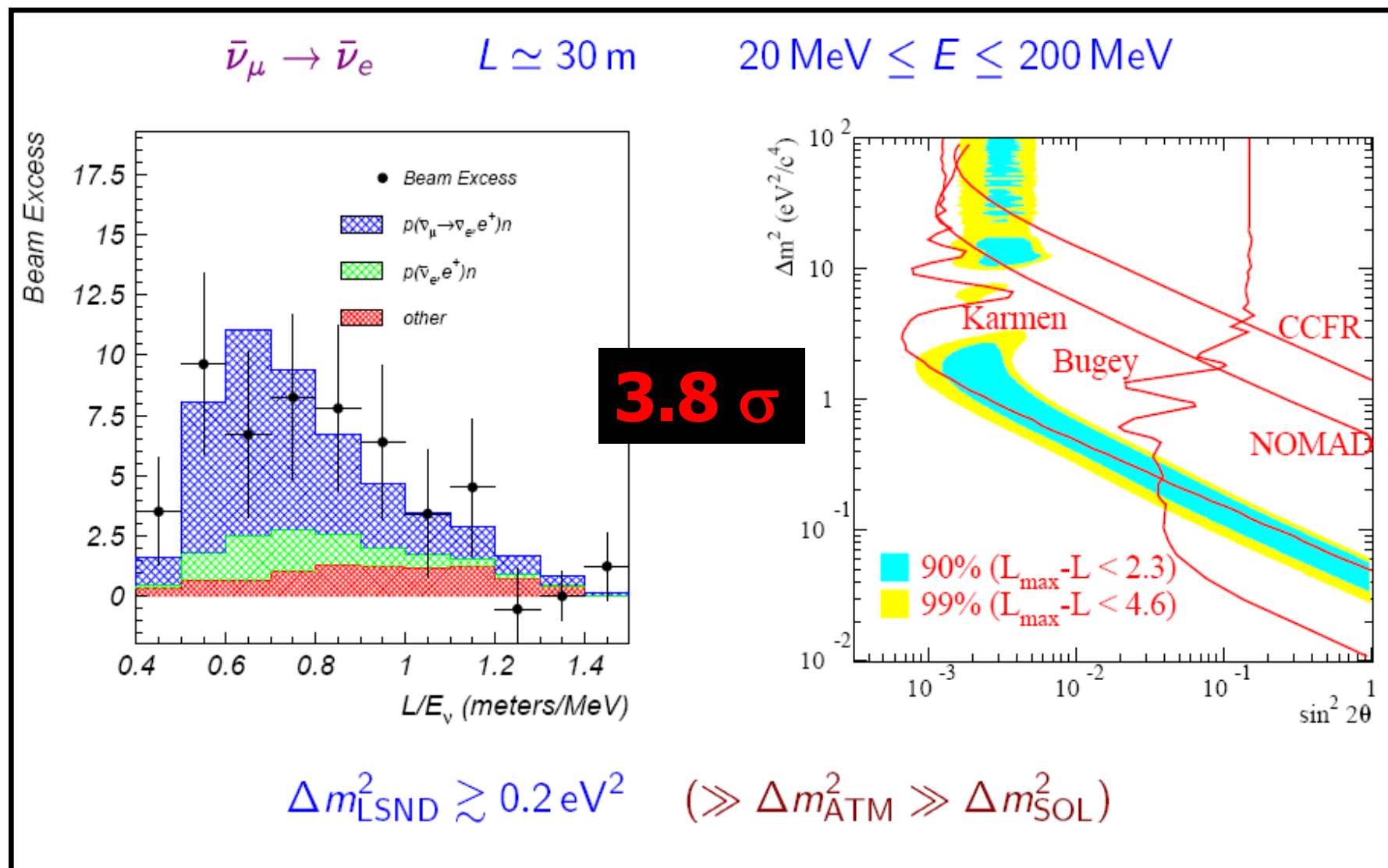
warm
dark
matter

classical seesaws + GUTs

LSND Anomaly?

28

[LSND, PRL 75 (1995) 2650; PRC 54 (1996) 2685; PRL 77 (1996) 3082; PRD 64 (2001) 112007]



MiniBooNE Anomaly?

29

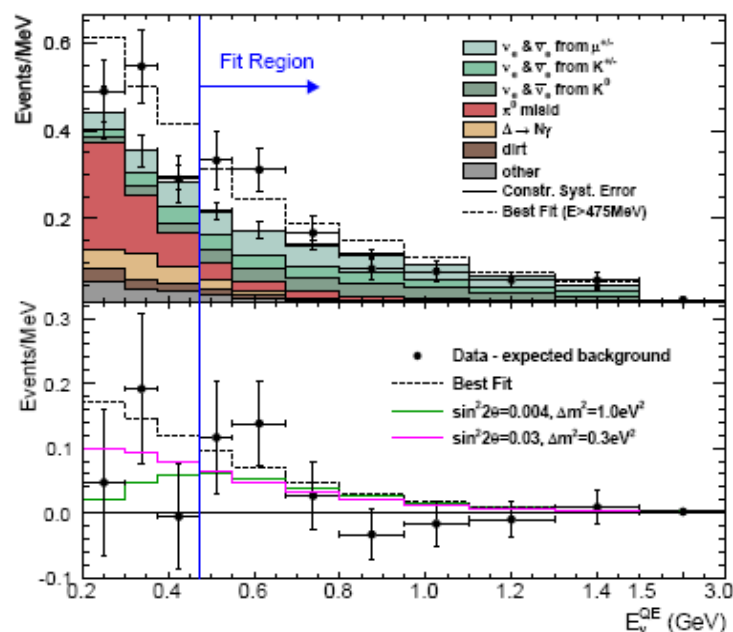
[PRL 103 (2009) 111801; PRL 105 (2010) 181801]

1.9 σ

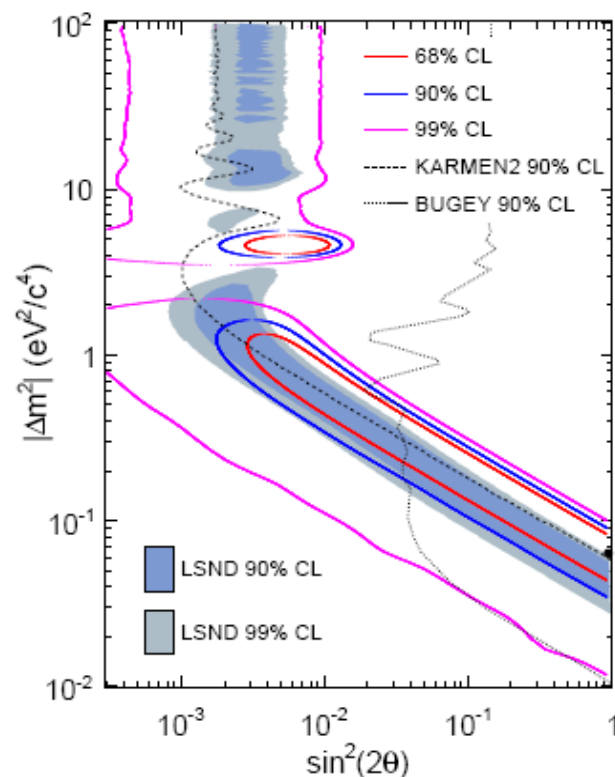
$$\bar{\nu}_\mu \rightarrow \bar{\nu}_e$$

$$L \simeq 541 \text{ m}$$

$$475 \text{ MeV} \leq E \lesssim 3 \text{ GeV}$$



[MiniBooNE, PRL 105 (2010) 181801, arXiv:1007.1150]



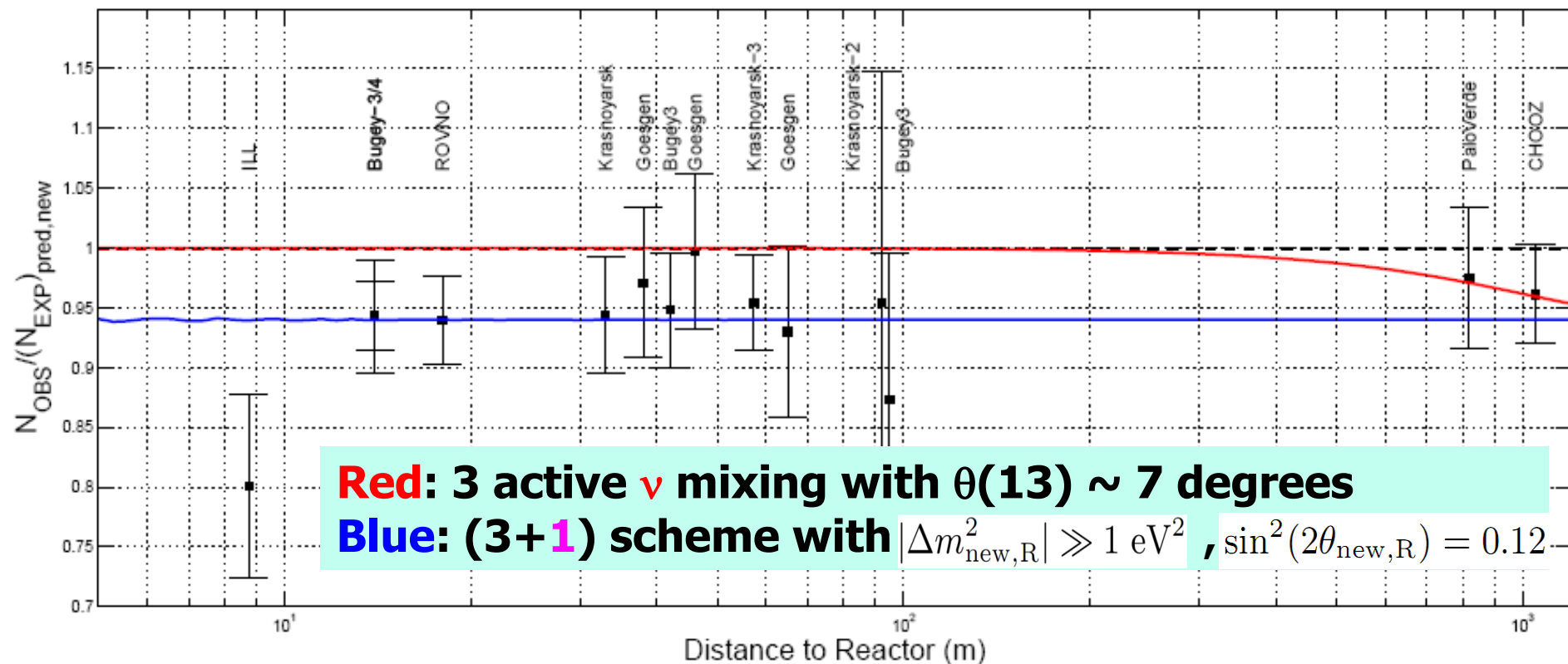
Agreement with LSND $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$ signal!

Similar L/E but different L and $E \Rightarrow$ Oscillations!

Reactor anti- ν Anomaly? 30

A new calculation of the reactor antineutrino flux.
T. Mueller et al, PRC 83, 054615 (2011); G. Mention et al, PRD 83, 073006 (2011).

The detected flux normalization is **increased by about **3%**.**

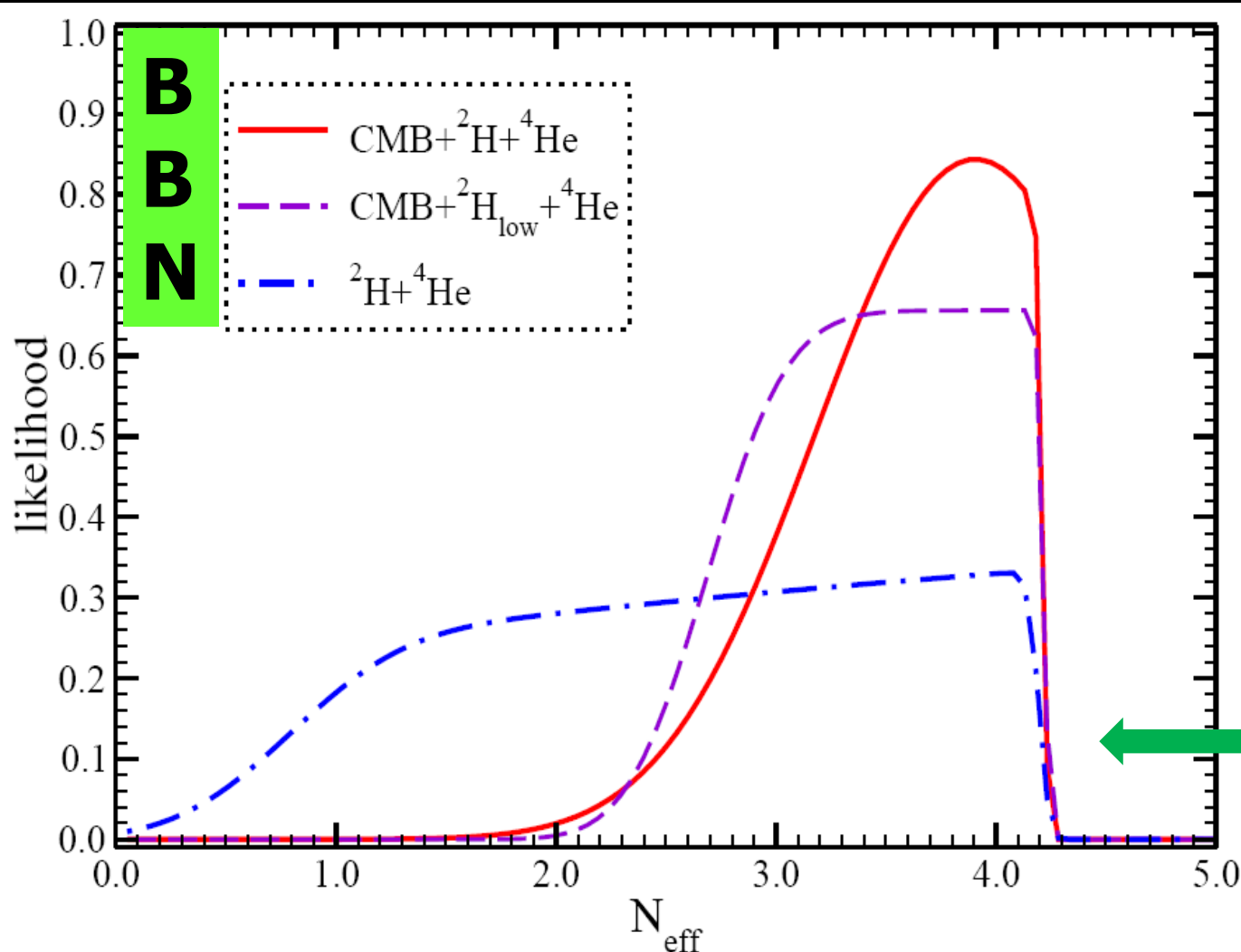


Deviation from unity at 98.4% C.L.: the **reactor antineutrino anomaly.**

Sub-eV Sterile ν 's?

BBN: current data only allow **one** sub-eV **sterile** neutrino;

CMB: current data can allow **two** sub-eV **sterile** neutrinos.



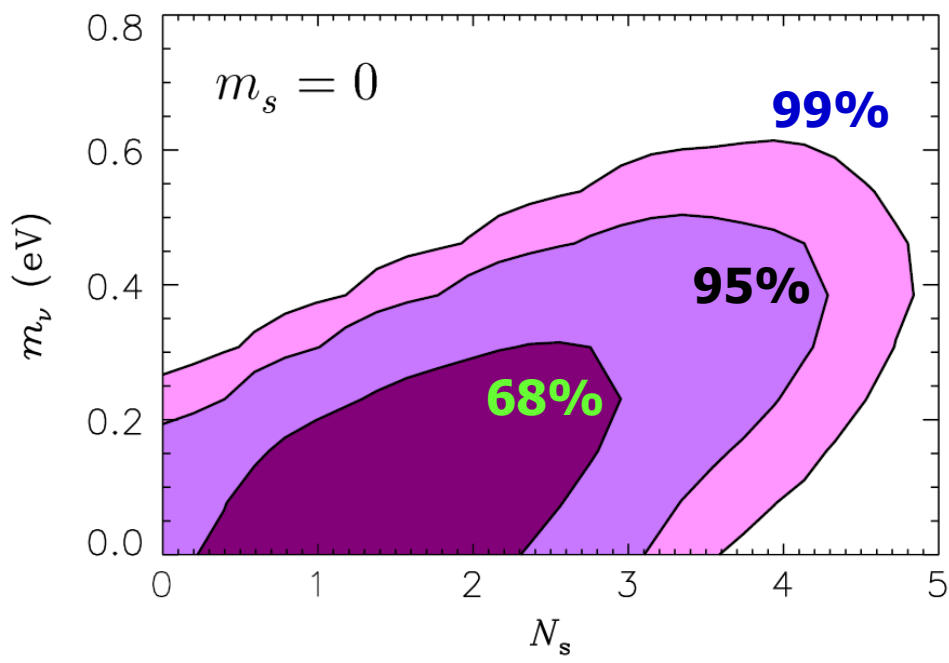
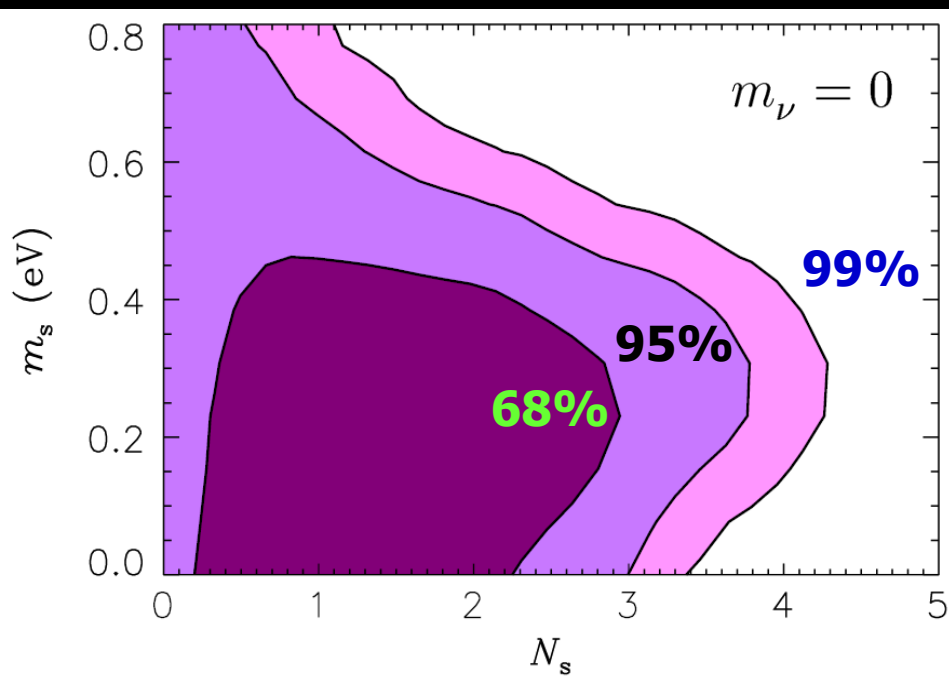
**G. Mangano,
P. Serpico,
arXiv:1103.1261**

$$N_{\text{eff}} < 4.2$$

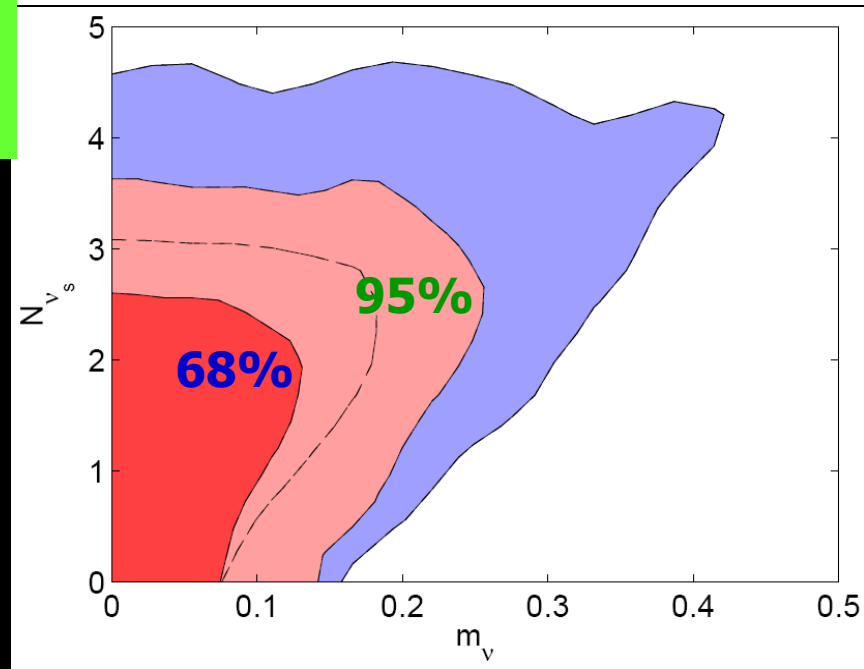
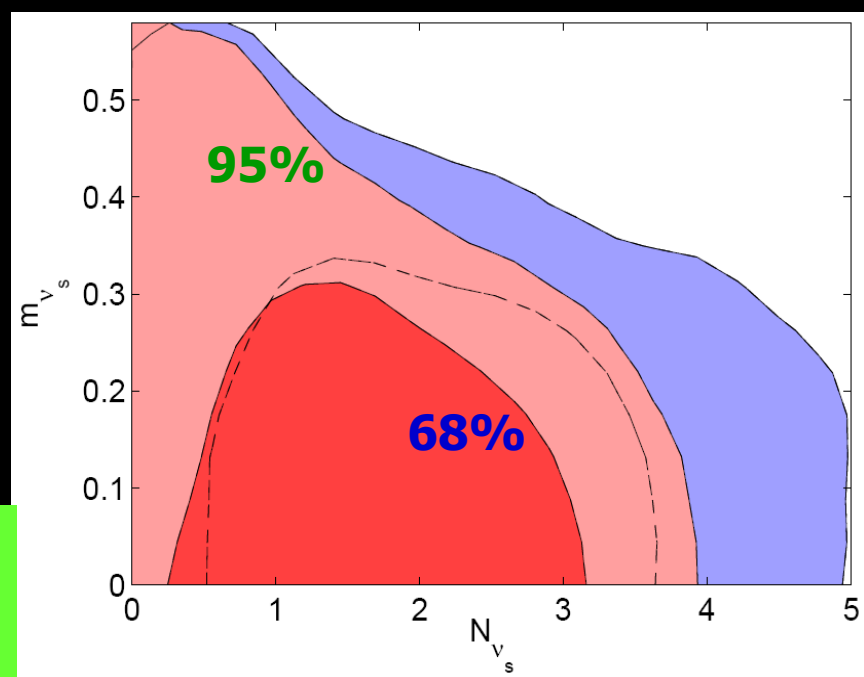
(95% C.L.)

The sharp cut-off
is due to a **He-4**
abundance upper
bound (<0.2631)

$$N_{\text{eff}}^{\text{SM}} = 3.046$$



**C
M
B**



(**3**+**3**) Flavor Mixing

33

**active
flavor**

ν_e

ν_μ

ν_τ

**sterile
flavor**

ν_x

ν_y

ν_z

$= \mathcal{U}$

ν_1

ν_2

ν_3

ν_4

ν_5

ν_6

**mass
state**

A Full Parametrization

34

$$\mathcal{U} = \underbrace{\begin{pmatrix} 1 & 0 \\ 0 & U_0 \end{pmatrix}}_{\text{sterile part}} \underbrace{\begin{pmatrix} A & R \\ S & B \end{pmatrix}}_{\text{interplay}} \underbrace{\begin{pmatrix} V_0 & 0 \\ 0 & 1 \end{pmatrix}}_{\text{active part}}$$

$$\begin{pmatrix} V_0 & 0 \\ 0 & 1 \end{pmatrix} = O_{23} O_{13} O_{12} ,$$

Full parametrization:

15 rotation angles

$$\begin{pmatrix} 1 & 0 \\ 0 & U_0 \end{pmatrix} = O_{56} O_{46} O_{45} ,$$

15 phase phases

Xing, arXiv:1110.0083

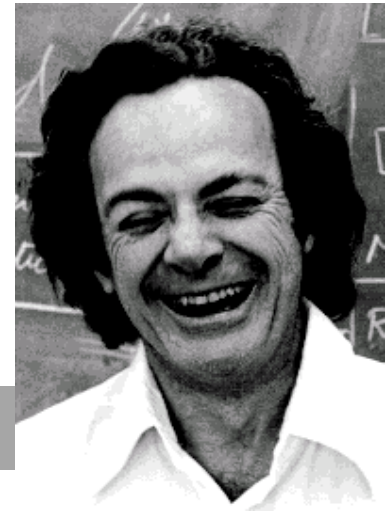
$$\begin{pmatrix} A & R \\ S & B \end{pmatrix} = O_{36} O_{26} O_{16} O_{35} O_{25} O_{15} O_{34} O_{24} O_{14}$$

Why a Particular Basis?

36

Theories of the known, which are described by different physical ideas, may be equivalent in all their predictions and are hence scientifically indistinguishable. However, they are **not psychologically** identical when trying to move from that base into the unknown. For different views suggest different kinds of modifications which might be made. I, therefore, think that a good theoretical physicist today might find it useful to have a wide range of physical viewpoints and mathematical expressions of the same theory available to him.

(**R.P. Feynman**, "The Development of the Space Time View of Quantum Electrodynamics", **Nobel lecture**, reprinted in Physics Today, August 1966)



A useful language: Diagrams, Parametrizations,

Questions

37

- 1) Do you feel **happy** / **painful** / **sorry** to introduce sterile neutrinos into the SM (remember Weinberg's theorem)?
- 2) How many species of sterile neutrinos should be taken into account for your this or that purpose? **1?** **2?** **3?**?
- 3) If all the current experimental and observational hints disappear, will the **sterile neutrino physics** still survive?
- 4) Do you like to throw many stones to only kill few birds or just the opposite? **And is this a very stupid question?**

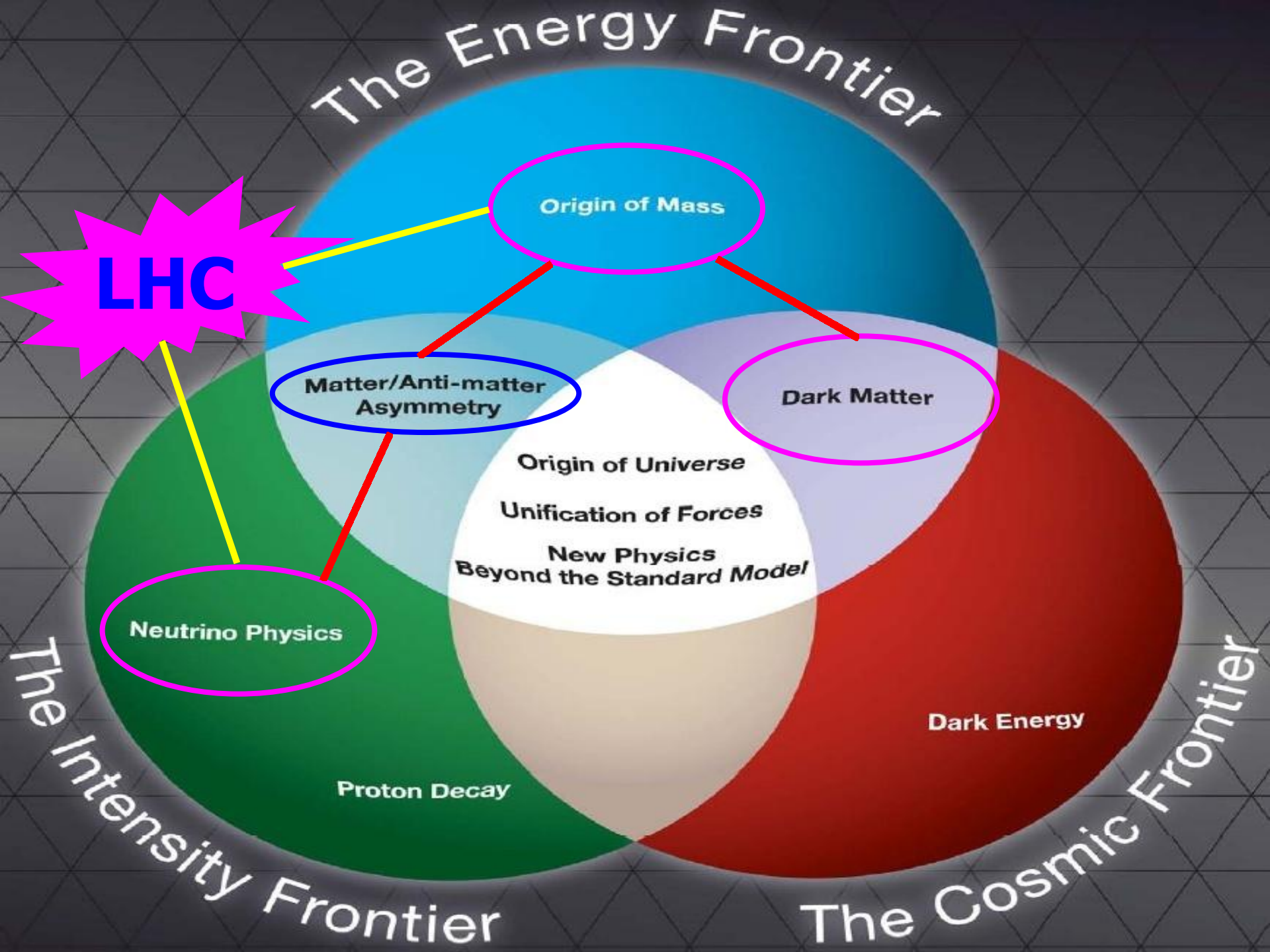
Weinberg's 3rd law of progress in theoretical physics (83):

You may use any degrees of freedom you like to describe a physical system, but if you use the wrong ones, you will be sorry **What could be better?**



Lecture F

- ★ **Ways to Generate Neutrino Mass**
- ★ **TeV Seesaws: Natural/Testable?**
- ★ **Collider Signals of TeV Seesaws?**



Within the SM

40

All ν 's are **massless** in the SM, a result of the model's simple structure:

---- $SU(2)_L \times U(1)_Y$ **gauge symmetry** and **Lorentz invariance**;

Fundamentals of the model, mandatory for consistency of a QFT.

---- Economical **particle content**:

No right-handed neutrinos --- a **Dirac** mass term is not allowed.

Only one Higgs doublet --- a **Majorana** mass term is not allowed.

---- Mandatory **renormalizability**:

No dimension ≥ 5 operators: a **Majorana** mass term is forbidden.

To generate ν -masses, one or more of the constraints must be relaxed.

--- The **gauge symmetry** and **Lorentz invariance** cannot be abandoned;

--- The **particle content** can be modified;

--- The **renormalizability** can be abandoned.

How many ways?

Beyond the SM (1)

41

Way 1: to relax the requirement of **renormalizability** (S. Weinberg **79**)

$$\mathcal{L}_{\text{eff}} = \mathcal{L}_{\text{SM}} + \frac{\mathcal{L}_{\text{d}=5}}{\Lambda} + \frac{\mathcal{L}_{\text{d}=6}}{\Lambda^2} + \dots$$

In the SM, the **lowest-dimension operator** that violates **lepton/baryon** number is

$$\frac{1}{M} H H L L$$

neutrino mass

$$\text{Seesaw: } m_{1,2,3} \sim \langle H \rangle^2 / M$$

$$m_{1,2,3} < 1 \text{ eV} \Rightarrow M > 10^{13} \text{ GeV}$$

$$\frac{1}{M^2} Q Q Q L$$

proton decay

$$\text{Example : } p \rightarrow \pi^0 + e^+$$

$$\tau_p > 10^{33} \text{ years} \Rightarrow M > 10^{15} \text{ GeV}$$

Neutrino masses/proton decays: windows onto physics at high scales

Beyond the SM (2)

42

Way 2: to add **3 right-handed** neutrinos & demand a **(B - L)** symmetry

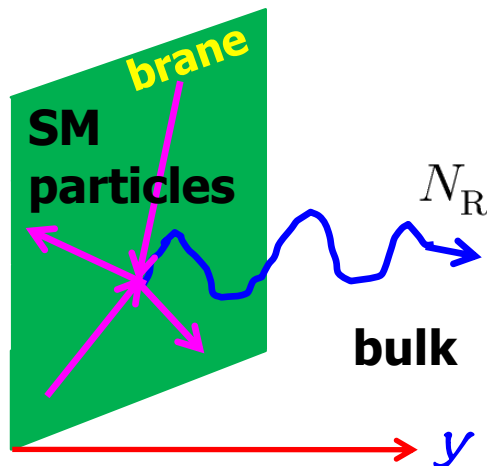
A pure Dirac mass term

$$-\mathcal{L}_{\text{lepton}} = \bar{l}_L Y_l H E_R + \bar{l}_L Y_\nu \tilde{H} N_R + \text{h.c.}$$

$$\begin{aligned} M_l &= \frac{v}{\sqrt{2}} Y_l \\ M_\nu &= \frac{v}{\sqrt{2}} Y_\nu \end{aligned}$$

The hierarchy problem: $y_i/y_e = m_i/m_e \lesssim 0.5 \text{ eV}/0.5 \text{ MeV} \sim 10^{-6}$

A very speculative way out: the smallness of **Dirac** masses is ascribed to the assumption that **N_R** have access to an extra spatial dimension (Dienes, Dudas, Gherghetta **98**; Arkani-Hamed, Dimopoulos, Dvali, March-Russell **98**) :



The wavefunction of **N_R** spreads out over the extra dimension **y** , giving rise to a suppressed Yukawa interaction at **$y = 0$** .

$$[\bar{l}_L Y_\nu \tilde{H} N_R]_{y=0} \sim \frac{1}{\sqrt{L}} [\bar{l}_L Y_\nu \tilde{H} N_R]_{y=L}$$

(e.g., King **08**)

$$\Lambda_{\text{String}}/\Lambda_{\text{Planck}} \sim 10^{-12}$$

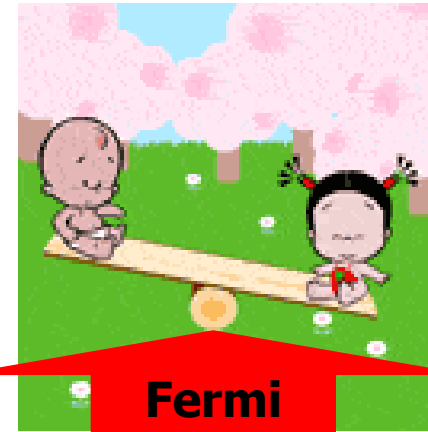
Beyond the SM (3)

43

Seesaw: add new heavy degrees of freedom and allow (B-L) violation:



Seesaw — A Footnote Idea:
H. Fritzsch, M. Gell-Mann,
P. Minkowski, PLB 59 (1975) 256



**Fermi
scale**

T-1: SM + 3 right-handed neutrinos (Minkowski 77;
 Yanagida 79; Glashow 79; Gell-Mann, Ramond, Slanski 79;
 Mohapatra, Senjanovic 79)

$$-\mathcal{L}_{\text{lepton}} = \bar{l}_L Y_l H E_R + \bar{l}_L Y_\nu \tilde{H} N_R + \frac{1}{2} \bar{N}_R^c M_R N_R + \text{h.c.}$$

T-2: SM + 1 Higgs triplet (Konetschny, Kummer 77; Magg, Wetterich 80;
 Schechter, Valle 80; Cheng, Li 80; Lazarides et al 80; Mohapatra, Senjanovic 80)

$$-\mathcal{L}_{\text{lepton}} = \bar{l}_L Y_l H E_R + \frac{1}{2} \bar{l}_L Y_\Delta \Delta i \sigma_2 l_L^c - \lambda_\Delta M_\Delta H^T i \sigma_2 \Delta H + \text{h.c.}$$

variations

T-3: SM + 3 triplet fermions (Foot, Lew, He, Joshi 89)

$$-\mathcal{L}_{\text{lepton}} = \bar{l}_L Y_l H E_R + \bar{l}_L \sqrt{2} Y_\Sigma \Sigma^c \tilde{H} + \frac{1}{2} \text{Tr} (\bar{\Sigma} M_\Sigma \Sigma^c) + \text{h.c.}$$

combinations

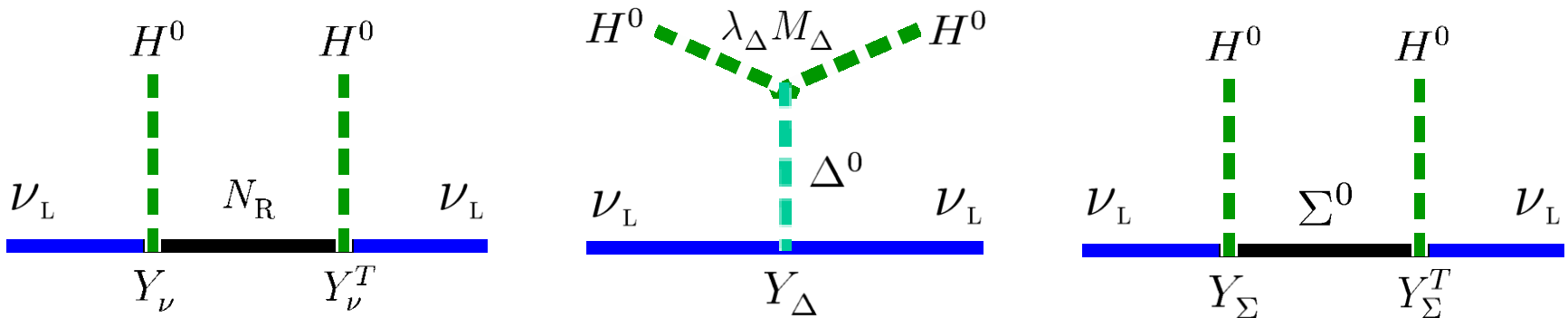
Seesaws

44

Weinberg operator: the unique **dimension-five** operator of **ν -masses** after integrating out the heavy degrees of freedom.

$$\frac{\mathcal{L}_{d=5}}{\Lambda} = \begin{cases} \frac{1}{2} (Y_\nu M_R^{-1} Y_\nu^T)_{\alpha\beta} \bar{l}_{\alpha L} \tilde{H} \tilde{H}^T l_{\beta L}^c + \text{h.c.} \\ -\frac{\lambda_\Delta}{M_\Delta} (Y_\Delta)_{\alpha\beta} \bar{l}_{\alpha L} \tilde{H} \tilde{H}^T l_{\beta L}^c + \text{h.c.} \\ \frac{1}{2} (Y_\Sigma M_\Sigma^{-1} Y_\Sigma^T)_{\alpha\beta} \bar{l}_{\alpha L} \tilde{H} \tilde{H}^T l_{\beta L}^c + \text{h.c.} \end{cases} \quad M_\nu = \begin{cases} -\frac{1}{2} Y_\nu \frac{v^2}{M_R} Y_\nu^T & \text{(Type 1)} \\ \lambda_\Delta Y_\Delta \frac{v^2}{M_\Delta} & \text{(Type 2)} \\ -\frac{1}{2} Y_\Sigma \frac{v^2}{M_\Sigma} Y_\Sigma^T & \text{(Type 3)} \end{cases}$$

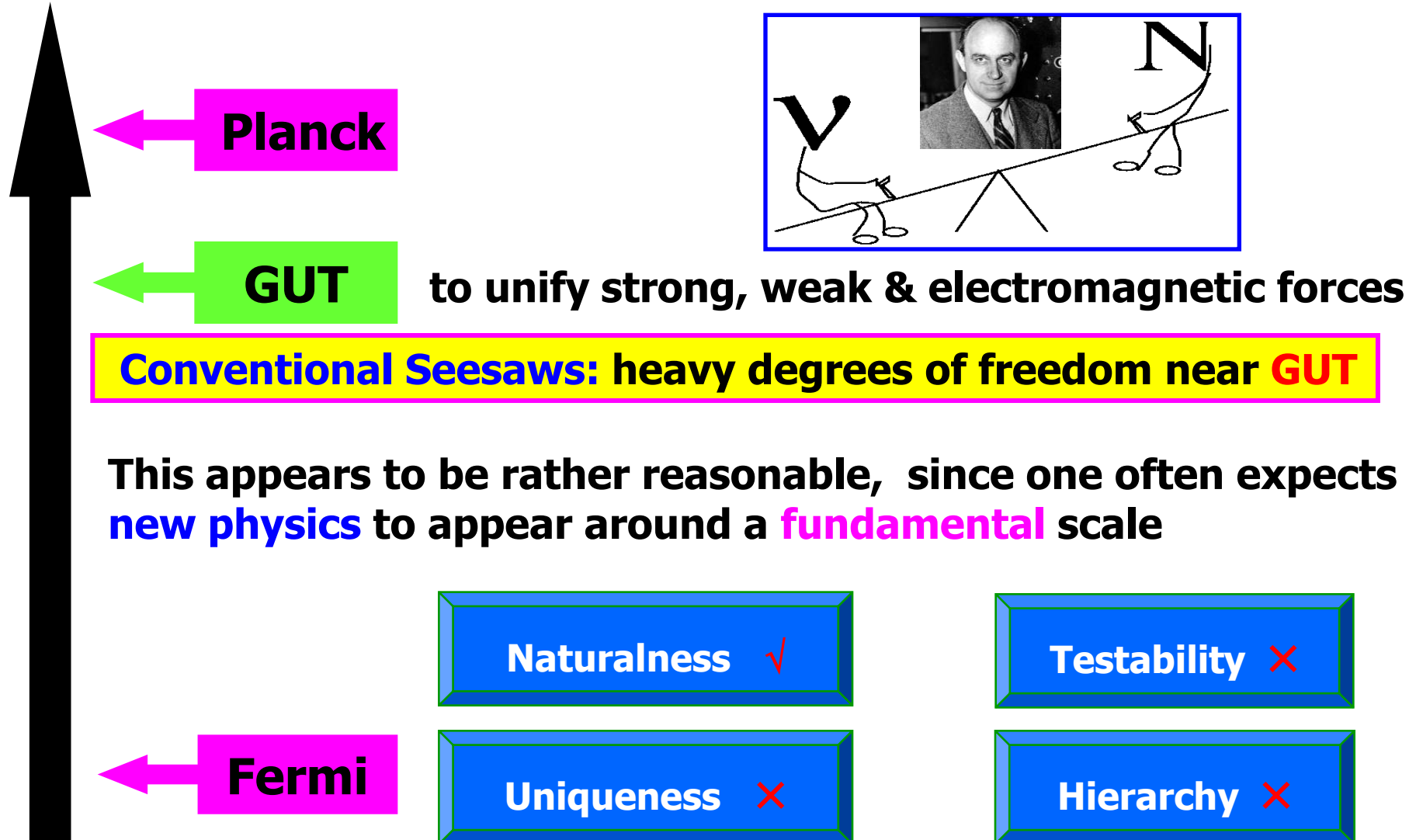
After SSB, a Majorana mass term is $-\mathcal{L}_{\text{mass}} = \frac{1}{2} \bar{\nu}_L M_\nu \nu_L^c + \text{h.c.}$ $\langle \tilde{H} \rangle = v/\sqrt{2}$



Seesaw Scale?

45

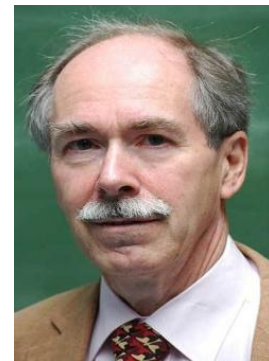
What is the energy scale at which the **seesaw** mechanism works?



Lower Scale?

46

There is no direct evidence for a large or extremely large seesaw scale. So **eV**-, **keV**-, **MeV**- or **GeV**-scale seesaws are all possible, at least in principle; they are **technically natural** according to 't Hooft's naturalness criterion.



't Hooft's naturalness criterion (80):

At any energy scale μ , a set of parameters, $\alpha_i(\mu)$ describing a system can be small, if and only if, in the limit $\alpha_i(\mu) \rightarrow 0$ for each of these parameters, the system exhibits an enhanced symmetry.

Potential problems of low-scale seesaws:

- No obvious connection to a theoretically well-justified fundamental scale (for example, Fermi scale, TeV scale, GUT or Planck scale).
- The neutrino Yukawa couplings are simply tiny, no actual explanation of why the masses of three known neutrinos are so small.
- A very low seesaw scale doesn't allow canonical thermal leptogenesis to work, though there might be a very *contrived* way out.

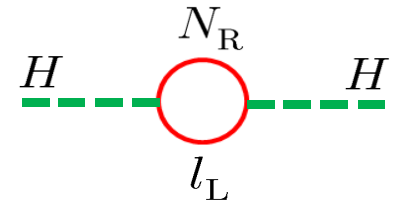
Hierarchy Problem

47

Seesaw-induced fine-tuning problem: the Higgs mass is very sensitive to quantum corrections from the heavy degrees of freedom in seesaw (Vissani 98; Casas et al 04; Abada et al 07)

Type 1:

$$\delta m_H^2 = -\frac{y_i^2}{8\pi^2} \left(\Lambda^2 + M_i^2 \ln \frac{M_i^2}{\Lambda^2} \right)$$

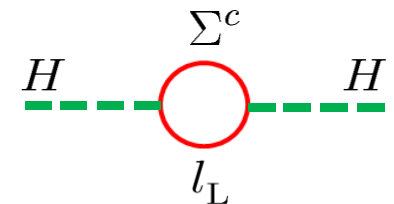


Type 2:

$$\delta m_H^2 = \frac{3}{16\pi^2} \left[\lambda_3 \left(\Lambda^2 + M_\Delta^2 \ln \frac{M_\Delta^2}{\Lambda^2} \right) + 4\lambda_\Delta^2 M_\Delta^2 \ln \frac{M_\Delta^2}{\Lambda^2} \right]$$

Type 3:

$$\delta m_H^2 = -\frac{3y_i^2}{8\pi^2} \left(\Lambda^2 + M_i^2 \ln \frac{M_i^2}{\Lambda^2} \right)$$



here y_i & M_i are eigenvalues of $\mathbf{Y}_\nu$ (or $\mathbf{Y}_\Sigma$) & $\mathbf{M}_R$ (or $\mathbf{M}_\Sigma$), respectively.

An illustration of fine-tuning

$$M_i \sim \left[\frac{(2\pi v)^2 |\delta m_H^2|}{m_i} \right]^{1/3} \sim 10^7 \text{ GeV} \left[\frac{0.2 \text{ eV}}{m_i} \right]^{1/3} \left[\frac{|\delta m_H^2|}{0.1 \text{ TeV}^2} \right]^{1/3}$$

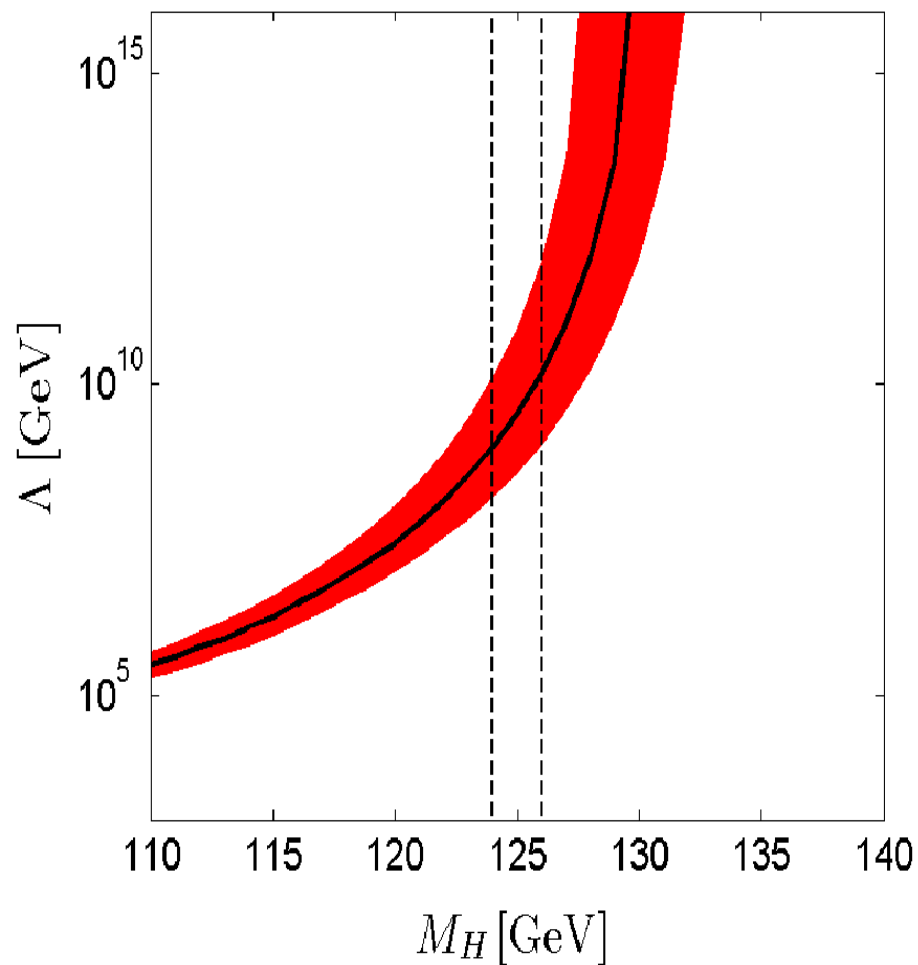
Possible way out: (1) **Supersymmetric** seesaw? (2) **TeV-scale** seesaw?

SM Vacuum

J. Elias-Miro et al., arXiv:1112.3022

Xing, Zhang, Zhou, arXiv:1112.3112

Gauge hierarchy and desert puzzles



Oasis of new physics

something new ?

Gauge desert

Fermi scale

Oasis of SM physics

QCD scale

$\Lambda \sim 10^{19}$ GeV

$\Lambda \sim 10^{10}$ GeV ?

$\Lambda \sim 10^2$ GeV

$\Lambda \sim 10^2$ MeV

TeV Neutrino Physics?

49

to discover the SM Higgs boson

to verify Yukawa interactions

to pin down heavy seesaw particles

to test seesaw mechanism(s)

to measure low-energy effects

Why

Not

Try



LHC
TeV

Type-1 Seesaw

50

Type-1 Seesaw: add **3 right-handed** Majorana neutrinos into the SM.

$$-\mathcal{L}_{\text{lepton}} = \bar{l}_L Y_l H E_R + \bar{l}_L Y_\nu \tilde{H} N_R + \frac{1}{2} \overline{N_R^c} M_R N_R + \text{h.c.}$$

or

$$-\mathcal{L}_{\text{mass}} = \bar{e}_L M_l E_R + \frac{1}{2} \overline{(\nu_L \quad N_R^c)} \begin{pmatrix} \mathbf{0} & M_D \\ M_D^T & M_R \end{pmatrix} \begin{pmatrix} \nu_L^c \\ N_R \end{pmatrix} + \text{h.c.}$$

Diagonalization (flavor basis $\Rightarrow$ mass basis):

$$\begin{pmatrix} V & R \\ S & U \end{pmatrix}^\dagger \begin{pmatrix} \mathbf{0} & M_D \\ M_D^T & M_R \end{pmatrix} \begin{pmatrix} V & R \\ S & U \end{pmatrix}^* = \begin{pmatrix} \widehat{M}_\nu & \mathbf{0} \\ \mathbf{0} & \widehat{M}_N \end{pmatrix}$$

$$V^\dagger V + S^\dagger S = V V^\dagger + R R^\dagger = 1$$

Hence **V** is not unitary

Seesaw:

$$M_\nu \equiv V \widehat{M}_\nu V^T \approx -M_D M_R^{-1} M_D^T$$

$$R \sim S \sim M_D / M_R$$

Strength of Unitarity Violation

$$V \approx \left(1 - \frac{1}{2} R R^\dagger \right) V_{\text{unitary}}$$

Natural or Unnatural?

51

Natural case: no large cancellation in the leading seesaw term.

$$M_\nu \approx M_D M_R^{-1} M_D^T$$

0.01 eV

100 GeV

10^{15} GeV

$$R \sim S \sim M_D / M_R \sim 10^{-13}$$

$$\text{Unitarity Violation} \sim 10^{-26}$$

Unnatural case: large cancellation in the leading seesaw term.

$$M_\nu \approx M_D M_R^{-1} M_D^T$$

0.01 eV

1 TeV

100 GeV

$$R \sim S \sim M_D / M_R \sim 10^{-1}$$

$$\text{Unitarity Violation} \sim 10^{-2}$$

TeV-scale (right-handed) Majorana neutrinos: small masses of **3** light **Majorana** neutrinos come from **sub-leading perturbations**.

Structural Cancellation

52

Given diagonal M_R with 3 mass eigenvalues M_1 , M_2 and M_3 , the leading (i.e., **type-I seesaw**) term of the active neutrino mass matrix vanishes, if and only if M_D has rank 1,

and if

$$M_D = m \begin{pmatrix} y_1 & y_2 & y_3 \\ \alpha y_1 & \alpha y_2 & \alpha y_3 \\ \beta y_1 & \beta y_2 & \beta y_3 \end{pmatrix}$$

$$\frac{y_1^2}{M_1} + \frac{y_2^2}{M_2} + \frac{y_3^2}{M_3} = 0$$

$$M_\nu \approx M_D M_R^{-1} M_D^T = 0$$

(Buchmueller, Greub 91; Ingelman, Rathsman 93; Heusch, Minkowski 94;; Kersten, Smirnov 07).

Tiny ν -masses can be generated from tiny corrections to this complete “**structural cancellation**”, by deforming M_D or M_R .

Simple example:

$$M'_D = M_D + \epsilon X_D$$

$$\begin{aligned} M'_\nu &= M'_D M_R^{-1} M_D'^T \\ &\approx \epsilon \left(M_D M_R^{-1} X_D^T + X_D M_R^{-1} M_D^T \right) + \mathcal{O}(\epsilon^2) \end{aligned}$$

Fast Lessons

53

Lesson 1: two necessary conditions to test a seesaw model with heavy right-handed Majorana neutrinos at the **LHC**:

---Masses of heavy Majorana neutrinos must be of $O(1)$ **TeV** or below

---Light-heavy neutrino mixing (i.e. M_D/M_R) must be large enough

Lesson 2: A collider signature of the heavy Majorana ν 's is essentially decoupled from masses and mixing parameters of light ν 's.

Lesson 3: **non-unitarity** of the light ν flavor mixing matrix might lead to observable effects in ν oscillations and rare processes.

Lesson 4: nontrivial limits on heavy Majorana ν 's could be derived at the **LHC**, if the SM backgrounds are small for a specific final state.

$\Delta L = 2$ like-sign dilepton events

$$pp \rightarrow W^\pm W^\pm \rightarrow \mu^\pm \mu^\pm jj \text{ and } pp \rightarrow W^\pm \rightarrow \mu^\pm N \rightarrow \mu^\pm \mu^\pm jj$$

Collider Signature

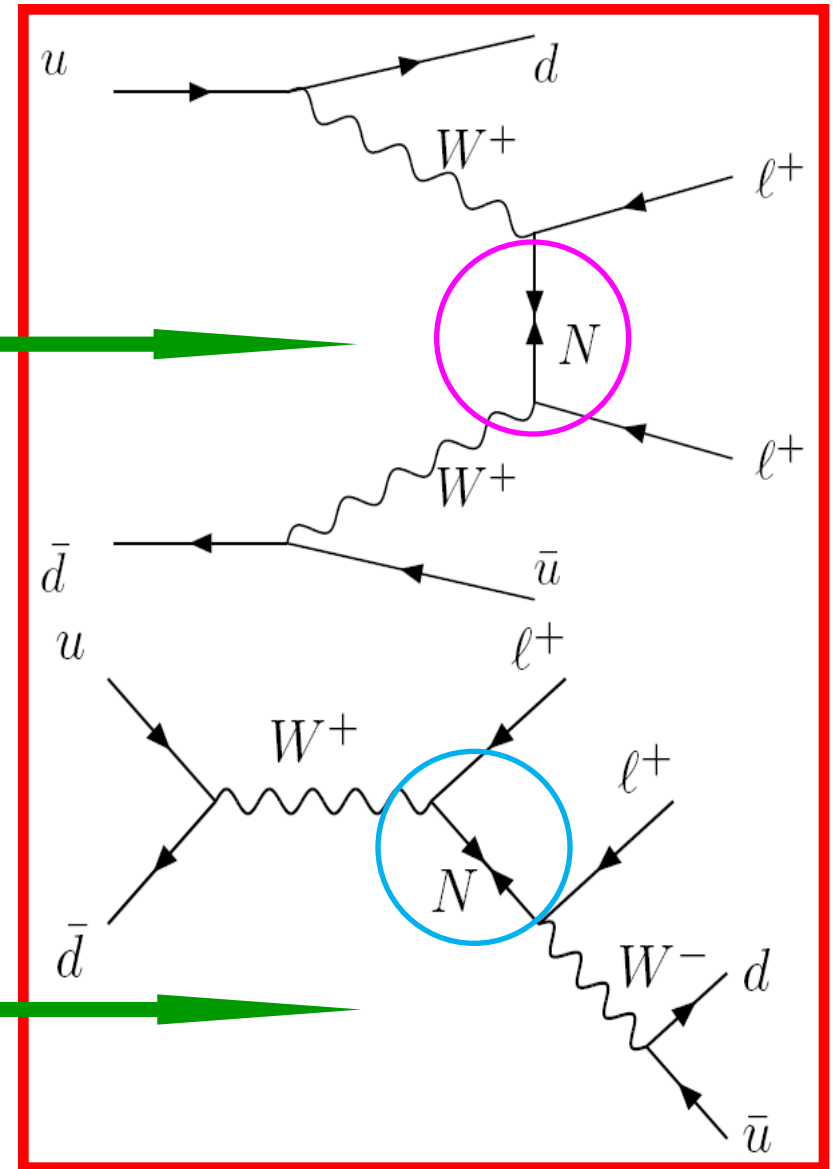
54

Lepton number violation: like-sign dilepton events at hadron colliders, such as Tevatron ($\sim 2 \text{ TeV}$) and LHC ($\sim 14 \text{ TeV}$).

collider analogue to $0\nu\beta\beta$ decay

dominant channel

N can be produced on resonance



Distinguishing seesaw models at LHC
with multi-lepton signals

F. del Aguila, J. A. Aguilar-Saavedra

2 recent comprehensive works:

arXiv:0808.2468v2 [hep-ph] 12 Sep 2008

The Search for Heavy Majorana Neutrinos

arXiv:0901.3589v1 [hep-ph] 23 Jan 2009

Anupama Atre^{1,2}, Tao Han^{2,3,4}, Silvia Pascoli⁵, Bin Zhang^{4*}

We also extend the search to hadron collider experiments. We find that, at the Tevatron with 8 fb^{-1} integrated luminosity, there could be 2σ (5σ) sensitivity for resonant production of a Majorana neutrino in the $\mu^\pm \mu^\pm$ modes in the mass range of $\sim 10 - 180 \text{ GeV}$ ($10 - 120 \text{ GeV}$). This reach can be extended to $\sim 10 - 375 \text{ GeV}$ ($10 - 250 \text{ GeV}$) at the LHC of 14 TeV with 100 fb^{-1} . The production cross section at the LHC of 10 TeV is also presented for comparison. We study the $\mu^\pm e^\pm$ modes as well and find that the signal could be large enough even taking into account the current bound from neutrinoless double-beta decay. The signal from the gauge boson fusion channel $W^+ W^+ \rightarrow \ell_1^+ \ell_2^+$ at the LHC is found to be very weak given the rather small mixing parameters. We comment on the search strategy when a τ lepton is involved in the final state.

Non-unitarity

56

Type-1 seesaw: a typical signature would be the **unitarity violation** of the 3×3 neutrino mixing matrix **V** in the charged-current interactions

Current experimental constraints at the 90% C.L. (Antusch *et al* 07):

$$|VV^\dagger| \approx \begin{pmatrix} 0.994 \pm 0.005 & < 7.0 \cdot 10^{-5} & < 1.6 \cdot 10^{-2} \\ < 7.0 \cdot 10^{-5} & 0.995 \pm 0.005 & < 1.0 \cdot 10^{-2} \\ < 1.6 \cdot 10^{-2} & < 1.0 \cdot 10^{-2} & 0.995 \pm 0.005 \end{pmatrix}$$

$\mu \rightarrow e + \gamma$ etc,
W / Z decays,
universality,
 ν -oscillation.

$$|V^\dagger V| \approx \begin{pmatrix} 1.00 \pm 0.032 & < 0.032 & < 0.032 \\ < 0.032 & 1.00 \pm 0.032 & < 0.032 \\ < 0.032 & < 0.032 & 1.00 \pm 0.032 \end{pmatrix}$$

**accuracy
of a few
percent!**

Extra CP-violating phases exist in a non-unitary ν mixing matrix may lead to observable **CP-violating effects** in **short- or medium-baseline** ν oscillations (Fernandez-Martinez *et al* 07; Xing 08).

Typical example: non-unitary CP violation in the $\nu_\mu \rightarrow \nu_\tau$ oscillation, an effect probably **at the percent level**.

Type-2 Seesaw

57

Type-2 (Triplet) Seesaw: add **one SU(2)_L** Higgs triplet into the SM.

$$-\mathcal{L}_{\text{lepton}} = \bar{l}_L Y_l H E_R + \frac{1}{2} \bar{l}_L Y_\Delta \Delta i \sigma_2 l_L^c + \text{h.c.}$$

$$\Delta \equiv \begin{pmatrix} H^- & -\sqrt{2} H^0 \\ \sqrt{2} H^{--} & -H^- \end{pmatrix}$$

or

$$-\mathcal{L}_{\text{mass}} = \bar{e}_L M_l E_R + \frac{1}{2} \bar{\nu}_L M_L \nu_L^c + \text{h.c.}$$

$$M_L \approx \lambda_\Delta Y_\Delta \frac{v^2}{M_\Delta}$$

Potential:

$$V(H, \Delta) = -\mu^2 H^\dagger H + \lambda (H^\dagger H)^2 + \frac{1}{2} M_\Delta^2 \text{Tr}(\Delta^\dagger \Delta) - [\lambda_\Delta M_\Delta H^T i \sigma_2 \Delta H + \text{h.c.}]$$

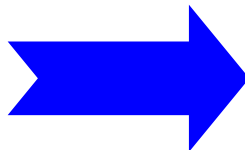
L and **B-L** violation

Naturalness? (t' Hooft **79**, ..., Giudice **08**)

- (1) **M_Δ** is **O(1) TeV** or close to the scale of gauge symmetry breaking.
- (2) **λ_Δ** must be tiny, and **$\lambda_\Delta = 0$** enhances the symmetry of the model.

$$M_L \approx \lambda_\Delta Y_\Delta \frac{v^2}{M_\Delta}$$

0.01 eV
1 TeV



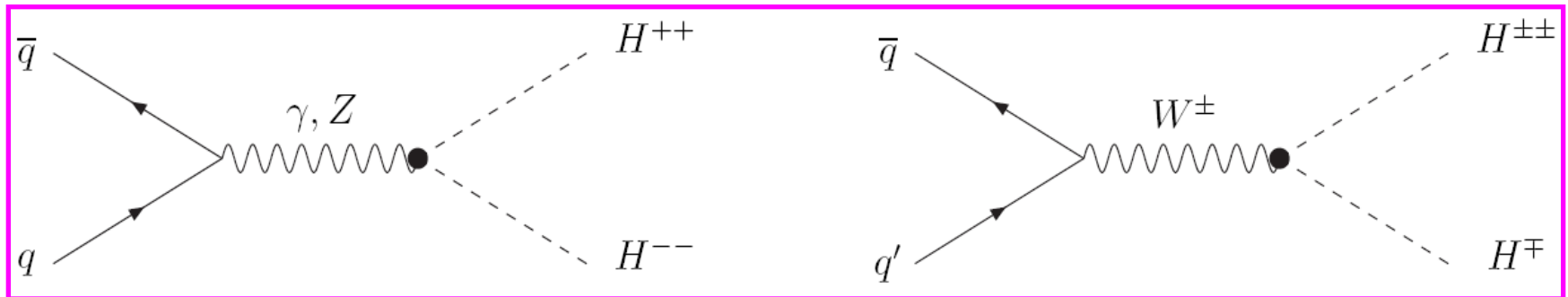
$$\lambda_\Delta Y_\Delta \sim 10^{-12} \Rightarrow \begin{cases} Y_\Delta \sim 1, \lambda_\Delta \sim 10^{-12} \\ \lambda_\Delta \sim Y_\Delta \sim 10^{-6} \\ \dots \end{cases}$$

Collider Signature

58

From a viewpoint of **direct tests**, the triplet seesaw has an advantage:

The **SU(2)_L** Higgs triplet contains a **doubly-charged scalar** which can be produced at colliders: it is dependent on its mass but independent of the (small) Yukawa coupling.



Typical **LNV** signatures: $H^{\pm\pm} \rightarrow l_\alpha^\pm l_\beta^\pm$ $H^+ \rightarrow l_\alpha^+ \bar{\nu}_\beta$ $H^- \rightarrow l_\alpha^- \nu$

$$\mathcal{B}(H^{\pm\pm} \rightarrow l_\alpha^\pm l_\beta^\pm) = \frac{(2 - \delta_{\alpha\beta}) |(M_L)_{\alpha\beta}|^2}{\sum_{\rho, \sigma} |(M_L)_{\rho\sigma}|^2}, \quad \mathcal{B}(H^+ \rightarrow l_\alpha^+ \bar{\nu}) = \frac{\sum_{\beta} |(M_L)_{\alpha\beta}|^2}{\sum_{\rho, \sigma} |(M_L)_{\rho\sigma}|^2}$$

Testability at the LHC

59

Lesson one: the above branching ratios **purely** depend on 3 neutrino masses, 3 flavor mixing angles and the CP-violating phases.

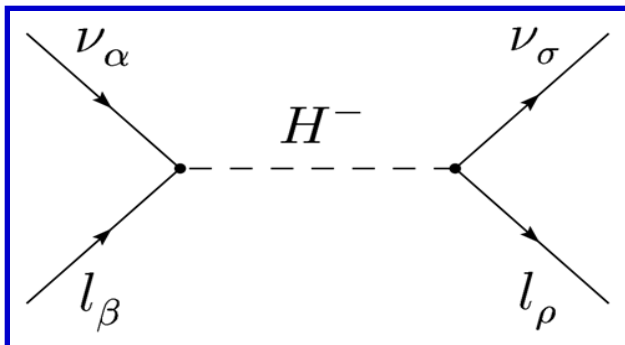
Lesson two: the **Majorana phases** may affect LNV $H^{\pm\pm} \rightarrow l_{\alpha}^{\pm} l_{\beta}^{\pm}$ decay modes, but they do not enter $H^+ \rightarrow l_{\alpha}^+ \bar{\nu}_{\beta}$ and $H^- \rightarrow l_{\alpha}^- \nu$ processes.

$$|(M_L)_{\alpha\beta}|^2 = \left| \sum_{i=1}^3 (m_i V_{\alpha i} V_{\beta i}) \right|^2, \quad \sum_{\beta} |(M_L)_{\alpha\beta}|^2 = \sum_{i=1}^3 (m_i^2 |V_{\alpha i}|^2)$$

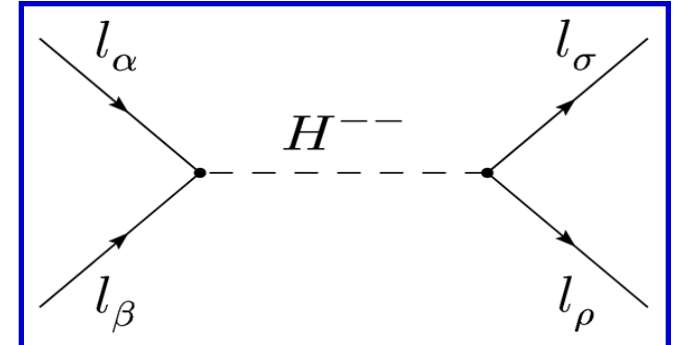
Dimension-6 operator:
(2 low-energy effects)

$$\frac{\mathcal{L}_{d=6}}{\Lambda^2} = -\frac{(Y_{\Delta})_{\alpha\beta} (Y_{\Delta})_{\rho\sigma}^{\dagger}}{4M_{\Delta}^2} (\bar{l}_{\alpha L} \gamma^{\mu} l_{\sigma L}) (\bar{l}_{\beta L} \gamma_{\mu} l_{\rho L})$$

1) **NSIs** of 3 neutrinos



2) **LFV** of 4 charged leptons



Type-3 Seesaw

60

Type-3 Seesaw: add **3 SU(2)_L** triplet fermions (**Y = 0**) into the SM.

$$-\mathcal{L}_{\text{lepton}} = \bar{l}_L Y_l H E_R + \bar{l}_L \sqrt{2} Y_\Sigma \Sigma^c \tilde{H} + \frac{1}{2} \text{Tr} (\bar{\Sigma} M_\Sigma \Sigma^c) + \text{h.c.}$$

$$\Sigma = \begin{pmatrix} \Sigma^0/\sqrt{2} & \Sigma^+ \\ \Sigma^- & -\Sigma^0/\sqrt{2} \end{pmatrix}$$

or

$$-\mathcal{L}_{\text{mass}} = \overline{(e_L \ \Psi_L)} \begin{pmatrix} M_l & \sqrt{2} M_D \\ 0 & M_\Sigma \end{pmatrix} \begin{pmatrix} E_R \\ \Psi_R \end{pmatrix} + \frac{1}{2} \overline{(\nu_L \ \Sigma^0)} \begin{pmatrix} 0 & M_D \\ M_D^T & M_\Sigma \end{pmatrix} \begin{pmatrix} \nu_L^c \\ \Sigma^{0c} \end{pmatrix} + \text{h.c.}$$

$$M_l = Y_l v / \sqrt{2}, \quad M_D = Y_\Sigma v / \sqrt{2}, \quad \Psi = \Sigma^- + \Sigma^{+c}$$

Diagonalization of the neutrino mass matrix:

$$\begin{pmatrix} V & R \\ S & U \end{pmatrix}^\dagger \begin{pmatrix} 0 & M_D \\ M_D^T & M_\Sigma \end{pmatrix} \begin{pmatrix} V & R \\ S & U \end{pmatrix}^* = \begin{pmatrix} \widehat{M}_\nu & 0 \\ 0 & \widehat{M}_\Sigma \end{pmatrix}$$

Seesaw formula:

$$M_\nu \equiv V \widehat{M}_\nu V^T \approx -M_D M_\Sigma^{-1} M_D^T$$

Comparison between type-1 and type-3 seesaws (Abada et al 07):

- a) The 3×3 flavor mixing matrix **V** is **non-unitary** in both cases (**CC**);
- b) The modified couplings between **Z** & neutrinos are different (**NC**);
- c) **Non-unitary** flavor mixing is also present in the coupling between **Z** and charged leptons in the **type-3** seesaw (**NC**).

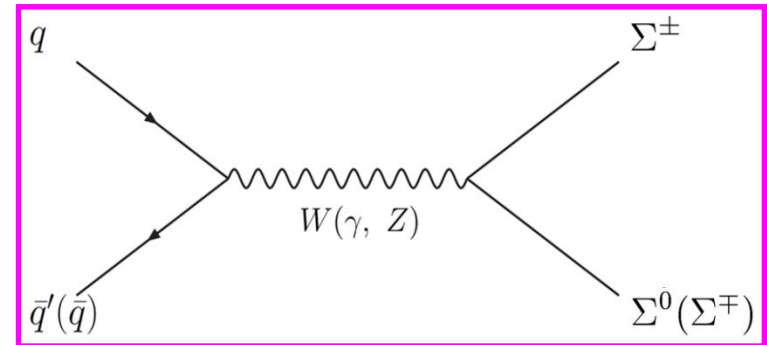
Testability at the LHC

61

LVN signatures at the **LHC**:

$$pp \rightarrow \Sigma^+ \Sigma^0 \rightarrow l_\alpha^+ l_\beta^+ + Z^0 W^- (\rightarrow 4j)$$

$$pp \rightarrow \Sigma^- \Sigma^0 \rightarrow l_\alpha^- l_\beta^- + Z^0 W^+ (\rightarrow 4j)$$



PHYSICAL REVIEW D **78**, 033002 (2008)

Type-III seesaw mechanism at CERN LHC

Roberto Franceschini,¹ Thomas Hambye,² and Alessandro Strumia³

Neutrino masses can be generated by fermion triplets with TeV-scale mass, that would manifest at LHC as production of two leptons together with two heavy standard model (SM) vectors or Higgs, giving rise to final states such as $2\ell + 4j$ (that can violate lepton number and/or lepton flavor) or $\ell + 4j + \cancel{E}_T$. We devise cuts to suppress the SM backgrounds to these signatures. Furthermore, for most of the mass range suggested by neutrino data, triplet decays are detectably displaced from the production point, allowing to infer the neutrino mass parameters. We compare with LHC signals of type-I and type-II seesaw.

**Distinguishing seesaw models at LHC
with multi-lepton signals**

F. del Aguila, J. A. Aguilar-Saavedra

2 latest comprehensive works.

arXiv:0808.2468v2 [hep-ph] 12 Sep 2008

Low-energy Tests

62

Type-3 seesaw: a typical signature would be the **non-unitary effects** of the 3×3 lepton flavor mixing matrix **N** in both **CC** and **NC** interactions.

Current experimental constraints at the 90% C.L. (Abada *et al* 07):

$$|NN^\dagger| \approx \begin{pmatrix} 1.001 \pm 0.002 & < 1.1 \cdot 10^{-6} & < 1.2 \cdot 10^{-3} \\ < 1.1 \cdot 10^{-6} & 1.002 \pm 0.002 & < 1.2 \cdot 10^{-3} \\ < 1.2 \cdot 10^{-3} & < 1.2 \cdot 10^{-3} & 1.002 \pm 0.002 \end{pmatrix}$$

**accuracy
at 0.1%.**

These bounds are **stronger** than those obtained in the **type-1 seesaw**, as the flavor-changing processes with charged leptons are allowed at the tree level in the **type-3 seesaw**.

Two types of LFV processes:

Radiative decays of charged leptons: $\mu \rightarrow e + \gamma$, $\tau \rightarrow e + \gamma$, $\tau \rightarrow \mu + \gamma$.

Tree-level rare decays of charged leptons: $\mu \rightarrow 3e$, $\tau \rightarrow 3e$, $\tau \rightarrow 3\mu$, $\tau \rightarrow e + 2\mu$, $\tau \rightarrow 2e + \mu$ (Abada et al 07, 08; He, Oh 09)

TeV leptogenesis or muon g-2 problems? (Strumia 08, Blanchet, Chacko, Mohapatra 08, Fischler, Flauger 08; Chao 08, Biggio 08;)

Seesaw Trivialization

63

Linear trivialization: use three types of seesaws to make a family tree.

Type 1 + Type 2

Type 1 + Type 3

Type 2 + Type 3

Type 1 + Type 2 + Type 3

Weinberg's 3rd law of progress in theoretical physics (83):

You may use any degrees of freedom you like to describe a physical system, but if you use the wrong ones, you will be sorry What could be better?



Linearly trivialized seesaws usually work at super-high energies.

Multiple trivialization: well motivated to lower the seesaw scale.

Illustration

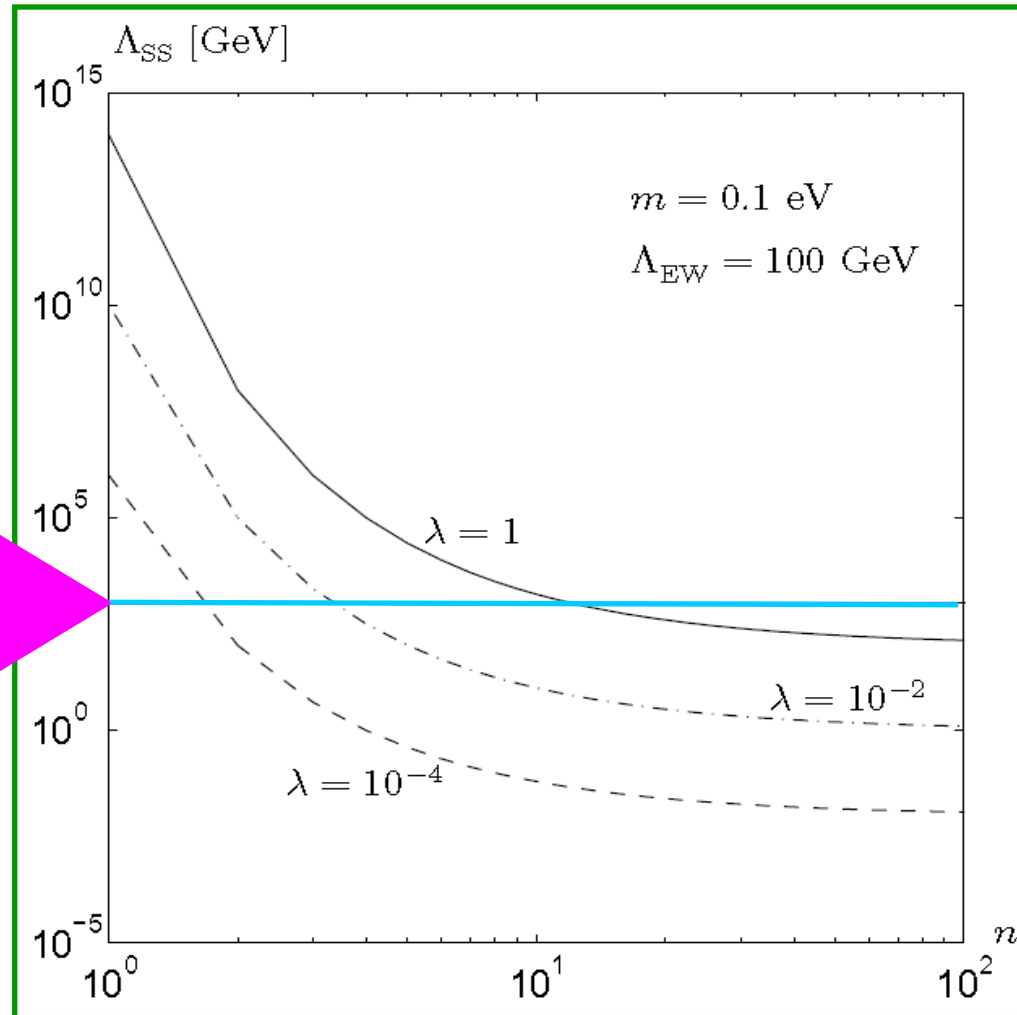
64

Neutrino mass:

$$m \sim (\lambda \Lambda_{\text{EW}})^{n+1} / \Lambda_{\text{SS}}^n$$

$$\Lambda_{\text{SS}} \sim \lambda^{\frac{n+1}{n}} \left[\frac{\Lambda_{\text{EW}}}{100 \text{ GeV}} \right]^{\frac{n+1}{n}} \left[\frac{0.1 \text{ eV}}{m} \right]^{\frac{1}{n}} 10^{\frac{2(n+6)}{n}} \text{ GeV}$$

TeV scale



Example: Inverse Seesaw 65

The Inverse Seesaw: SM + 3 heavy right-handed neutrinos + 3 gauge singlet neutrinos + one Higgs singlet (Wyler, Wolfenstein 83; Mohapatra, Valle 86; Ma 87).

$$-\mathcal{L}_{\text{lepton}} = \bar{l}_L Y_l H E_R + \bar{l}_L Y_\nu \tilde{H} N_R + \bar{N}_R^c Y_S \Phi S_R + \frac{1}{2} \bar{S}_R^c \mu S_R + \text{h.c.}$$

↑ **LNV: tiny**

v-mass matrix:

$$\begin{pmatrix} \nu_L & N_R^c & S_R^c \end{pmatrix} \begin{pmatrix} 0 & M_D & 0 \\ M_D^T & 0 & M_S \\ 0 & M_S^T & \mu \end{pmatrix} \begin{pmatrix} \nu_L^c \\ N_R \\ S_R \end{pmatrix} \quad \begin{cases} M_D = Y_\nu \langle H \rangle \\ M_S = Y_S \langle \Phi \rangle \end{cases}$$

Effective light v-mass matrix

$$M_\nu \approx M_D \frac{1}{M_S^T} \mu \frac{1}{M_S} M_D^T \longleftrightarrow -\mathcal{L}_{\text{mass}} = \frac{1}{2} \bar{\nu}_L M_\nu \nu_L^c + \text{h.c.}$$

Merit: more natural tiny v-masses and appreciable collider signatures;
Fault: some new degrees of freedom. **Is Weinberg's 3rd law applicable?**

Multiple seesaw mechanisms: to *naturally* lower seesaw scales to TeV (Babu et al 09; Xing, Zhou 09; Bonnet et al 09, etc).

Appendix

66

Misguiding principles for a **theorist** to go **beyond the SM**
(Schellekens 08: "The Emperor's Last Clothes?")

- **Agreement with observation**
- **Consistency**
- **Uniqueness**
- **Naturalness**
- **Simplicity**
- **Elegance**
- **Beauty**
- **.....**

